

# ALGORITHMS

*Richard Harvey*



**GRESHAM**

**COLLEGE**

# ALGORITHMS

*Richard Harvey*

*IT Livery Company Professor of Information  
Technology, Gresham College*



**GRESHAM**

**COLLEGE**

[www.prof-richard.org](http://www.prof-richard.org)

Arabic numerals

Muhammed al Khwarizmi  
AD 780



Algebra

Algorithms

## algorithm, n.

1. The Arabic system of numbering, characterized by a zero (cf ALGORISM n. 1; now *rare*). Formerly also: calculus (*obsolete*)
2. *Mathematics and Computing*, procedure or set of rules used in calculation and problem-solving; (in later use *spec.*) a precisely defined set of mathematical or logical operations for the performance of a particular task.
3. *Medicine*. A step-by-step protocol used to reach a clinical diagnosis or decision.



# ***An effective method***

A finite number of exact, finite instructions

When applied to problem from its class

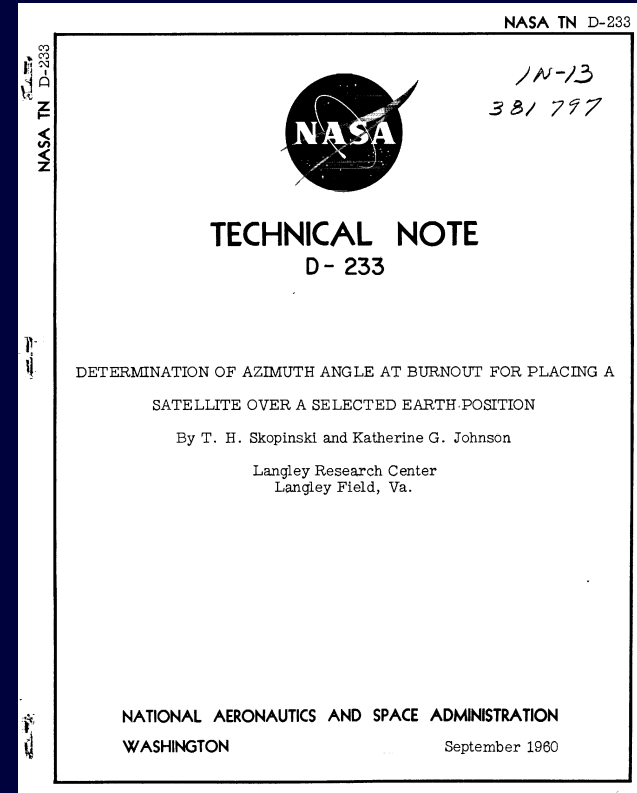
- Always stops after a finite number of steps
- Produces the correct answer
- In principle can be done by any human without any aids except writing materials
- Need only follow the instructions rigorously to succeed (no ingenuity required)

# Algorithms are:

- Unambiguous (not the same as deterministic)
- Can be expressed in a finite amount of time and space

# Algorithms are not programs

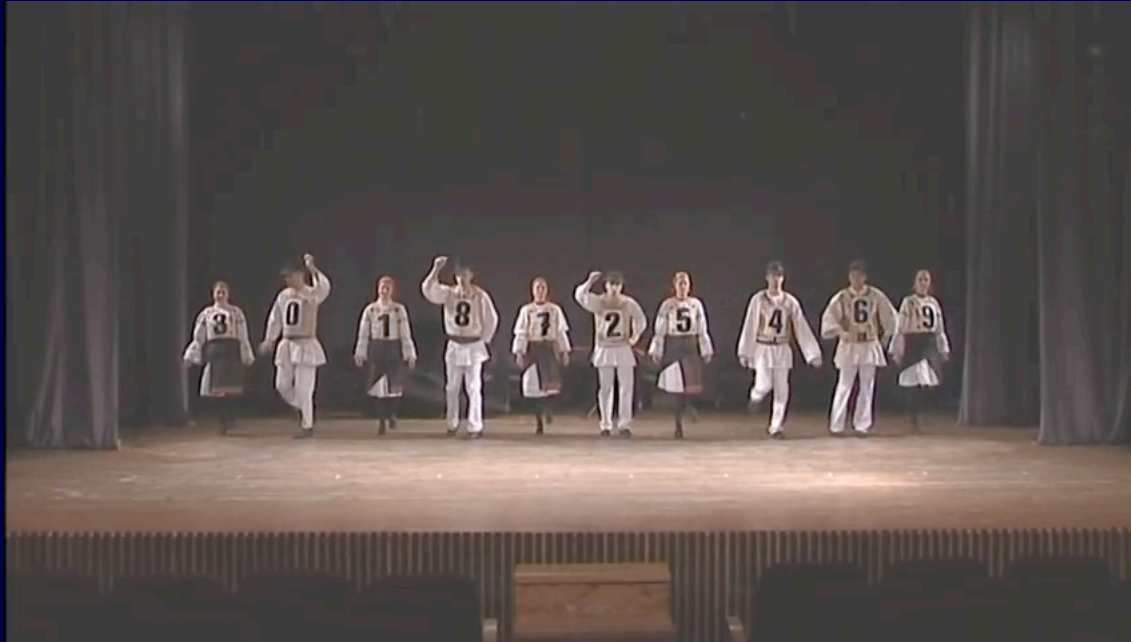
- Algorithms are converted into instructions that can be followed by computers.



# Standard algorithms



# Bubble sort



Created at Sapientia University, Tirgu Mures (Marosvásárhely), Romania. Directed by Káta Zoltán and Tóth László. In cooperation with "Maros Művészegyüttes", Tirgu Mures (Marosvásárhely), Romania. Choreographer: Füzesi Albert. Video: Lőrinc Lajos, Körmöck Zoltán. Supported by "Szülőföld Alap", MITIS (NGO) and evoline company.

# Bubble sort



.

.

.

0	1	2	3	5	4	6	7	8	9
0	1	2	3	4	5	6	7	8	9
0	1	2	3	4	5	6	7	8	9

# Algorithm complexity

If we had  $N$  items in a list...

how many steps to sort the list? (time complexity)

how many storage locations to sort the list? (space complexity)

It depends on the data...

If the data are all in order already ... then we go through the list once ( $N$  steps)

If the data are in reverse order ...

# Algorithm complexity

Bubble sort – data in reverse order

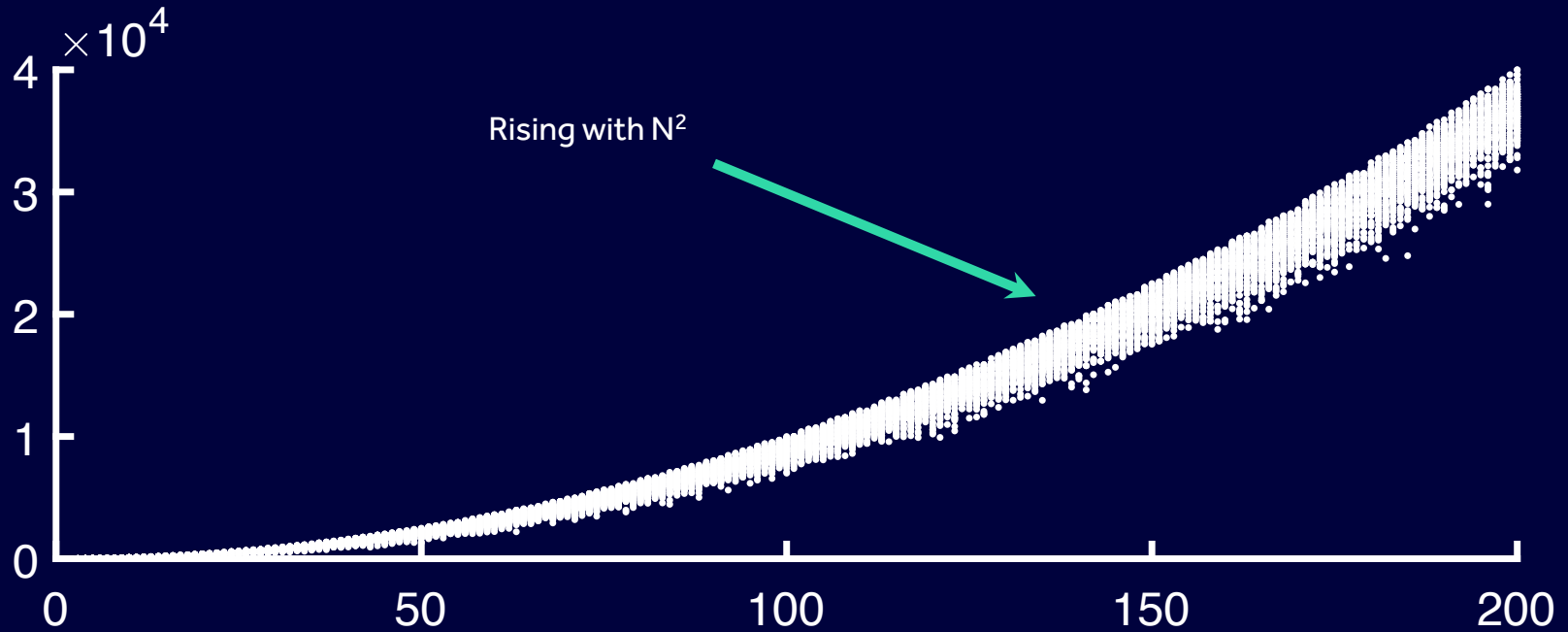
Each run along the list takes  $N$  steps (because there are  $N$  elements)

Worst case is we have to move an element  $N$  steps along an array.

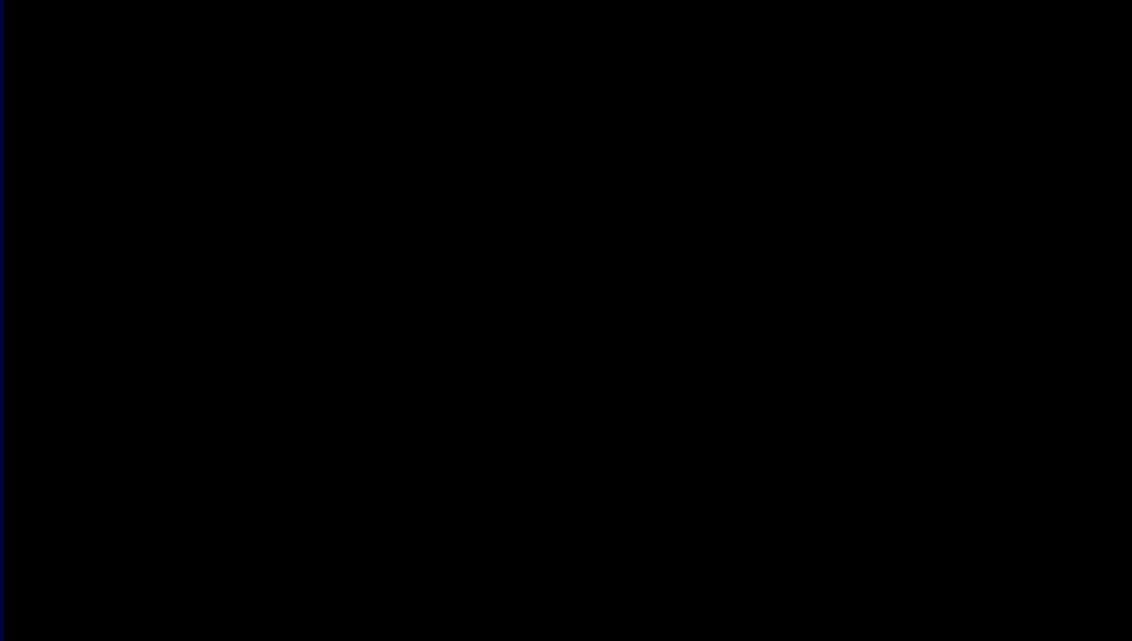
Hence  $N \times N = N^2$ . We say the algorithm has complexity  $O(N^2)$



# Big-O notation



# Slight problem...Bubble sort is bad



Created at Sapientia University, Tirgu Mures (Marosvásárhely), Romania. Directed by Kátai Zoltán and Tóth László. In cooperation with "Maros Művészegyüttes", Tirgu Mures (Marosvásárhely), Romania. Choreographer: Füzesi Albert. Video: Lőrinc Lajos, Körmöcki Zoltán. Supported by "Szülőföld Alap", MITIS (NGO) and evoluline company.

[https://www.youtube.com/watch?v=XaqR3G\\_NVoo](https://www.youtube.com/watch?v=XaqR3G_NVoo)

# Complexity – the common orders

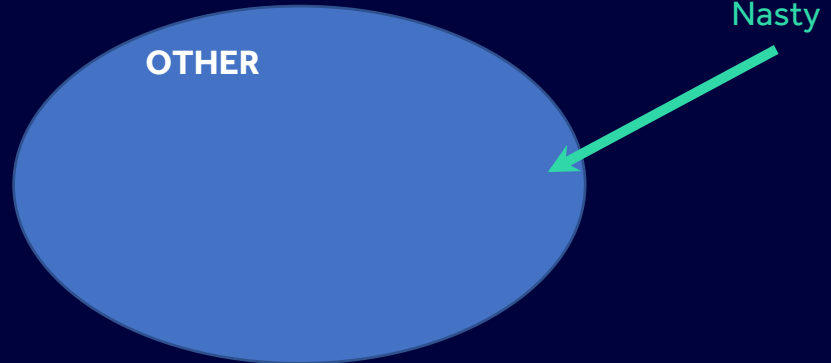
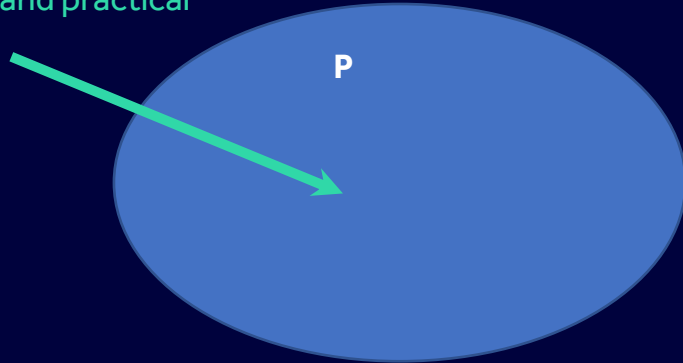
- $N$
- $\log N$
- $N \log N$
- Polynomial
- Exponential
- Hyper-exponential

# Cobham-Edmonds thesis

A problem can be feasibly computed if the complexity is polynomial  
That is they lie in the complexity class **P**

**P** is the set of problems decidable in polynomial time

Easy, fast and practical



# TSP

Julia Robinson, *On the Hamiltonian game (The travelling Salesman Problem)*, RAND report RM-303, 5<sup>th</sup> Dec 1949.

AD No. 204 961 AF 93/000 1949

ASTIA FILE COPY

FILE COPY  
Return to  
ASTIA  
ARLINGTON HALL STATION  
ARLINGTON 12, VIRGINIA  
Attn: T1555

U.S. AIR FORCE  
*Project* **RAND**

ON THE HAMILTONIAN GAME  
(A Traveling Salesmen Problem)  
Julia Robinson  
RM-303  
5 December 1949  
Copy No. 70

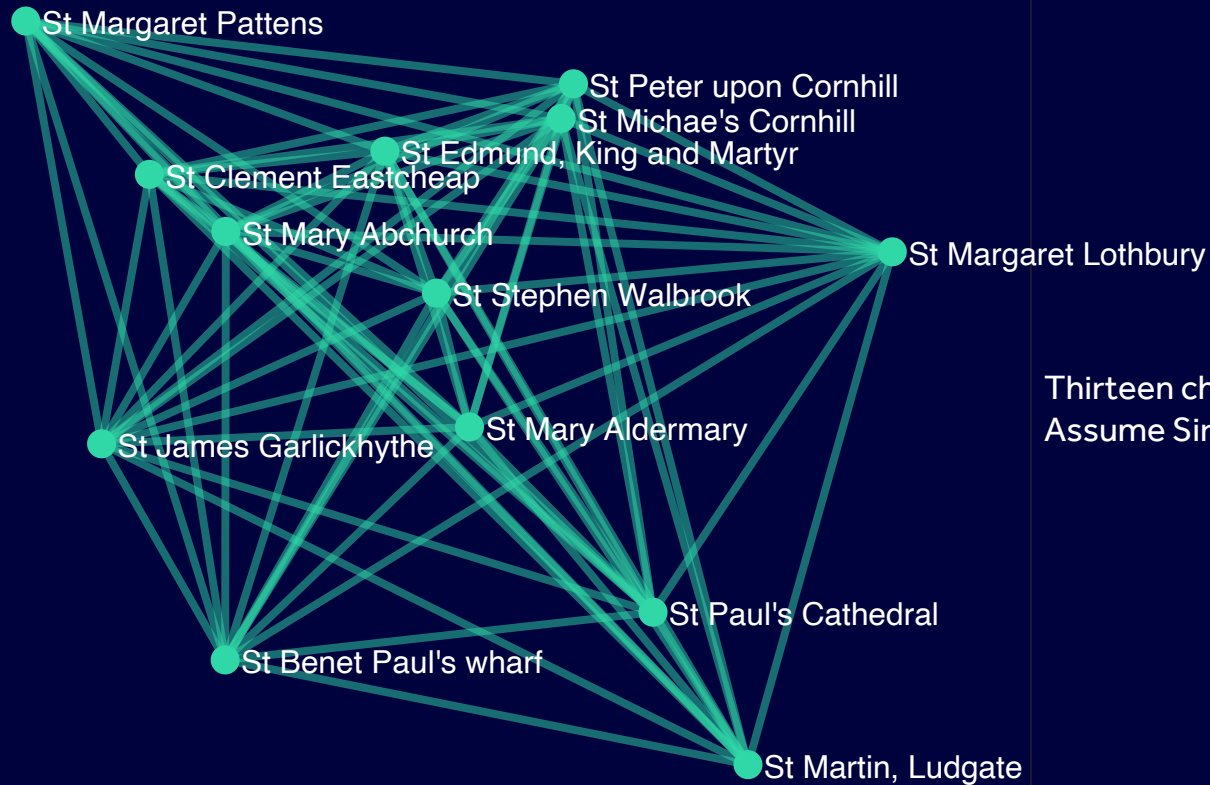
Box 2109

174 367 571

ASTIA

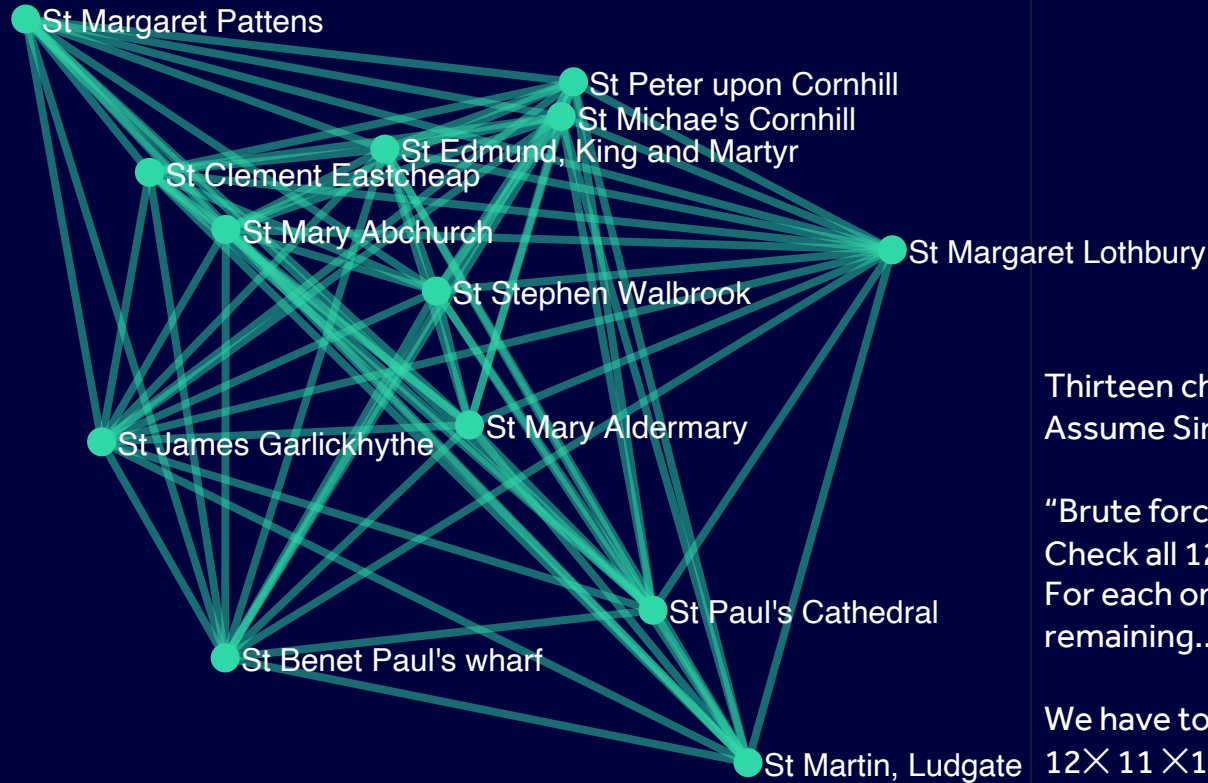
OCT 22 1958

The RAND Corporation  
SANTA MONICA · CALIFORNIA



Thirteen churches

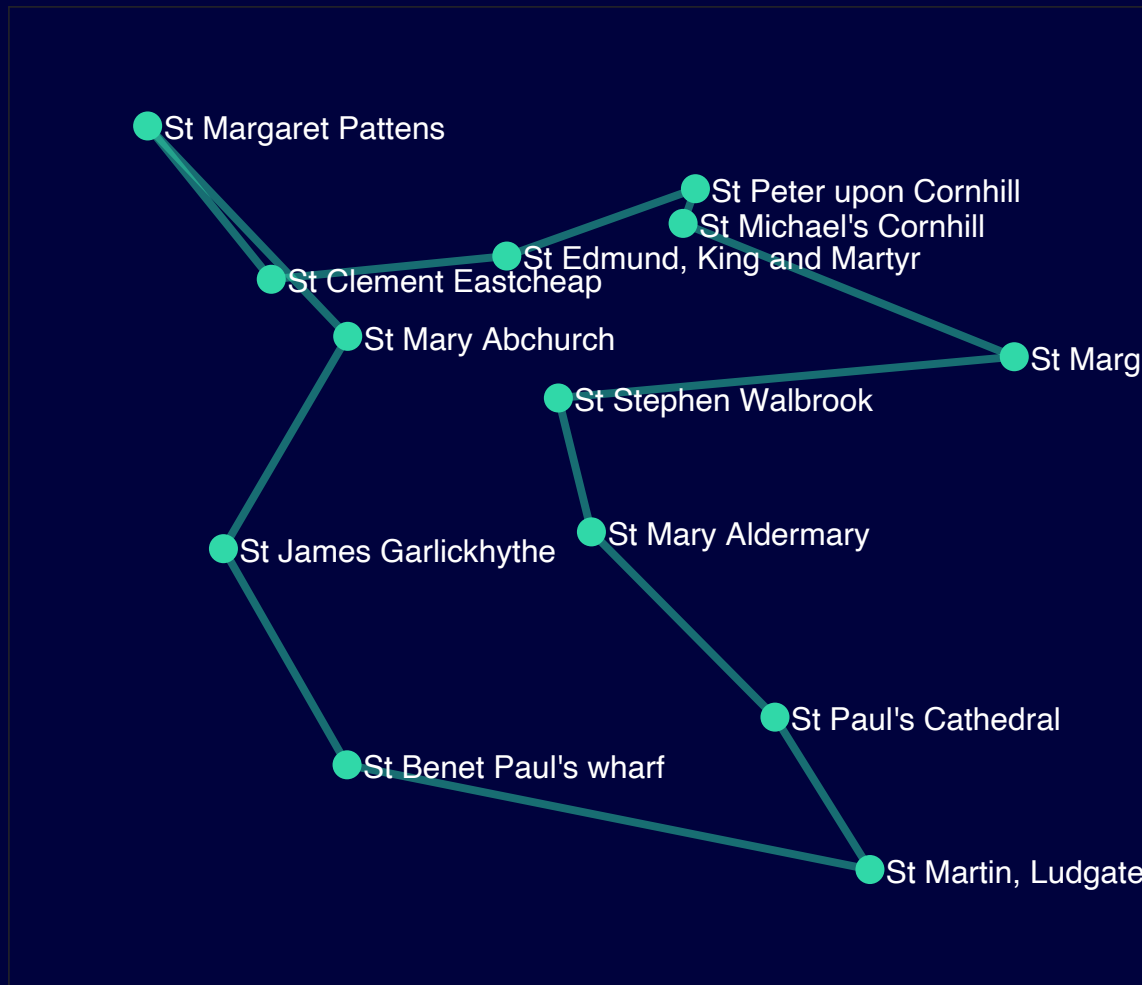
Assume Sir Christopher starts at St Paul's



Thirteen churches  
Assume Sir Christopher starts at St Paul's

"Brute force" search...  
Check all 12 remaining churches  
For each one of those check 11  
remaining..

We have to search...  
 $12 \times 11 \times 10 \times \dots \times 2 \times 1 = 479,001,600$  routes

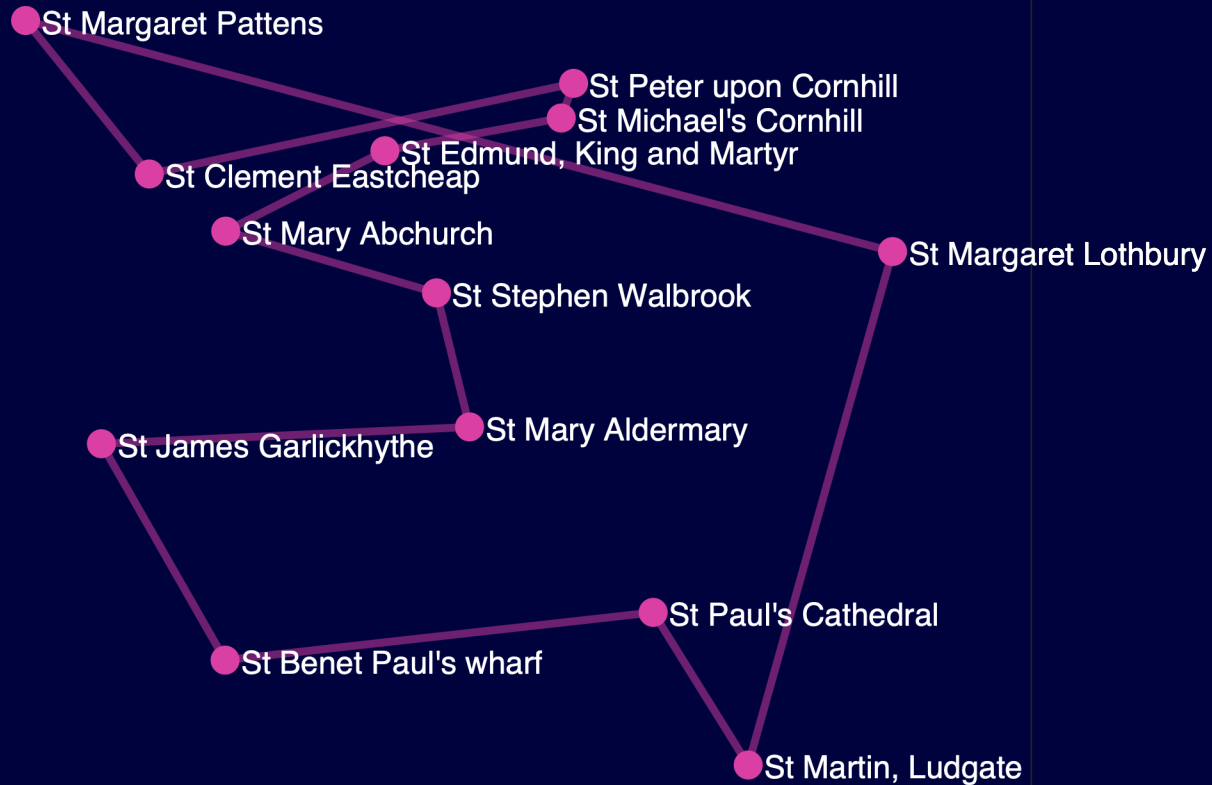


Optimal route, using  
symmetrised Google maps  
walking distances is 4422m

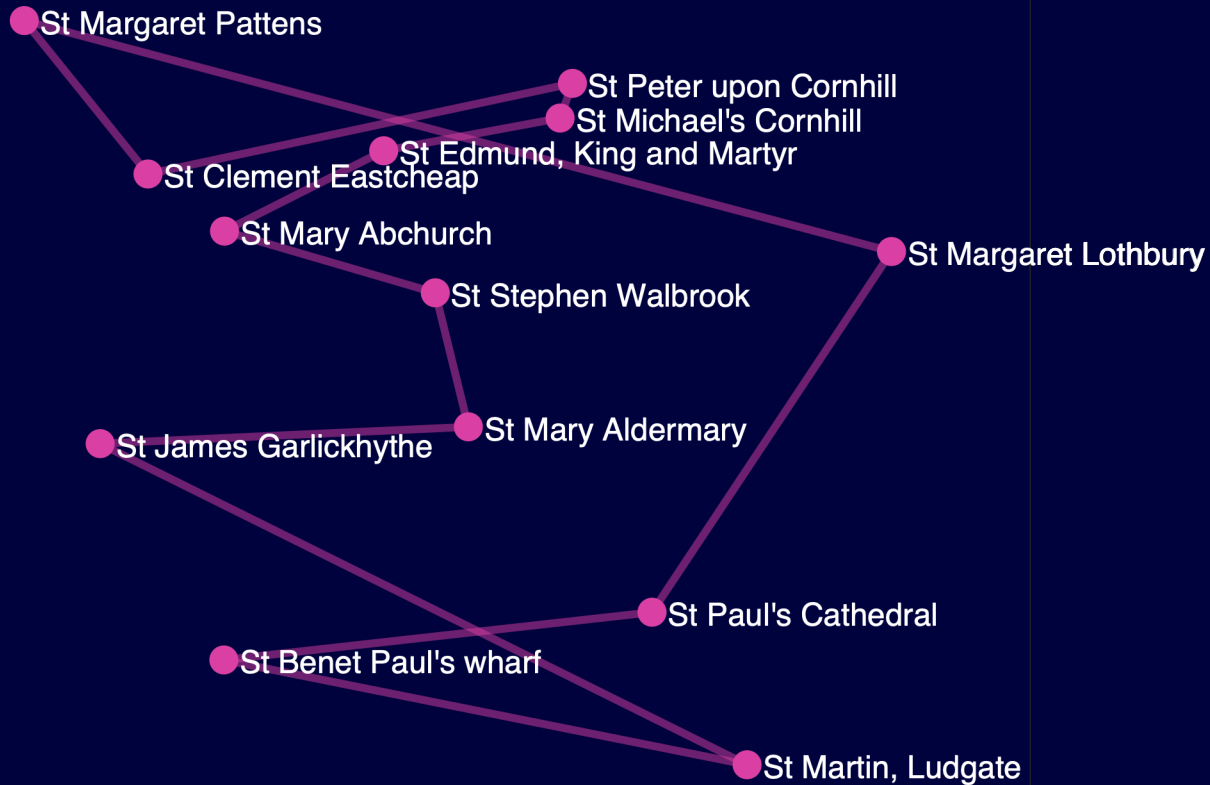


# TSP

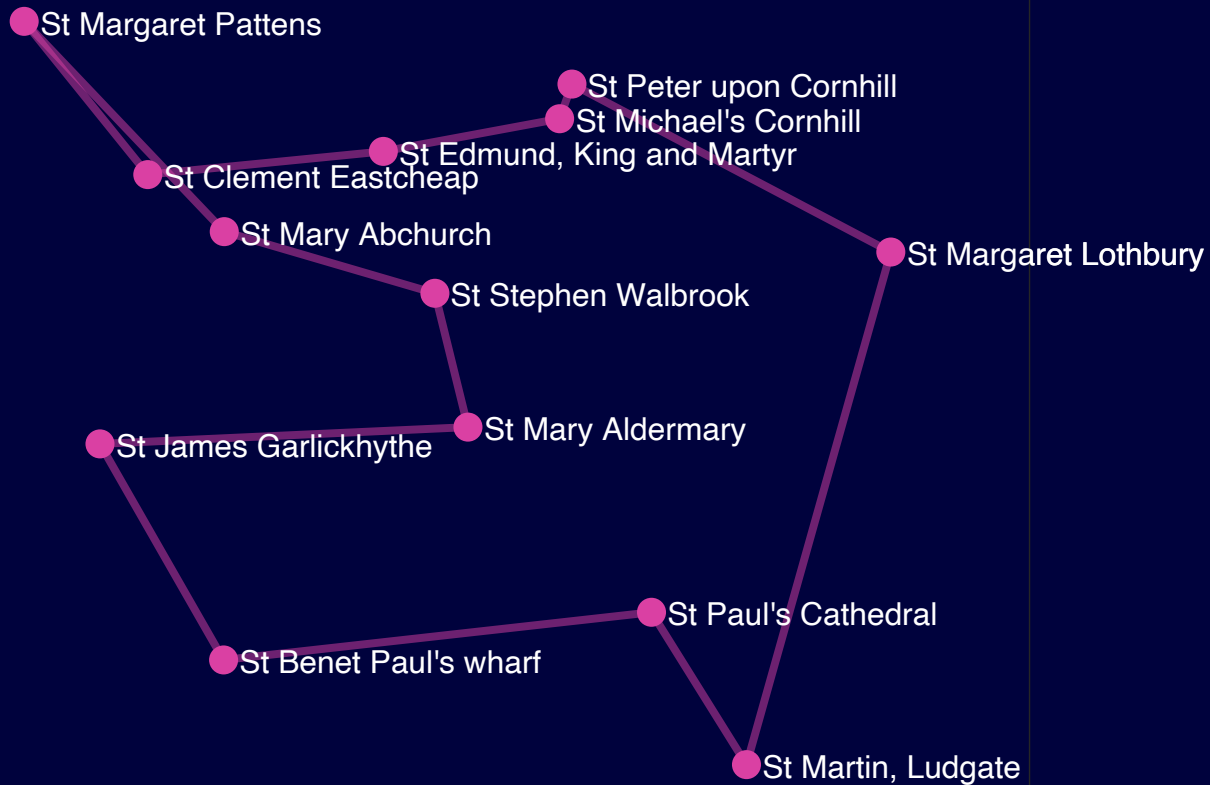
- But if we wanted to visit all of Wren's London churches?
- There are 52
- My algorithm running on my Mac with 13 churches took around 1ms.
- So 52 churches will take  $\frac{52!}{12!}$  ms  
=  $5.3 \times 10^{48}$  years using brute force!
- So efficient exact algorithms are desired...
- But none have been found!



Start at St Paul's  
Nearest neighbour  
5054 m



Worst NN tour  
(St James)  
5353 m



Worst NN tour  
(St James)  
5353 m

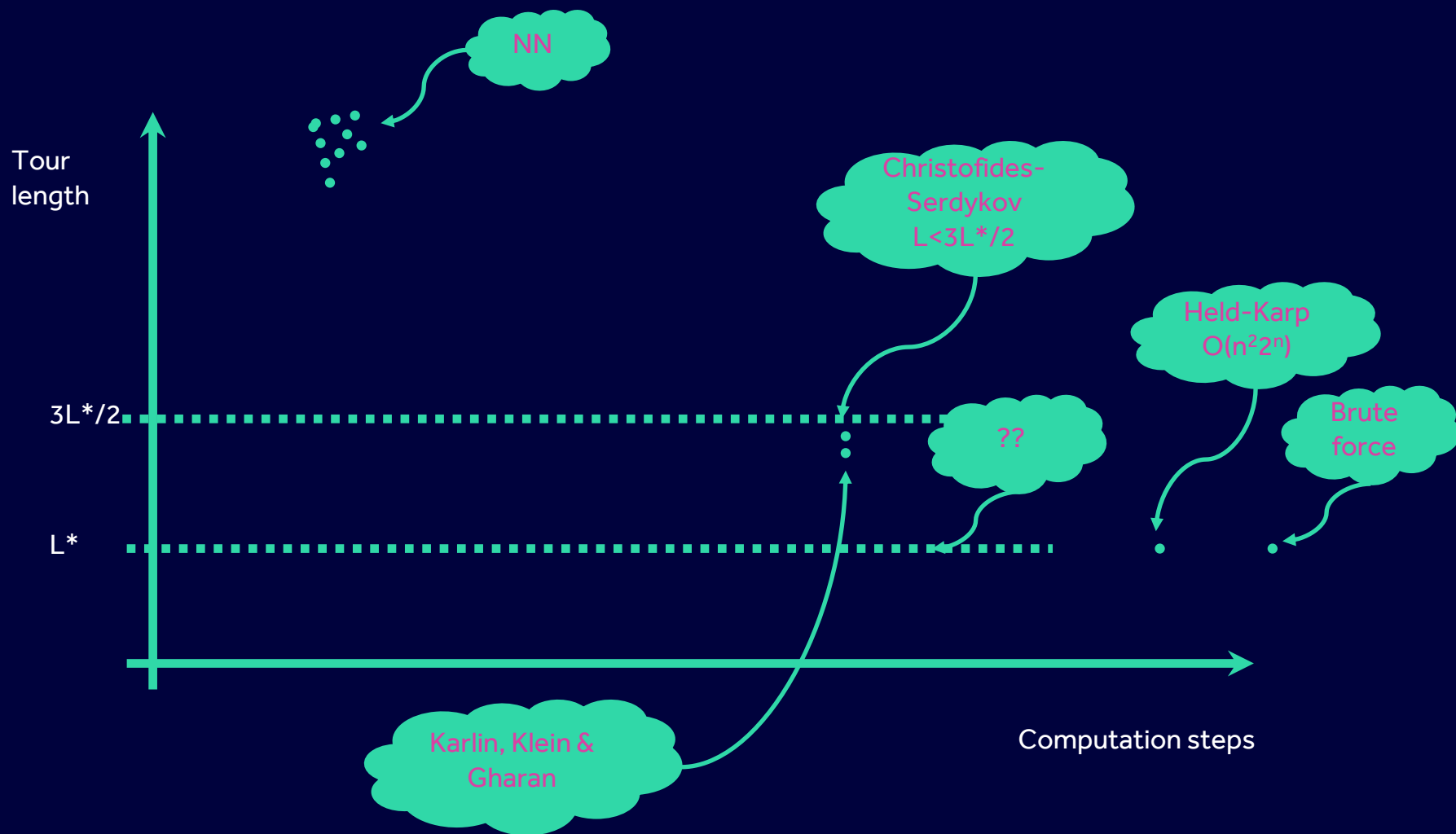
Best NN tour  
(St Peter )  
4700 m

# TSP Bounds

If the length of NN solution is  $L_{NN}$  and optimal length is  $L^*$  then

$$L^* \leq L_{NN}$$

The NN solution is an *upper bound* on the best solution.



# Algorithmic structures

- Iteration
- Recursion:

`factorial(n) = n · factorial(n-1); factorial(1) = 1;`

`factorial(4)`    `= 4 · 3 · factorial(3)`  
                  `= 4 · 3 · factorial(2)`  
                  `= 4 · 3 · 2 · factorial(1)`  
                  `= 4 · 3 · 2 · 1`  
                  `= 24`

# Representing algorithms

## Pseudocode

### Algorithm [\[edit\]](#)

Let  $G = (V, w)$  be an instance of the travelling salesman problem. That is,  $G$  is a complete graph on the set  $V$  of vertices, and the function  $w$  assigns a nonnegative real weight to every edge of  $G$ . According to the triangle inequality, for every three vertices  $u$ ,  $v$ , and  $x$ , it should be the case that  $w(uv) + w(vx) \geq w(ux)$ .

Then the algorithm can be described in [pseudocode](#) as follows.<sup>[1]</sup>

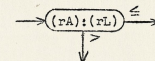
1. Create a [minimum spanning tree](#)  $T$  of  $G$ .
2. Let  $O$  be the set of vertices with odd [degree](#) in  $T$ . By the [handshaking lemma](#),  $O$  has an even number of vertices.
3. Find a minimum-weight [perfect matching](#)  $M$  in the [induced subgraph](#) given by the vertices from  $O$ .
4. Combine the edges of  $M$  and  $T$  to form a connected [multigraph](#)  $H$  in which each vertex has even degree.
5. Form an [Eulerian circuit](#) in  $H$ .
6. Make the circuit found in previous step into a [Hamiltonian circuit](#) by skipping repeated vertices (*shortcutting*).

[Wikipedia](#) article on Christofides-Serdyukov algorithm for solving TSP.

## Flowchart

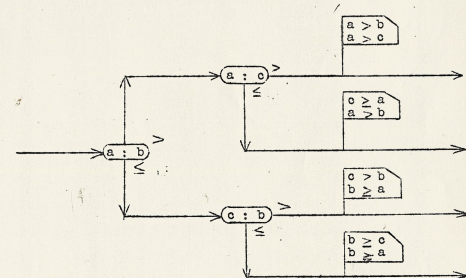
MP-2  
6/15/50 p. 4

6.1.1 The T instruction transfers control when the quantity in rA is algebraically greater than the quantity in rL.

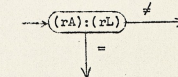


Convention requires that the quantity in rA be written at the left and the quantity in rL be written at the right. A colon is used to separate the two quantities.

6.1.2 Determine the largest of three quantities: a, b, c



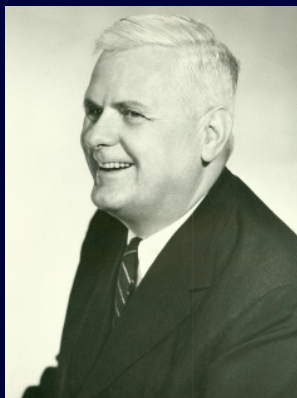
6.1.3 The Q instruction transfers control when the quantity in rA equals the quantity in rL.



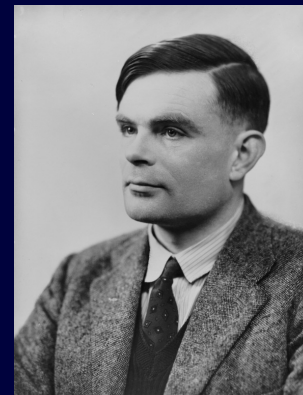
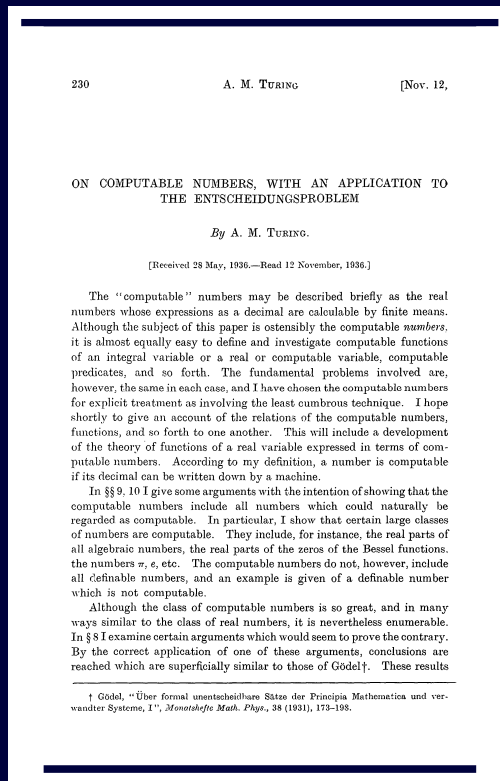
Flowchart attributed to Margery K League from the Grace Murray Hopper Collection at the [National Museum of American History](#). c. 1949



# Algorithms more formally

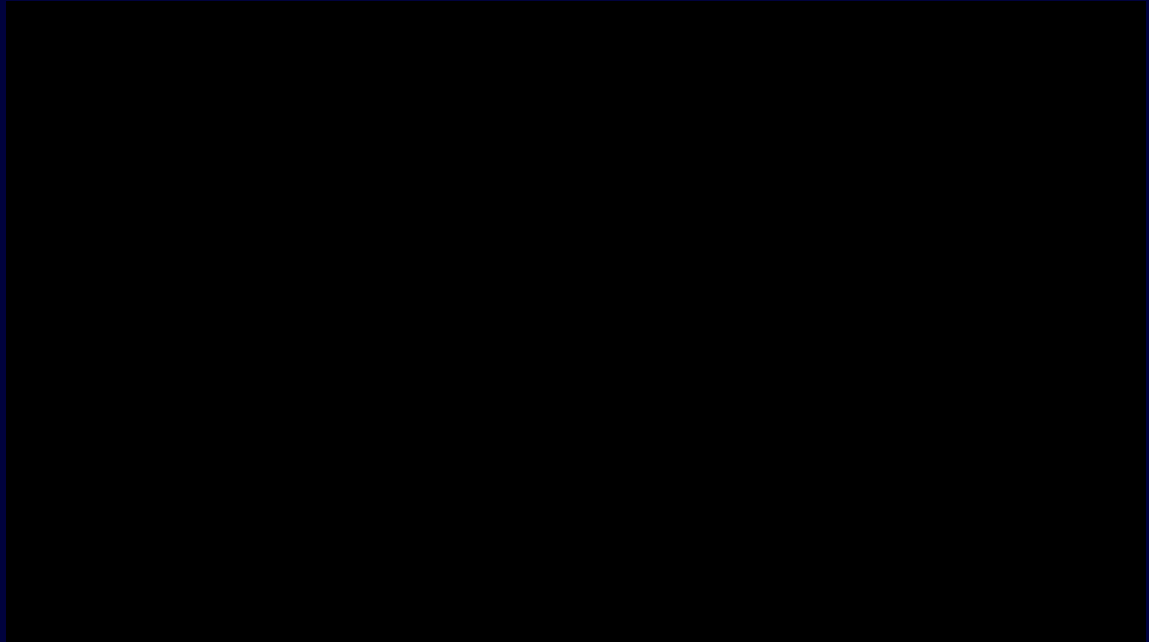


$\lambda$ -calculus



Turing machines

# Turing machine



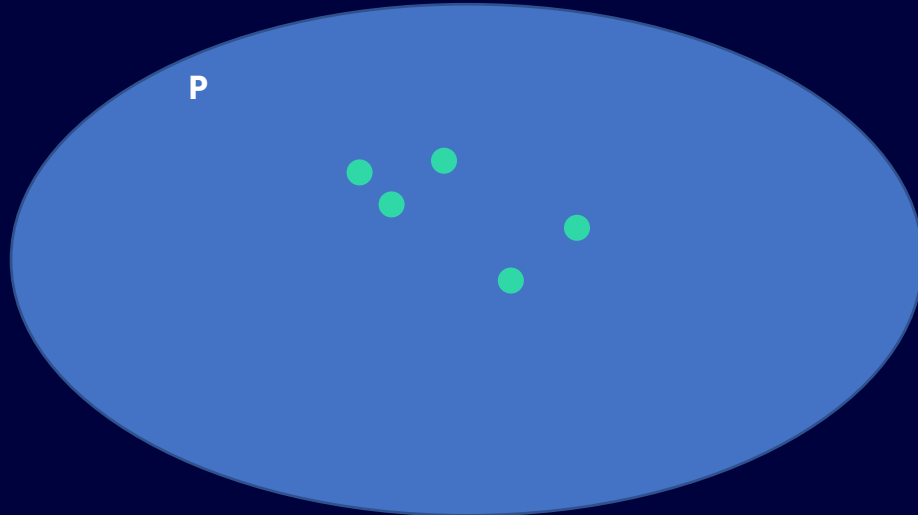
A Turing Machine – Overview [YouTube http://aturingmachine.com](http://aturingmachine.com)

# Decision problem

- A problem that has a yes/no answer
- Function problems can be converted into decision problems
  - $z = x + y$  becomes "Does  $z = x + y$ ?"
  - Find the shortest circuit (TSP) becomes "Is there a circuit that is less than some number?"

# P

- The set of all decision problems that can be solved by a deterministic Turing machine in a polynomial time



# NP

- Is a solution verifiable in polynomial time?

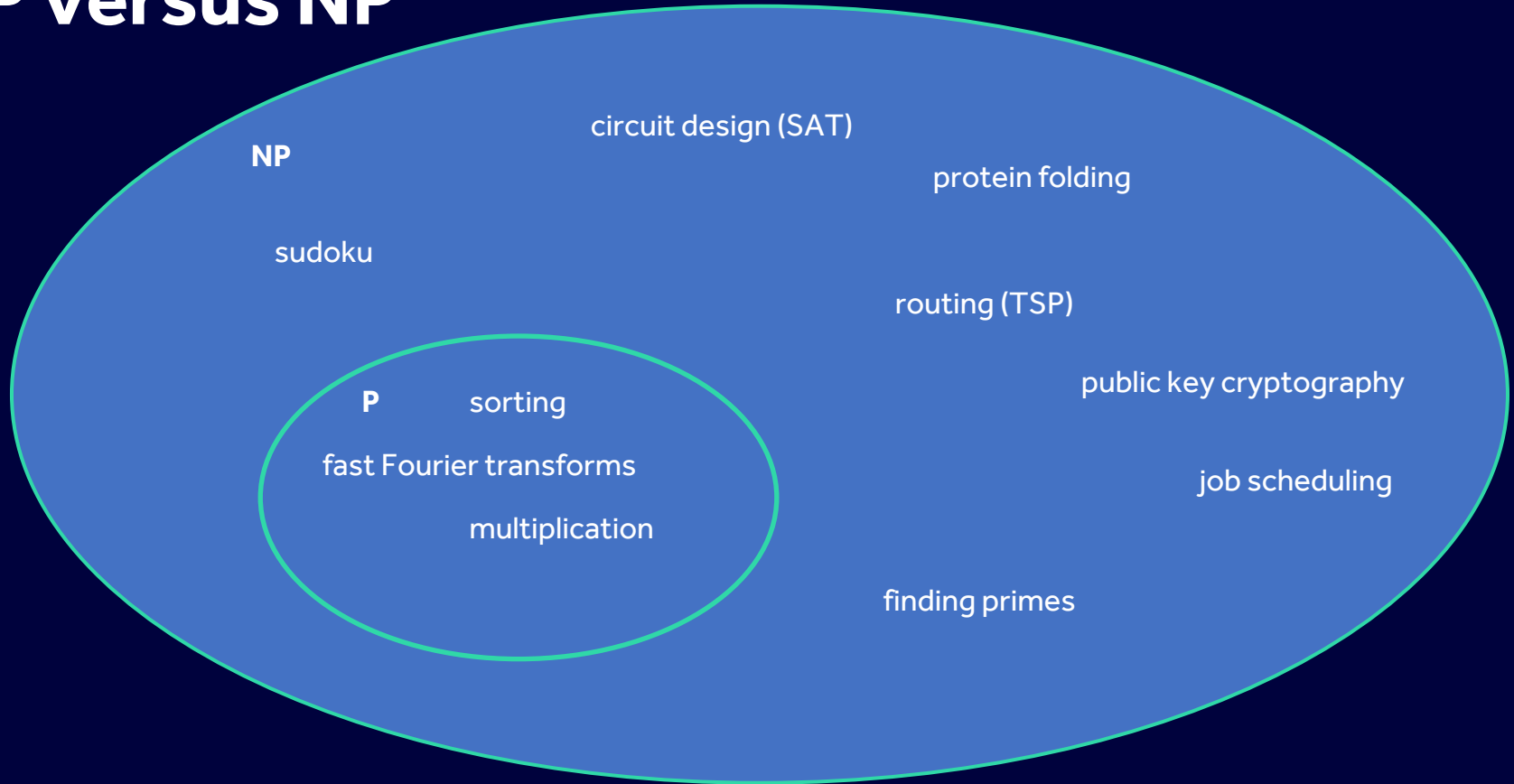
- Sudoku

Verification is polynomial

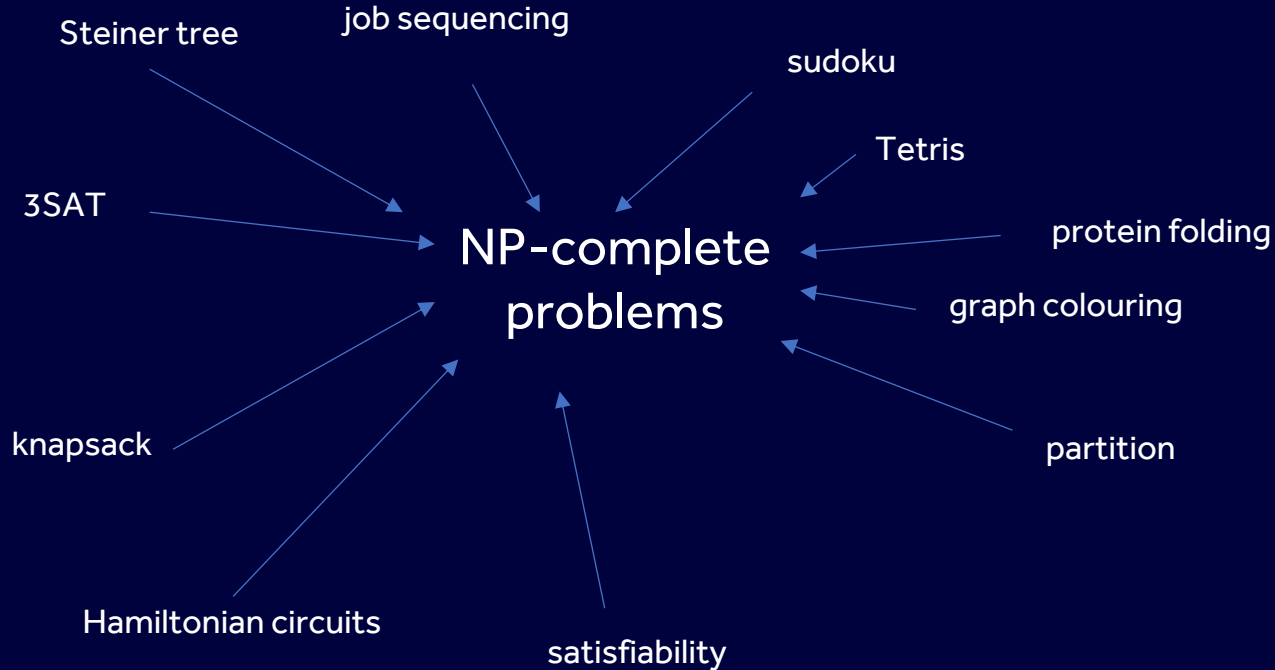
If we are given a solution then we can verify it in polynomial time

	1	2		3	4	5	6	7
	3	4	5		6	1	8	2
		1		5	8	2		6
		8	6					1
	2				7		5	
		3	7		5		2	8
	8			6		7		
2		7		8	3	6	1	5

# P versus NP

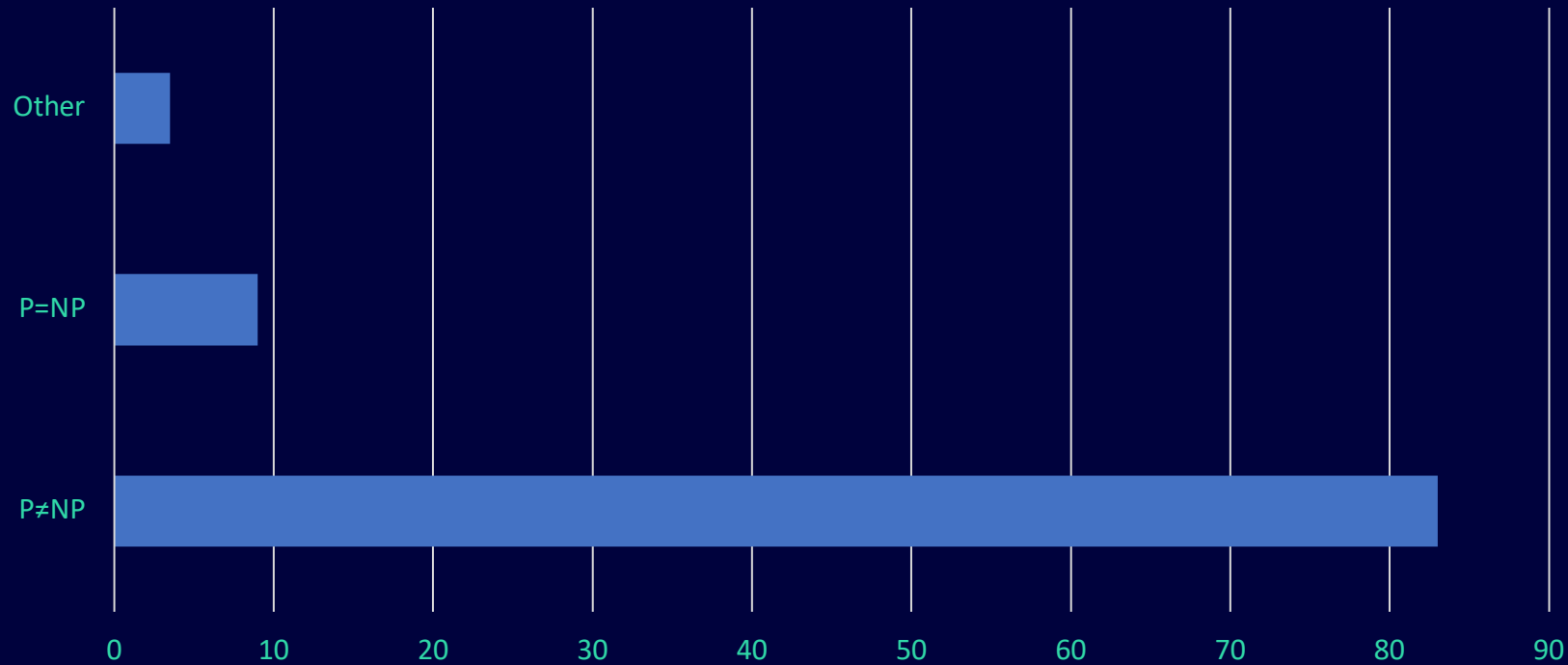


# Many problems are *reducible*



P vs NP and the Computational complexity zoo,  
hackerdashery, YouTube 2014  
<https://www.youtube.com/watch?v=YX40hbAHx3s>

# What do complexity theorists think?



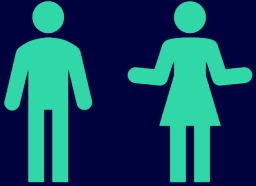


# Algorithm asymmetry

- Algorithms that take ages to compute a solution but for which it is trivial to verify a solution are also useful.
- Subset-sum problem.
  - Given a number,  $S$ , can we compute positive numbers,  $n_i$ , such that
$$S = n_1 + n_2 + \dots n_m?$$
  - Obviously verification is trivial – we just add up the numbers and check they make  $S$ .

# Algorithm asymmetry

keys = 1,3,5



keys = 1,3,5



# Bob's world

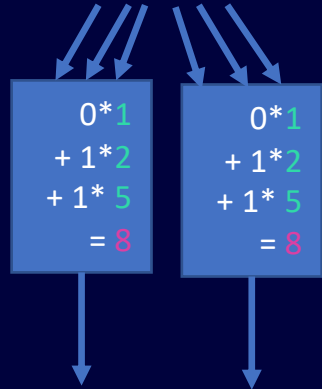
keys = 1,3,5



"1,2,3"

Message  
Binary message

1 2 3  
011011



"8,8"

# Algorithm asymmetry

keys = 1,3,5



"8,8"

keys = 1,3,5



# Alice's world

```
decoded = [0 0 0]
key = largest(keys)
i = 3
while (message - key) > 0
    decoded(i) = 1
    message = message - key
    i = i - 1
end
```

```
message
8
3
0
```

```
decode
[0 0 0]
[0 0 1]
[0 1 1]
```

keys = 1,3,5



"01 10 11" is  
"1,2,3"

# Eve's world

Assume, via espionage, Eve knows the number of keys and she knows they are in the interval  $[1, 8]$

[illegible]

# Algorithm asymmetry

keys = 1,3,5



Encoding in P

Decoding in P

keys = 1,3,5

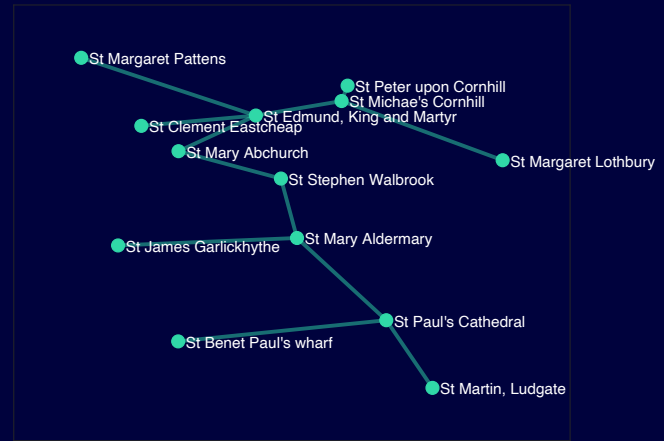


Evesdropping in  
EXP or worse



# Algorithms that morph

- Problems that go from easy to hard with minor tweaks
- What if, in TSP, if we temporality allowed backtracking (retracting our steps) at no cost?
- Ah! That is a much easier problem called a Minimal Spanning Tree (MST)
- Solve the MST problem and then edit the solution to avoid revisits.



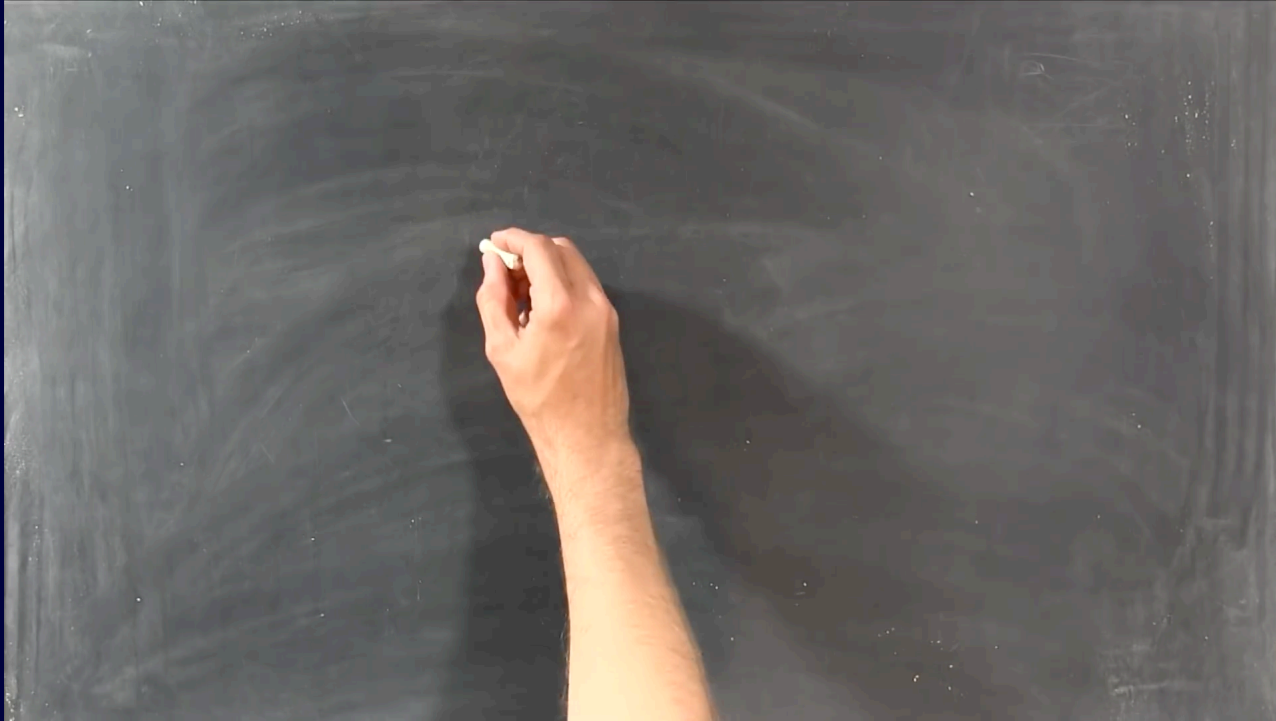


# Where next for complexity?

- Proving that  $P = NP$  is tricky because
  - Proving something is itself an NP problem
- There are plenty of complexity classes
  - 417 according to Scott Aaronson!
  - Includes quantum classes
  - Problems that are not decision problems
  - Problems that are more difficult than polynomial

Important point – just because a decision problem is in a difficult complexity class; it does not mean we can do nothing – Amazon drivers still deliver!

# The complexity zoo



P vs NP and the Computational Complexity Zoo, Hackerdashery, YouTube Aug 2014

<https://www.youtube.com/watch?v=YX40hbAHx3s>

lucid, systematic,  
and penetrating  
treatment of basic  
and dynamic data  
structures, sorting,  
recursive algorithms,  
language structures,  
and compiling

NIKLAUS WIRTH

# Algorithms + Data Structures = Programs

PRENTICE-HALL  
SERIES IN  
AUTOMATIC  
COMPUTATION

Algorithms (this lecture)

Data structures (24<sup>th</sup> Nov 2020 18:00)

Programs (2<sup>nd</sup> Feb 2021 18:00)

Computers (9<sup>th</sup> March 2021 18:00)

Networks (20<sup>th</sup> April 2021 18:00)

Security (25<sup>th</sup> May 2021 18:00)