

Do other animal species have human-like emotions?

Professor Keith Kendrick

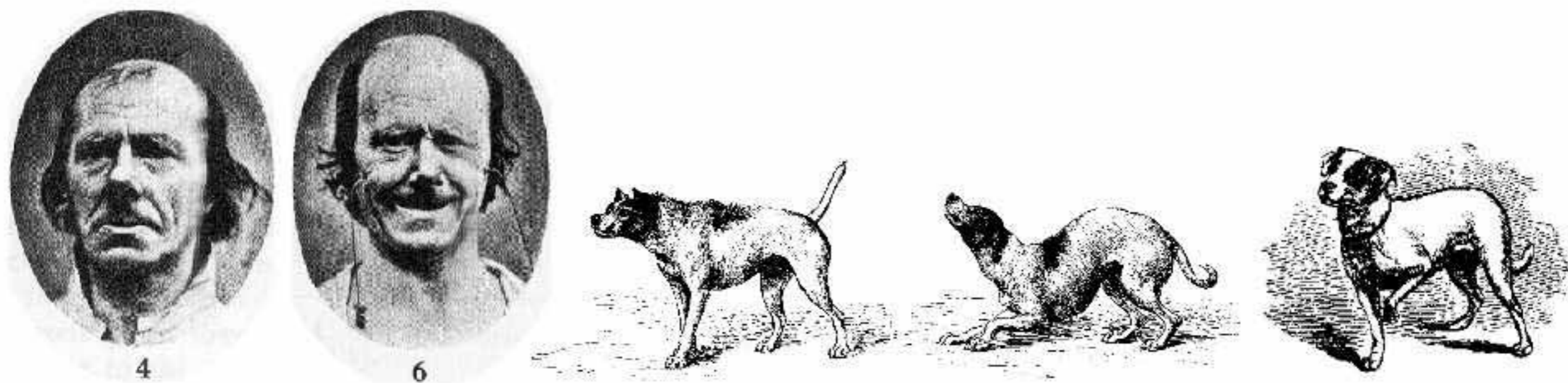


Do other animal species have human-like emotions?



# Human and animal emotions: are they the same ?

'To understand, as far as is possible, the source or origin of the various expressions which may be hourly seen on the faces of the men around us, not to mention our domesticated animals, ought to possess much interest for us.'



Charles Darwin 'The Expression of the Emotions in Man and Animals'

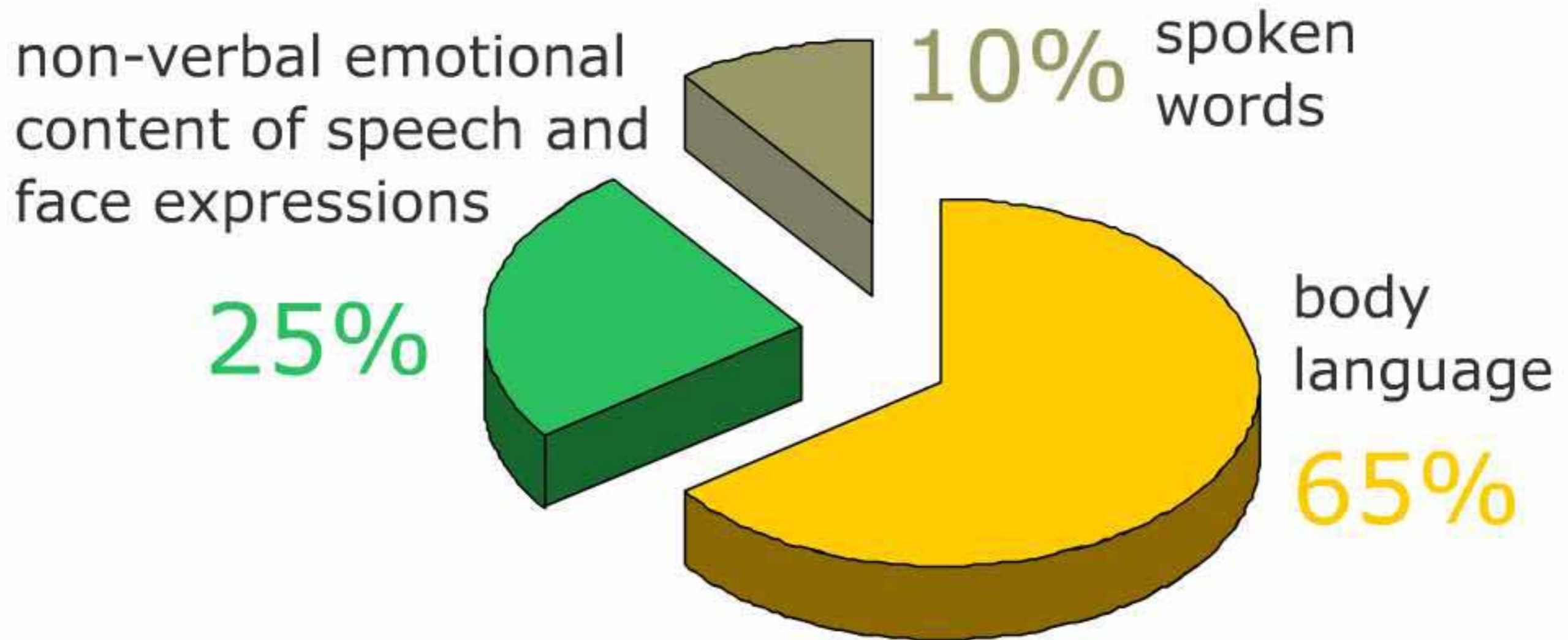
# Communication

For both humans and other animals the majority of what we communicate is our emotional state



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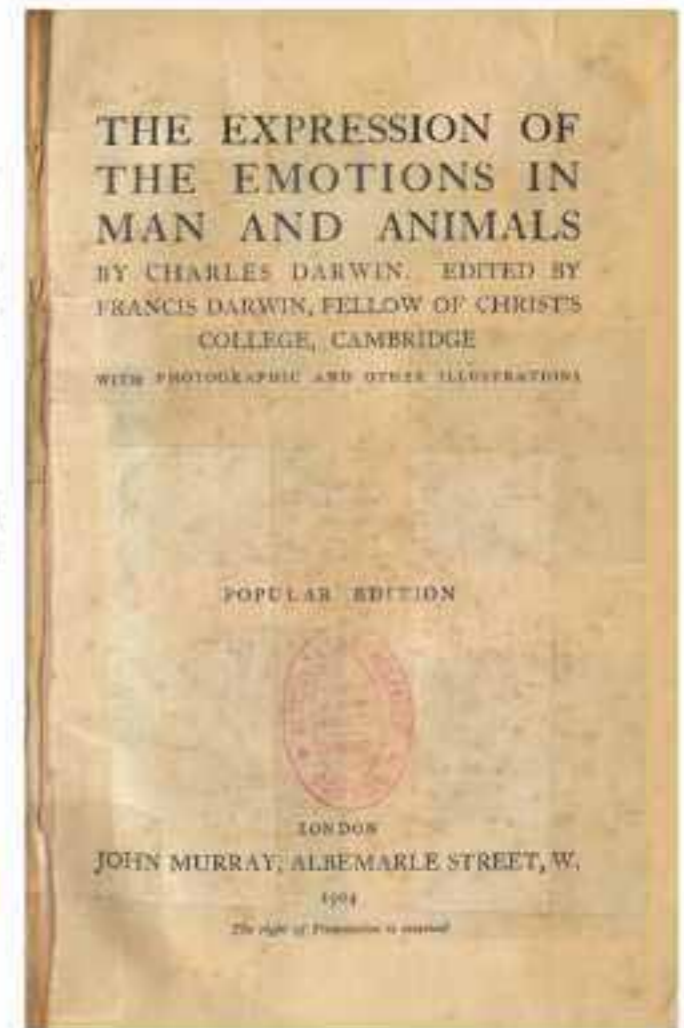
90% of communication is about how we are feeling now!

# Evolution, Anecdote and Experience say "yes"

Charles Darwin

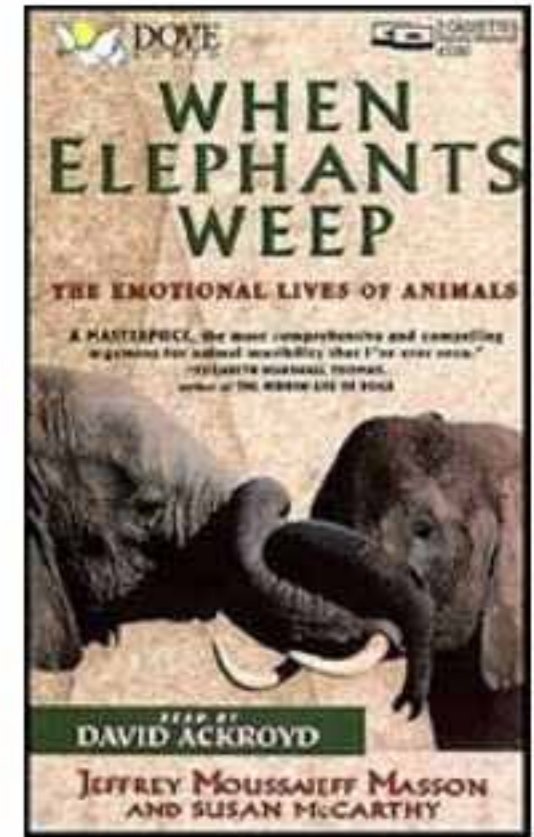
'.....the philosophy of our subject has well deserved the attention which it has already received from several excellent observers, and that it deserves still further attention, especially from any able physiologist.'

'The Expression of the Emotions in Man and Animals'



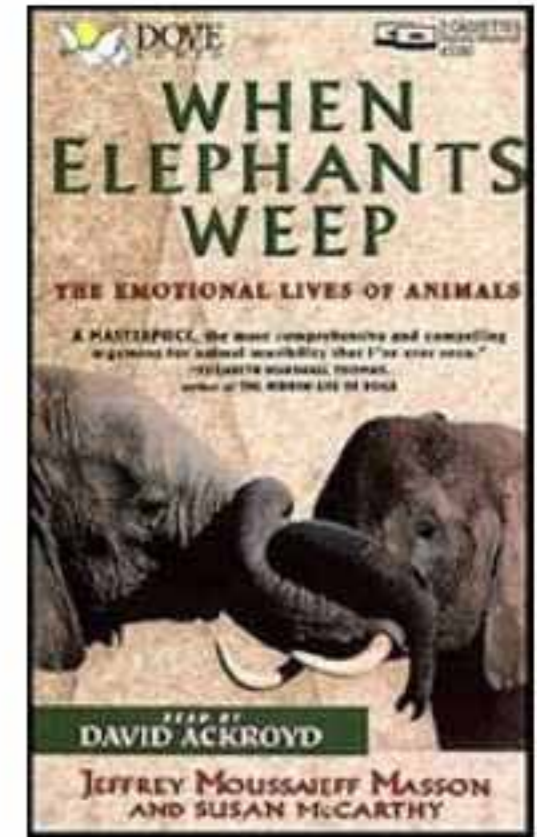
# Evolution, Anecdote and Experience say "yes"

Jeffrey Masson and Susan McCarthy  
'When Elephants Weep' (1994)



# Evolution, Anecdote and Experience say "yes"

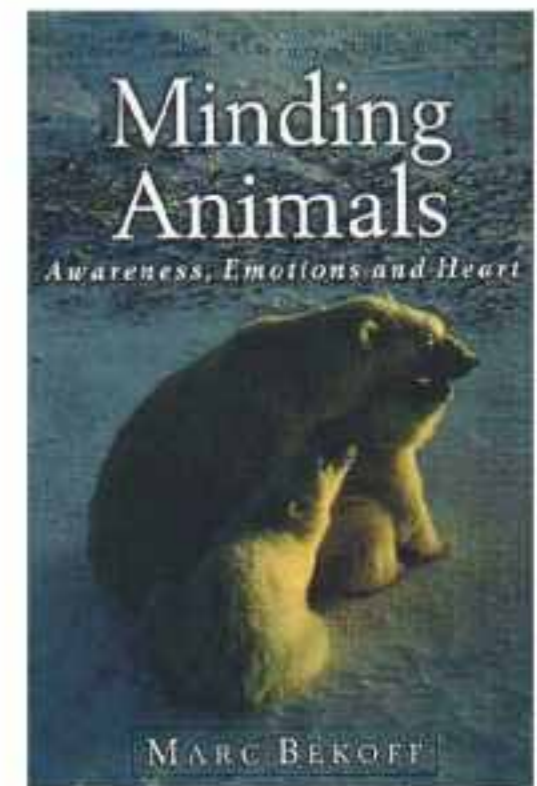
Jeffrey Masson and Susan McCarthy  
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Marc Bekoff  
'The Smile of a Dolphin:  
Remarkable Accounts of Animal Emotions'  
(2000)



'Minding Animals:  
Awareness, Emotions and Heart'  
(2002)





# Beware of anthropomorphic delusions



Descartes

## Beware of anthropomorphic delusions

Emotional responses can be entirely automatic and unconscious

The experience of feelings implies consciousness and animals may have only limited capacity for this

# Defining emotions

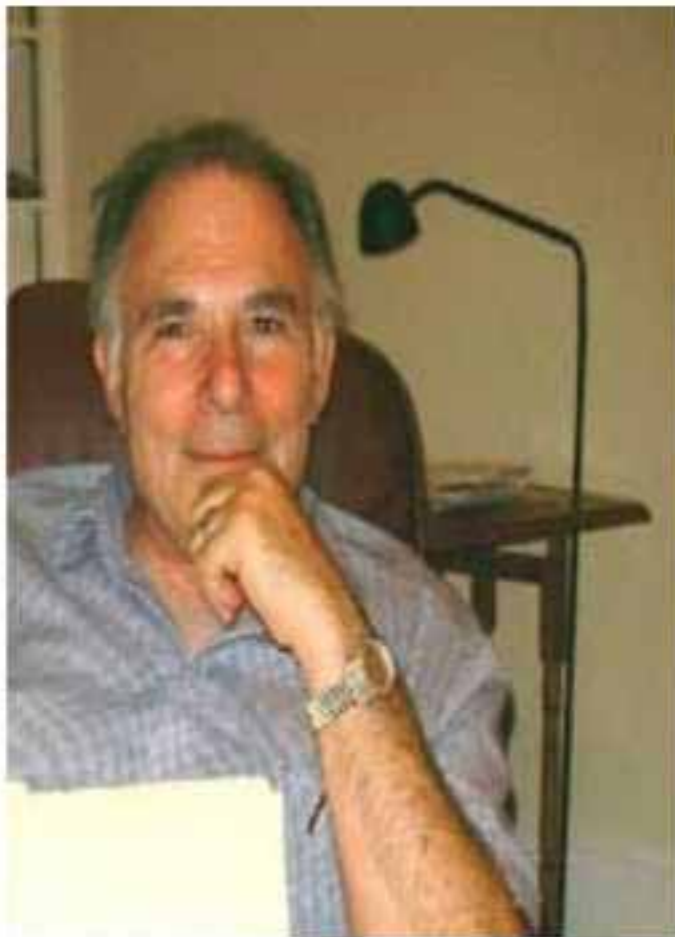
'A moving of the mind or soul; excitement of the feelings, whether pleasing or painful; disturbance or agitation of mind caused by a specific exciting cause and manifested by some sensible effect on the body'  
Webster's Revised Unabridged Dictionary (1913)

In humans over 100 different emotional states have been claimed

# Defining emotions

Primary emotions:

Paul Ekman

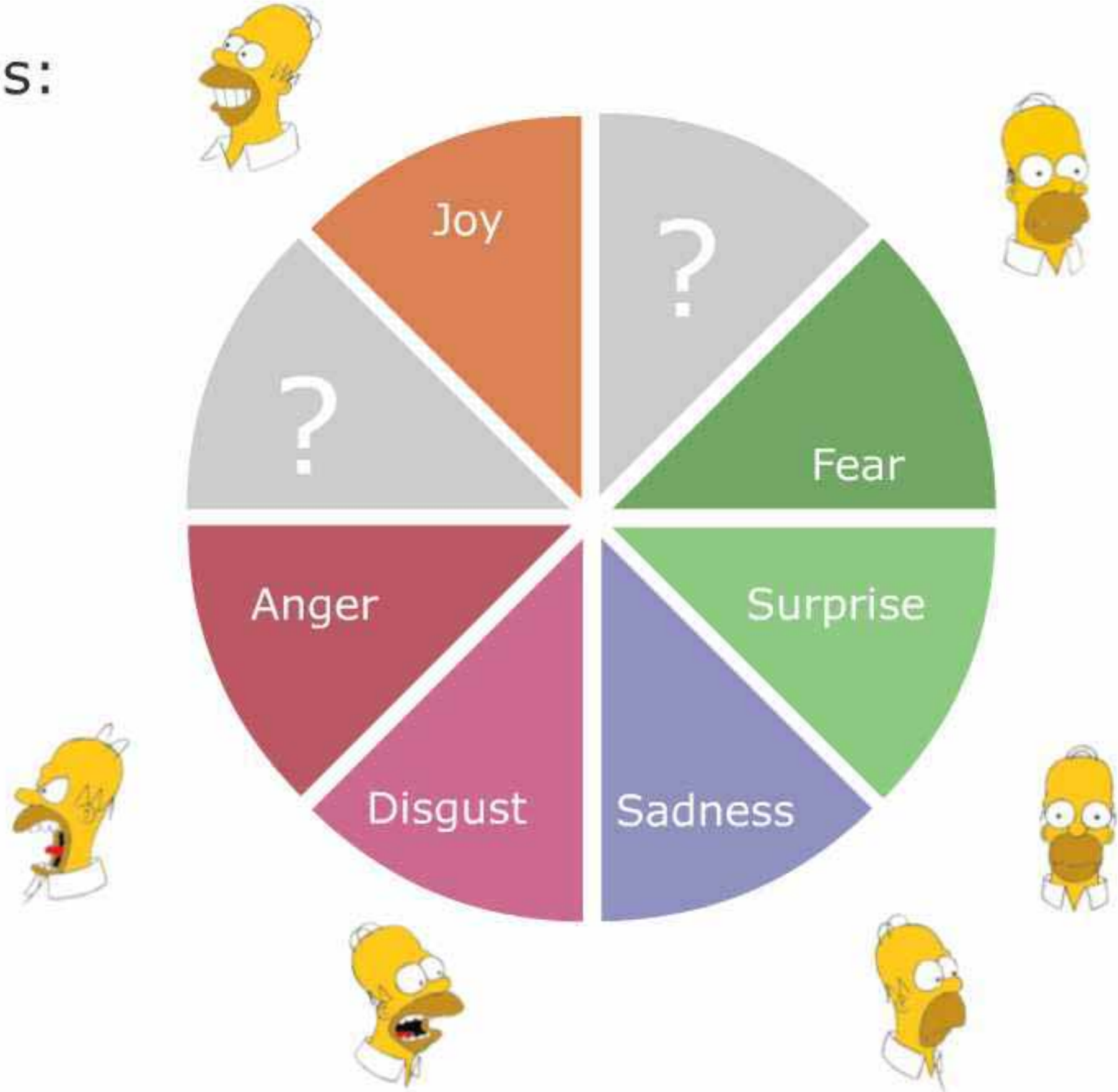
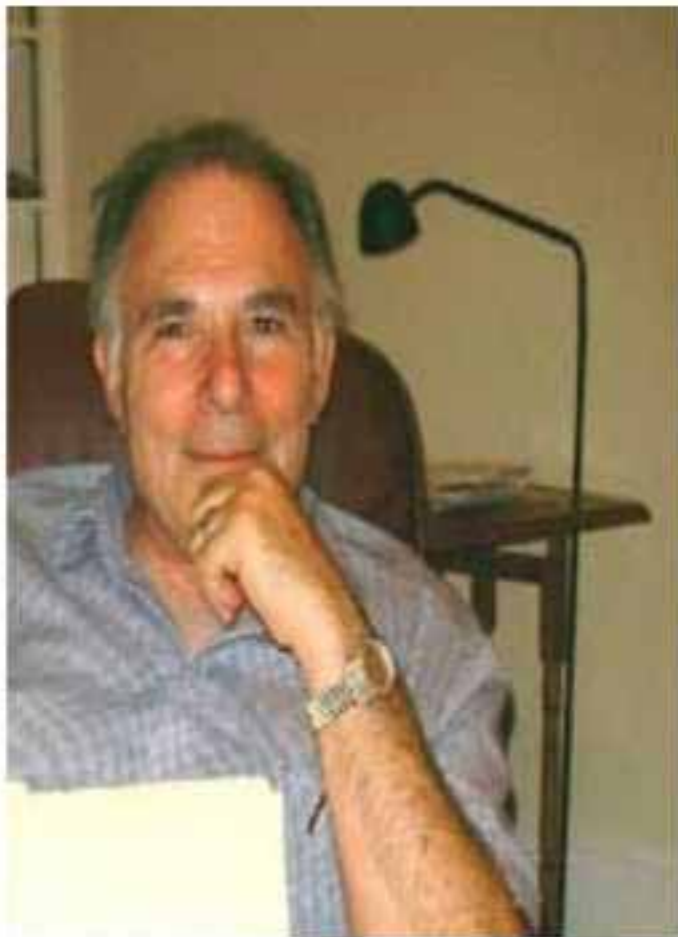


**“You always complain that I don’t know how to show my emotions, so I made these signs.”**

# Defining emotions

Primary emotions:

Paul Ekman

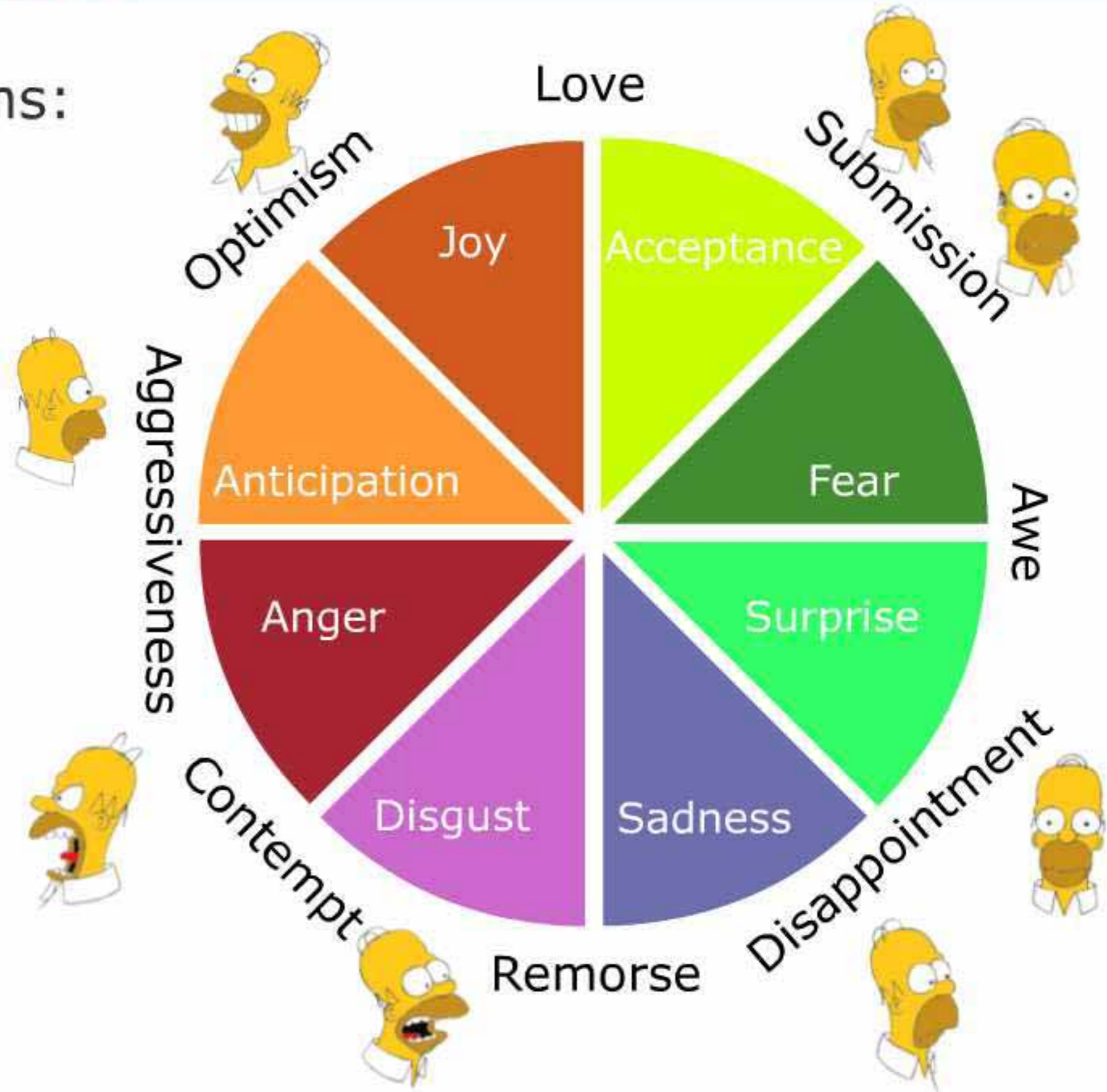


# Defining emotions

Primary emotions:

Paul Ekman

Robert Plutchik



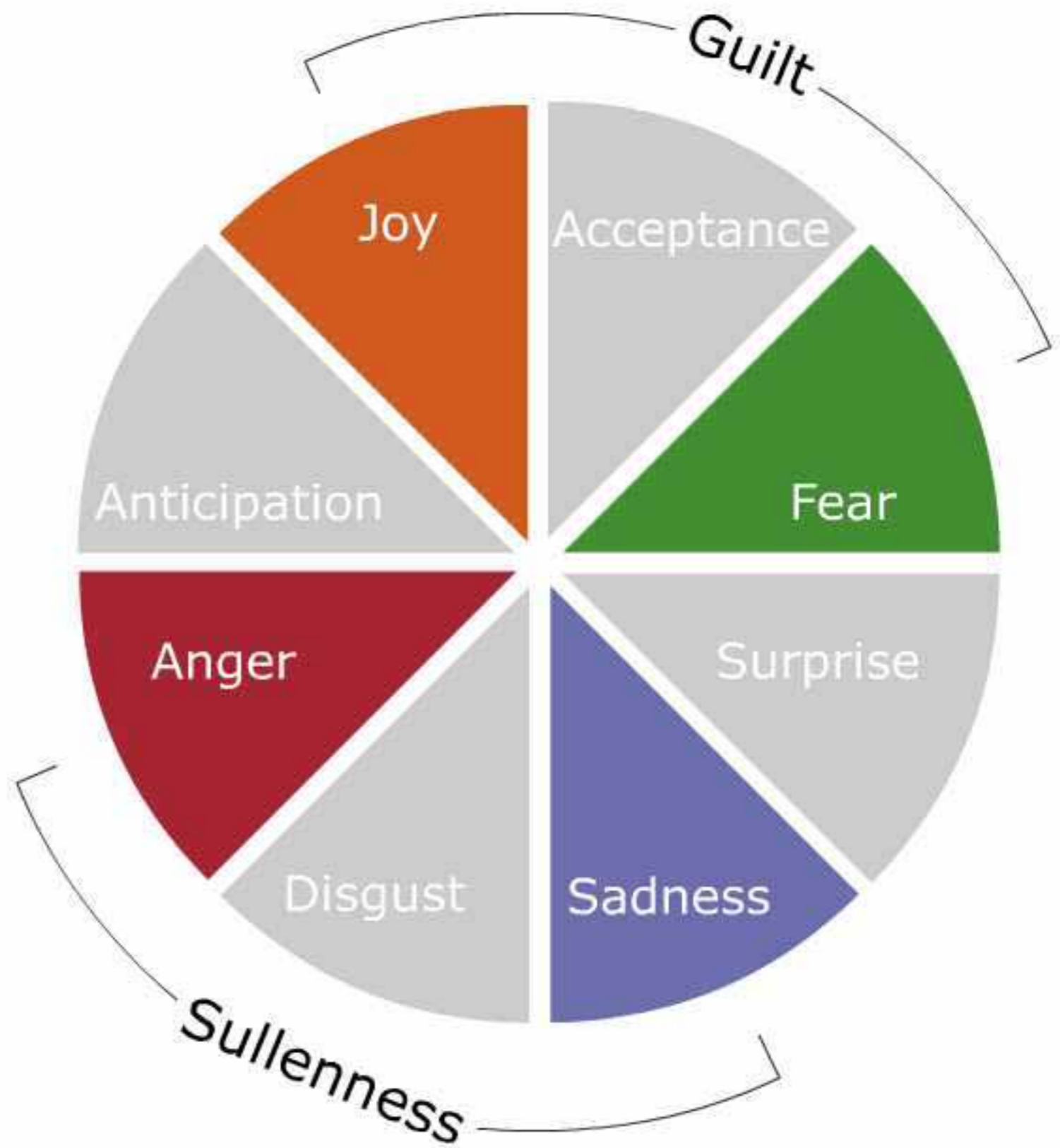
Primary dyads

# Defining emotions

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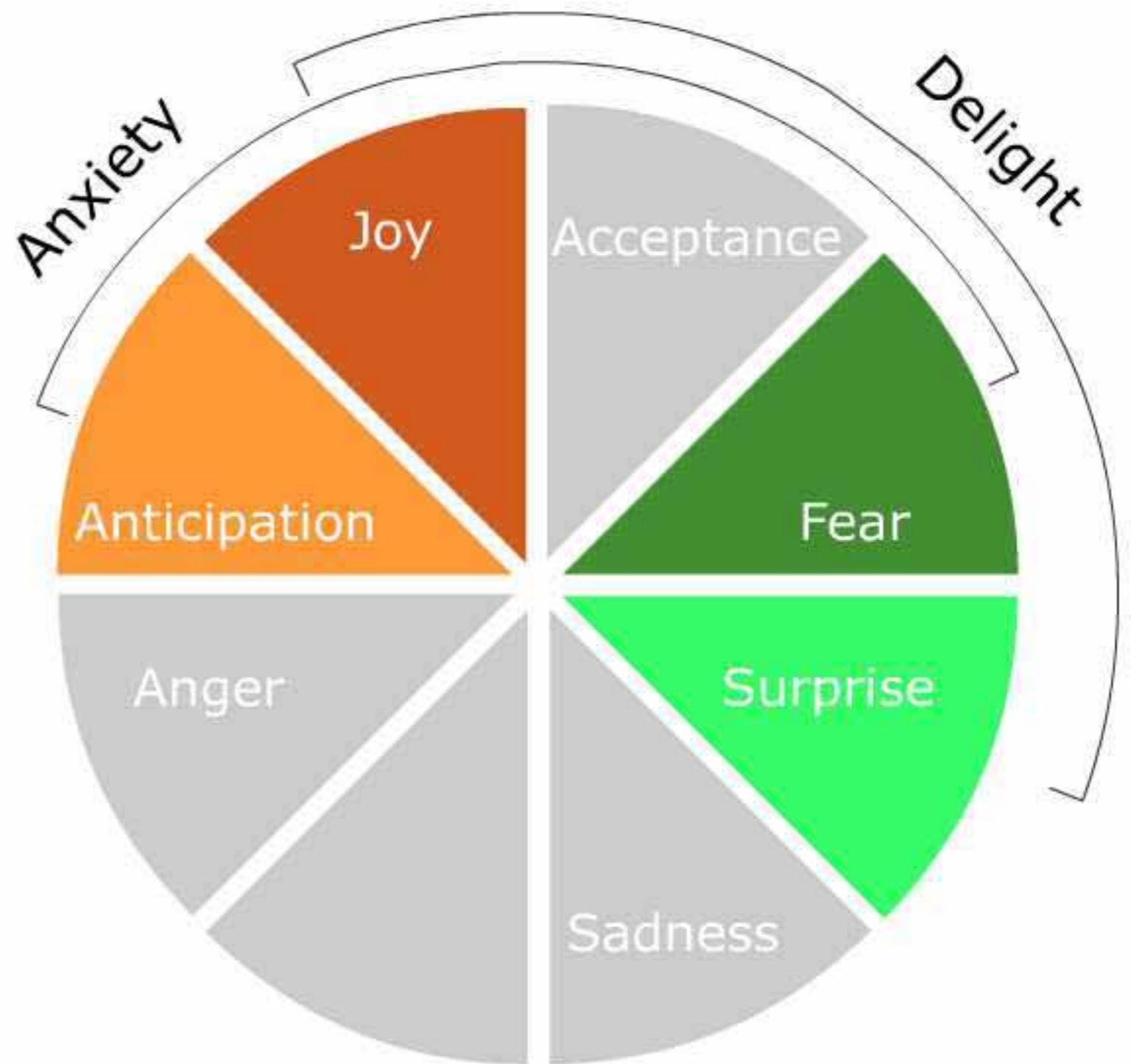
Secondary dyads

# Defining emotions

Primary emotions:

Paul Ekman

Robert Plutchik



Tertiary dyads



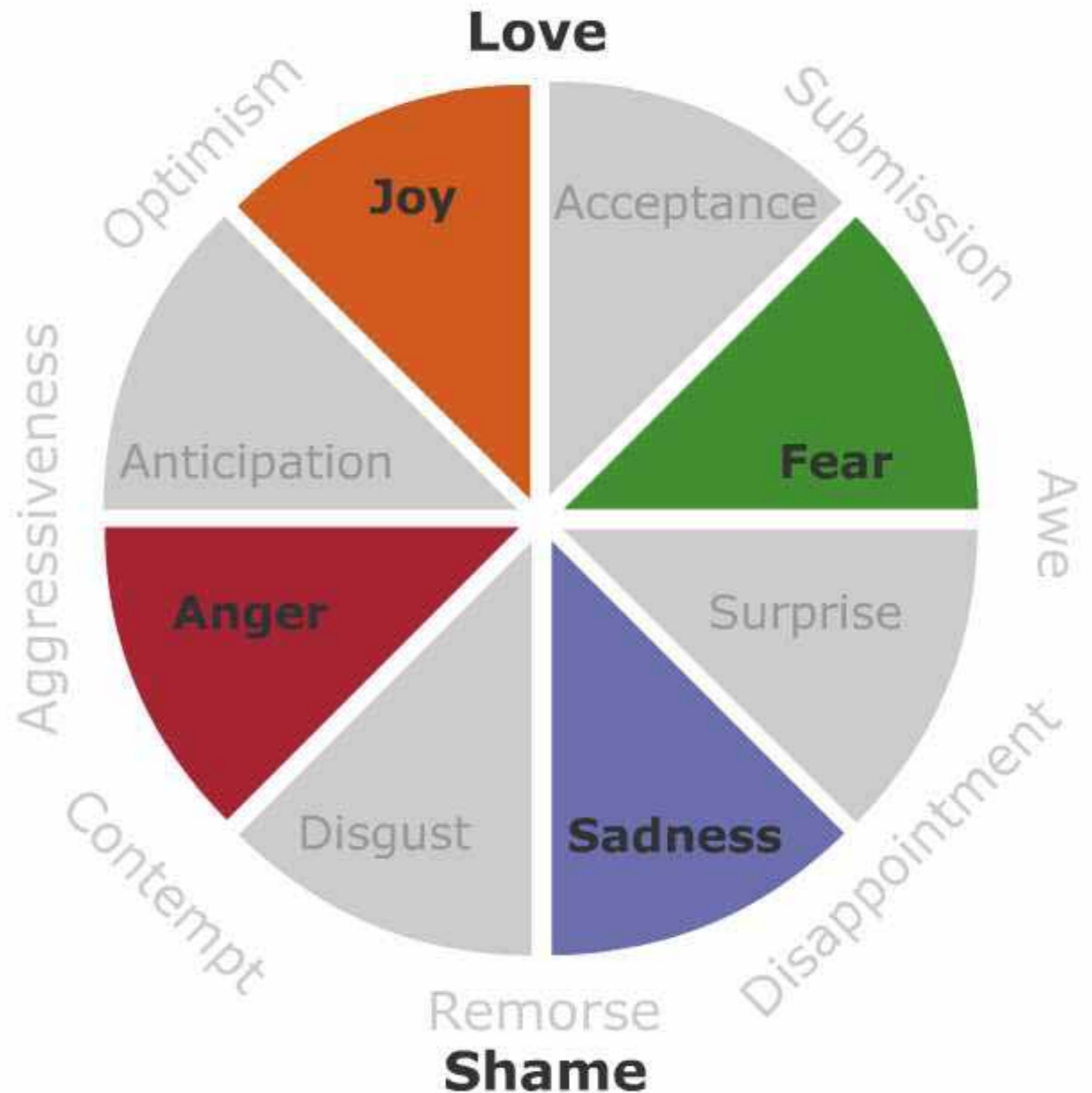
# Defining emotions

Primary emotions:

Paul Ekman

Robert Plutchik

This lecture



# What are emotions and why do we have them ?

Emotions move us !

Do we act because we feel  
- or do we feel because we act ?



# What are emotions and why do we have them ?

Emotions move us !

Do we act because we feel  
- or do we feel because we act ?

Sensory cue - emotional response - emotional state -  
feeling

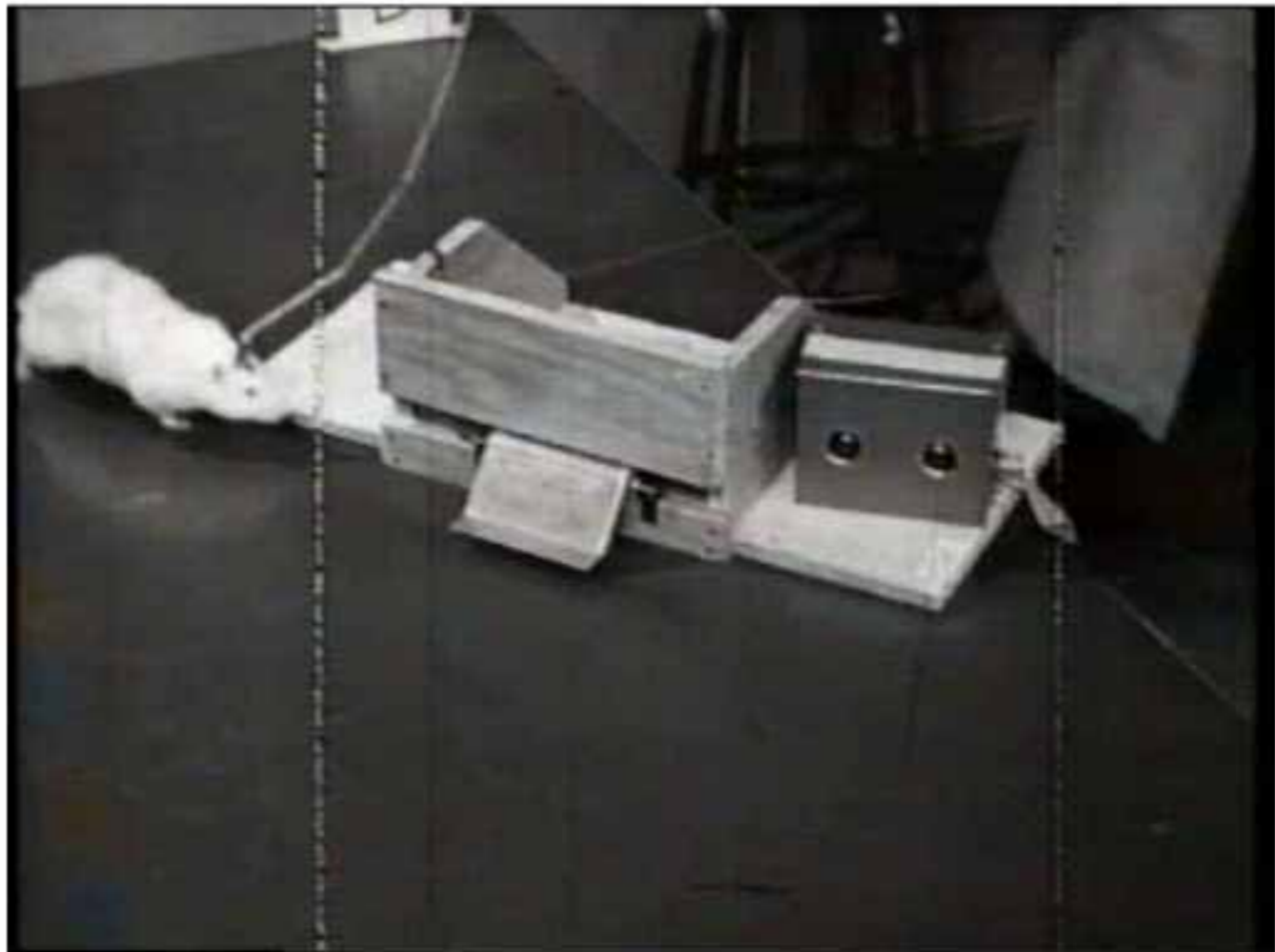
# What are emotions and why do we have them ?

So what makes us feel 'good' or 'bad' ?

The brain's basement pleasure palaces and room 101



James Olds



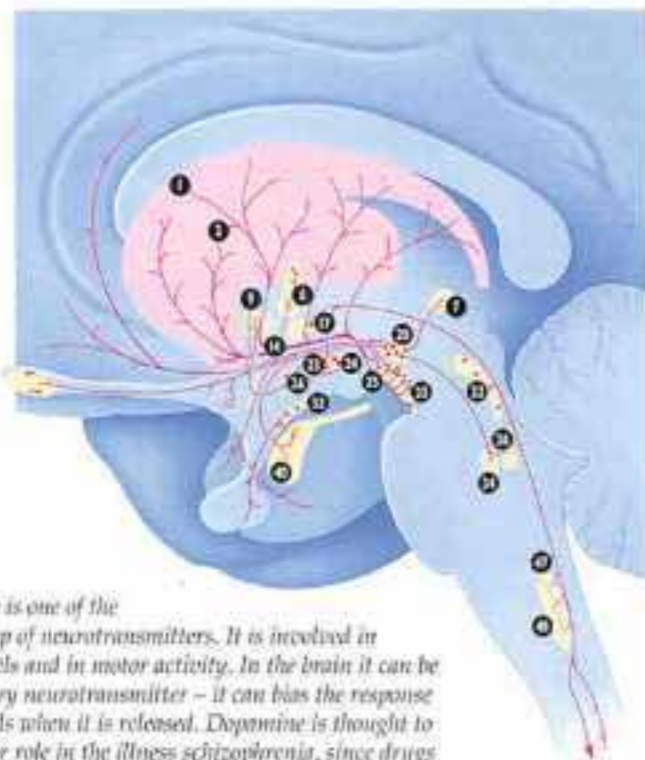
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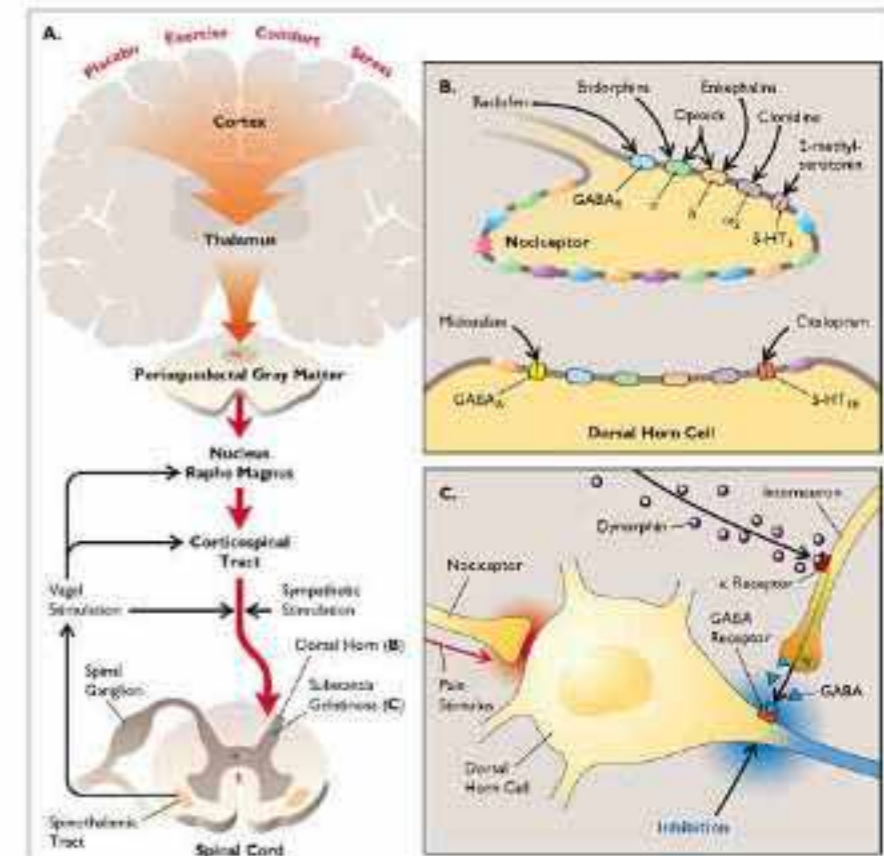
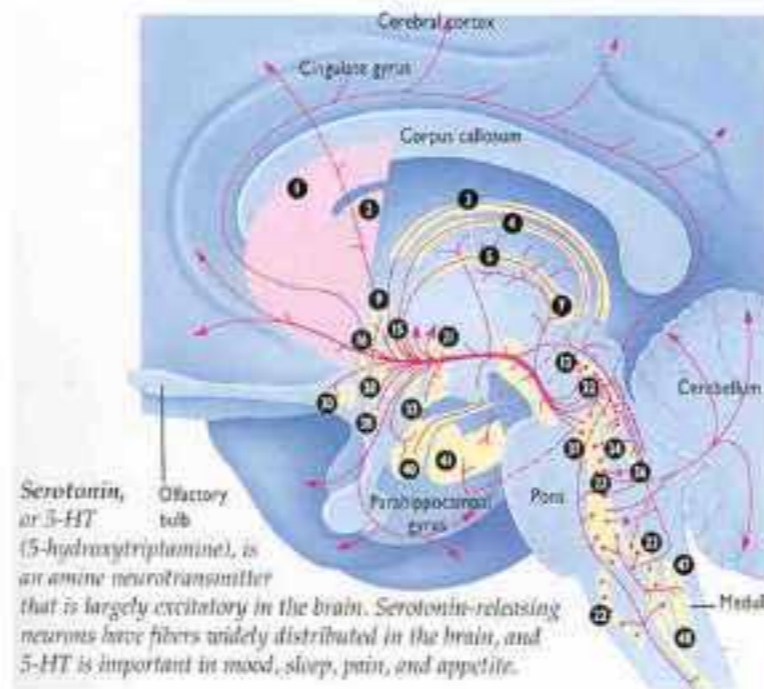
The brain's basement pleasure palaces and room 101

Drugs, dopamine, serotonin, and endogenous opioids

in the  
gnals.



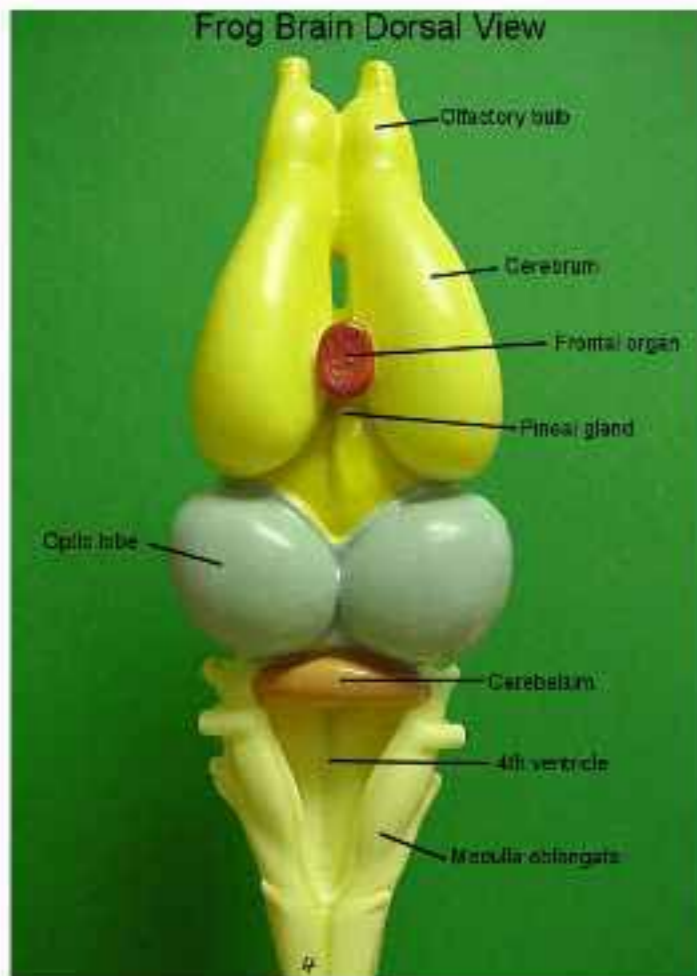
*Dopamine is one of the amine group of neurotransmitters. It is involved in arousal levels and in motor activity. In the brain it can be a modulatory neurotransmitter - it can bias the response of nerve cells when it is released. Dopamine is thought to play a major role in the illness schizophrenia, since drugs that block the action of dopamine reduce the symptoms of the illness, and drugs that enhance its action can induce symptoms similar to those of schizophrenia.*



# What are emotions and why do we have them ?

Activity in the neocortex penthouse

Frog

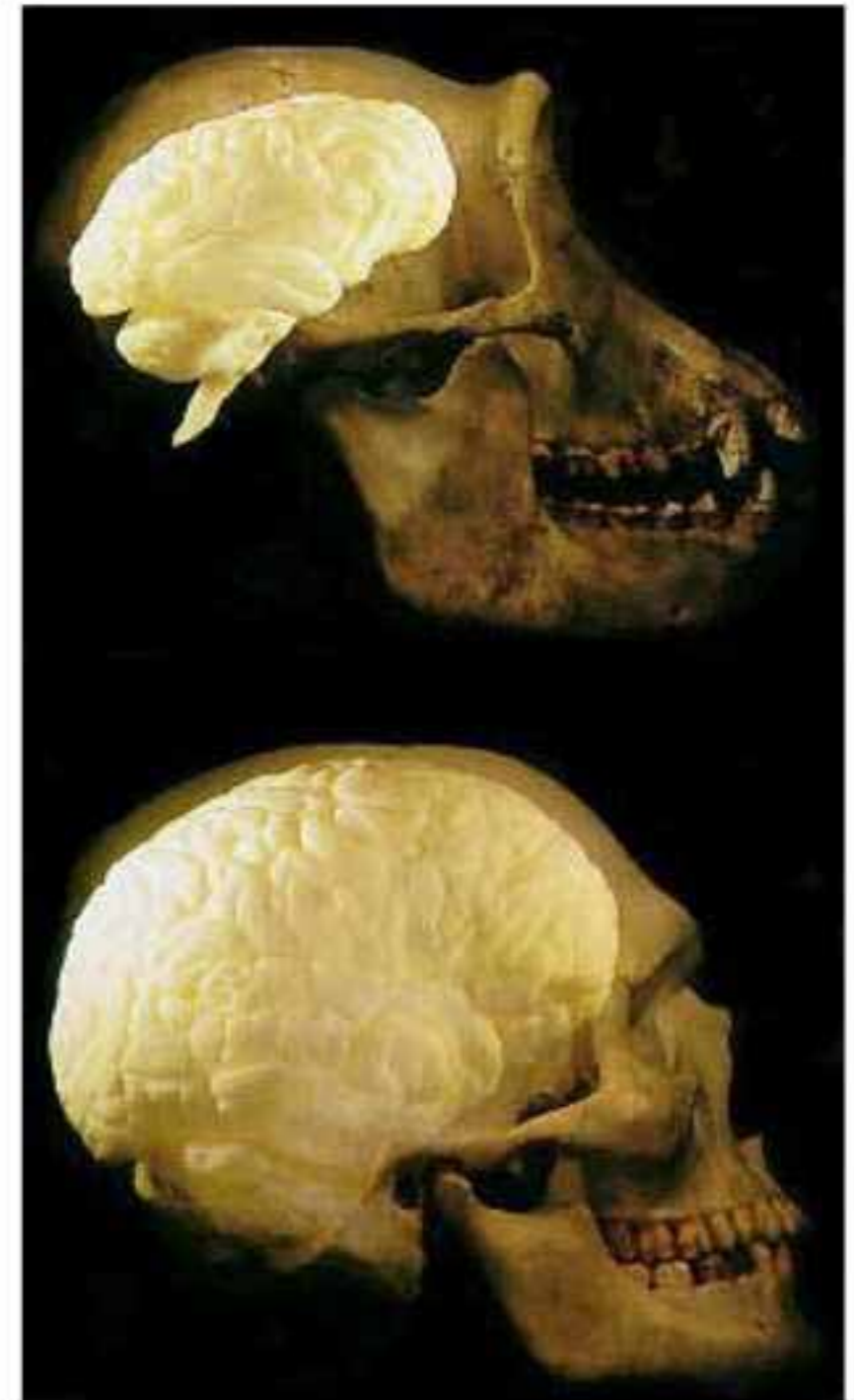


Rat



Sheep

Chimpanzee



Human

# What are emotions and why do we have them ?

Activity in the neocortex penthouse

"If cognition allows us to grasp the building blocks of life and how they can be organised, emotions are often the cement that binds them together into permanent structures"

# What are emotions and why do we have them ?

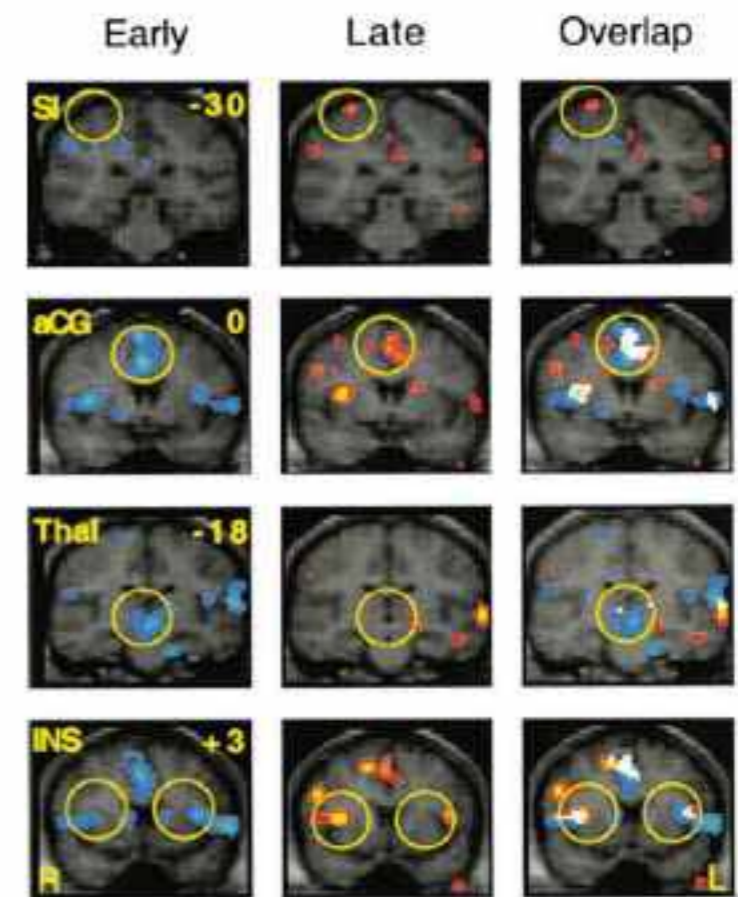
Pleasure and pain may be part of the same spectrum



Spinoza



Bentham



MRI images  
Becerra *et al*  
Neuron 2001



# What are emotions and why do we have them ?

Pleasure and pain may be part of the same spectrum

Emotional interpretations of the same cues can be very different



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What makes us happy or sad may not have the same effect on another animal

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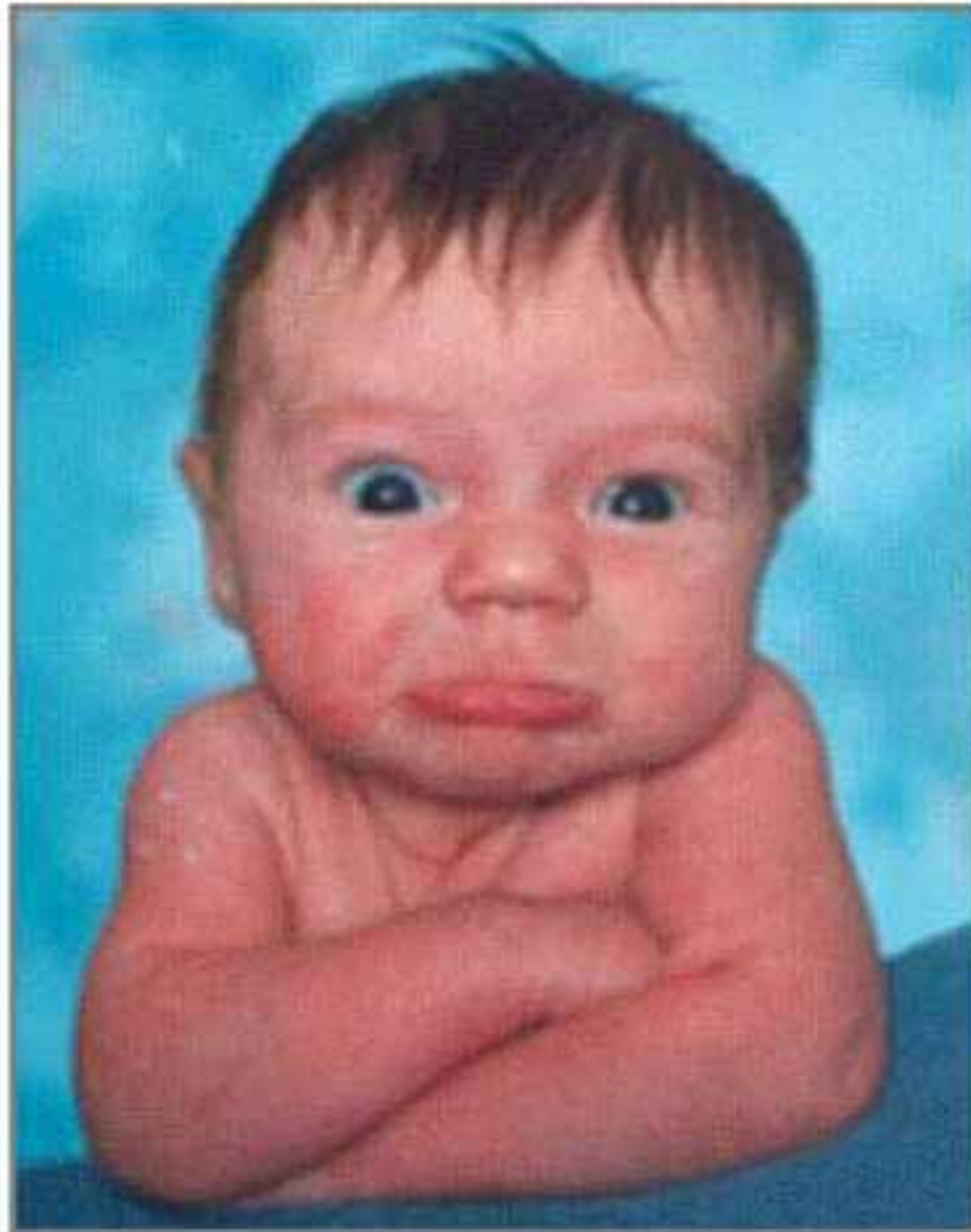
# What functions do emotions serve ?

Survival mechanism: fight, freeze or flight

They don't necessarily need consciousness

# What function do feelings serve ?

They help to interpret and deal with your emotions



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They help to interpret and deal with your emotions

Better learning and planning



# What function do feelings serve ?

They help to interpret and deal with your emotions

Better learning and planning

Better integration into complex social environments



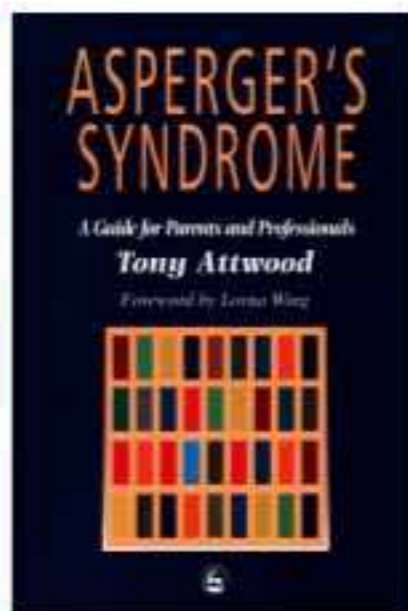
# What function do feelings serve ?

They help to interpret and deal with your emotions

Better learning and planning

Better integration into complex social environments

Problems with interpreting and experiencing emotions





# What function do feelings serve ?

Other social mammals also have complex social environments



# The case of Alexithymia

The image shows a screenshot of the Psychiatric Times website. The top navigation bar is blue with the title "Psychiatric Times" in white. Below the title, there are links for "CURRENT ISSUE", "PAST ISSUES", "SUBSCRIBE", "E-MAIL", "SEARCH", and "HOME". The left sidebar is yellow and contains a search menu with options like "ONLINE EXCLUSIVES", "SEARCH BY: AUTHOR", "CME CREDIT", "DATE", "TOPIC", "TITLE", and various site information links. The main content area features a blue "CLINICAL" tag, the article title "When a Patient Has No Story To Tell: Alexithymia" by René J. Muller, Ph.D., and the publication details "Psychiatric Times • July 2000 • Vol. XVII • Issue 7". The beginning of the article text is visible, starting with "Once the distortions are cleared away, most patients who come to the emergency room tell stories that seem to grow out of the problems they claim to have and the pain they claim to feel. These stories reverberate with emotions congruent to their themes. But

# The case of Alexithymia

Many human psychosomatic and personality disorders are associated with an inability to recognise, experience and describe feelings



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Many human psychosomatic and personality disorders are associated with an inability to recognise, experience and describe feelings

Avoid internal emotional conflict by relying on action to express emotion



# The case of Alexithymia

Many human psychosomatic and personality disorders are associated with an inability to recognise, experience and describe feelings

Avoid internal emotional conflict by relying on action to express emotion

This can often take the form of self-harm

**The  
Samaritans**

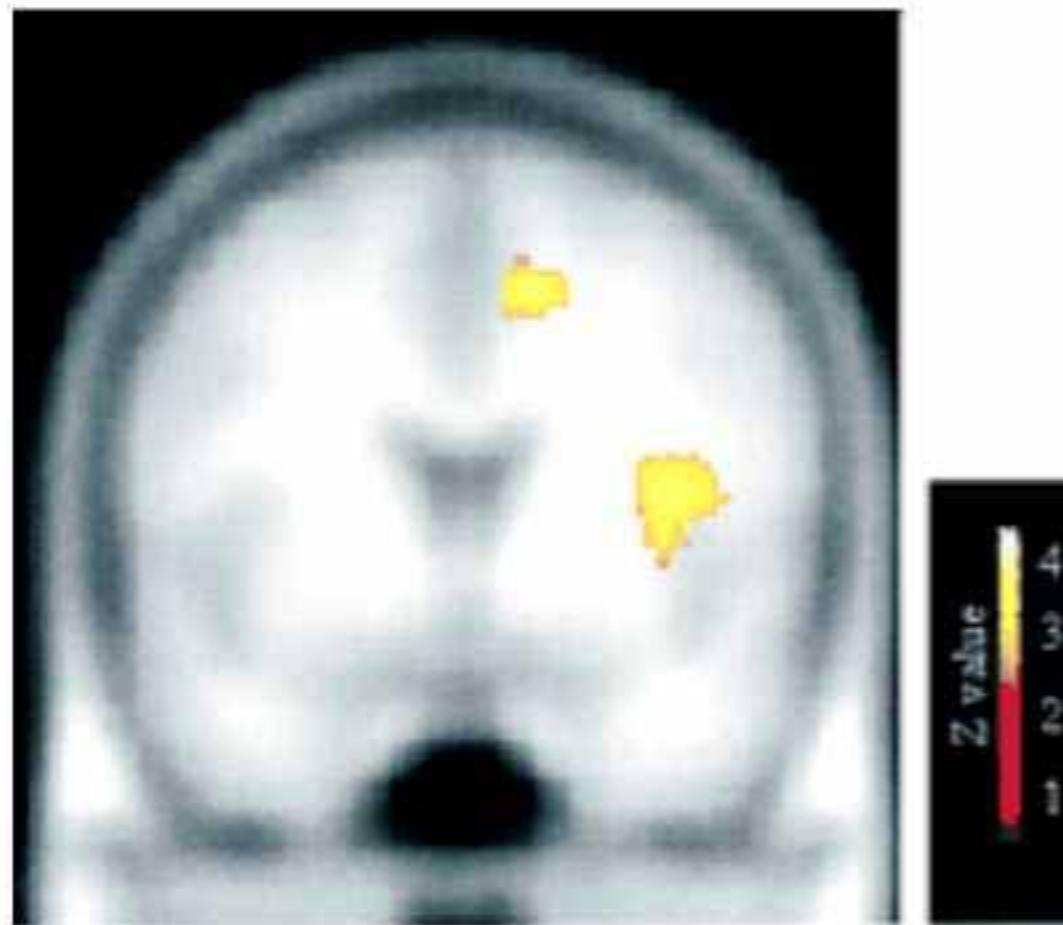
Whatever you're going through,  
we'll go through it with you

**0345  
90 90 90**

# The case of Alexithymia

Reduced activation in the right brain hemisphere in response to viewing angry and sad faces

(Kano *et al*, 2003)

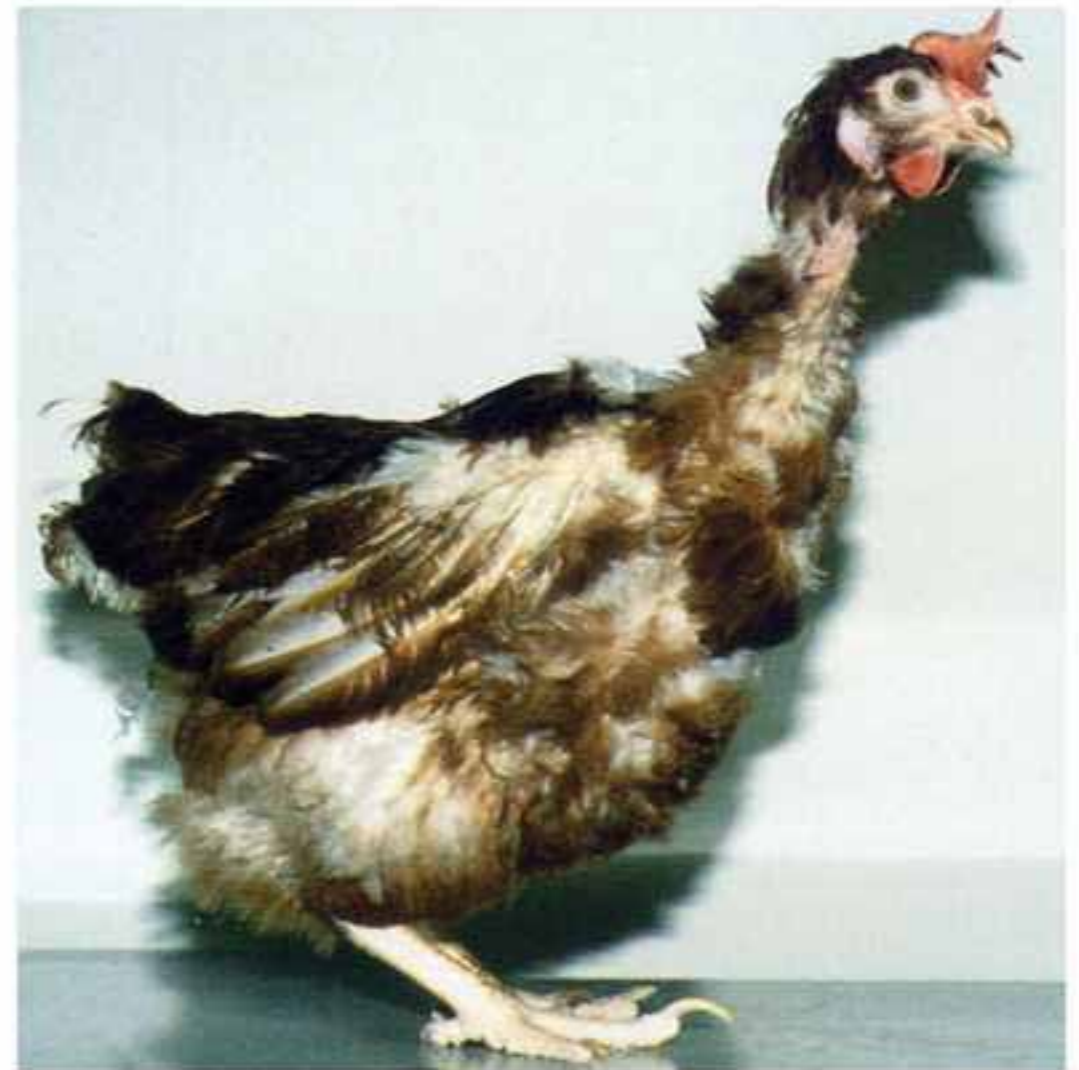


# The case of Alexithymia

Reduced activation in the right brain hemisphere in response to viewing angry and sad faces

(Kano *et al*, 2003)

Other animals resort to self-mutilation or destructive behaviour as an emotional release valve



# The case of Alexithymia





# Fear

STANLEY KUBRICK COLLECTION



STANLEY KUBRICK'S  
**THE SHINING**

18

# Fear

Highly important survival tool for most animals



# Fear

Highly important survival tool for most animals

Joseph Le Doux

'The Emotional Brain' 1994 and 'Synaptic-Self' 2002



# Fear

Highly important survival tool for most animals

Joseph Le Doux

'The Emotional Brain' 1994 and 'Synaptic-Self' 2002

Brain fear processing system highly conserved

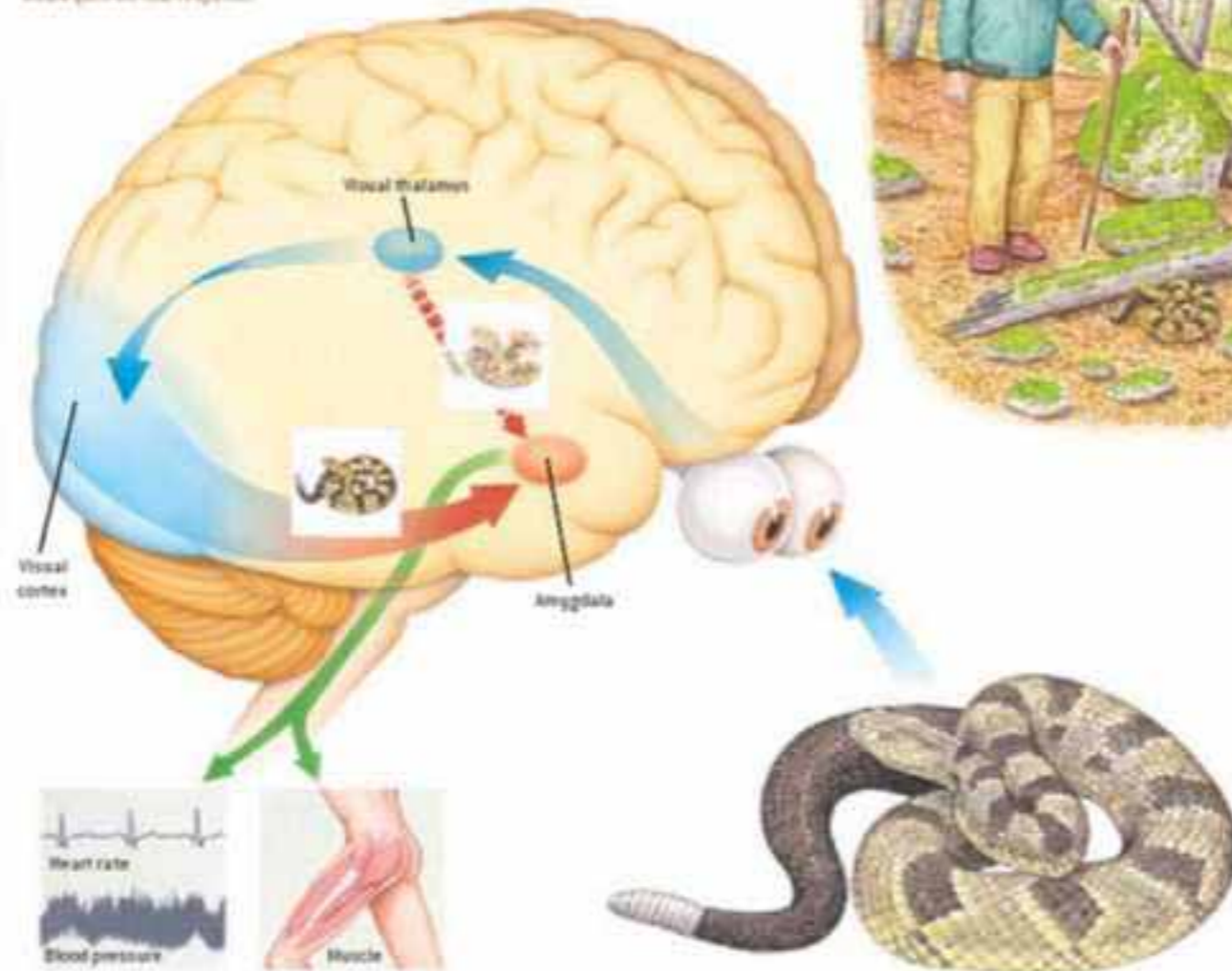


# Fear

## The basic anatomy of the fear response

### THE FEAR RESPONSE

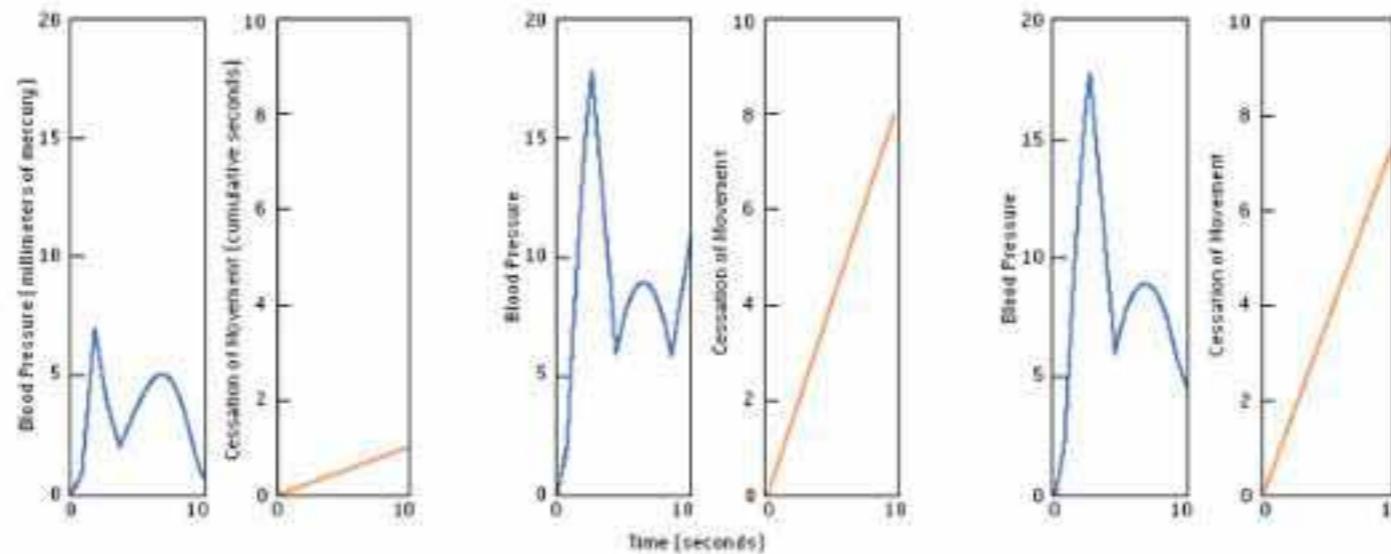
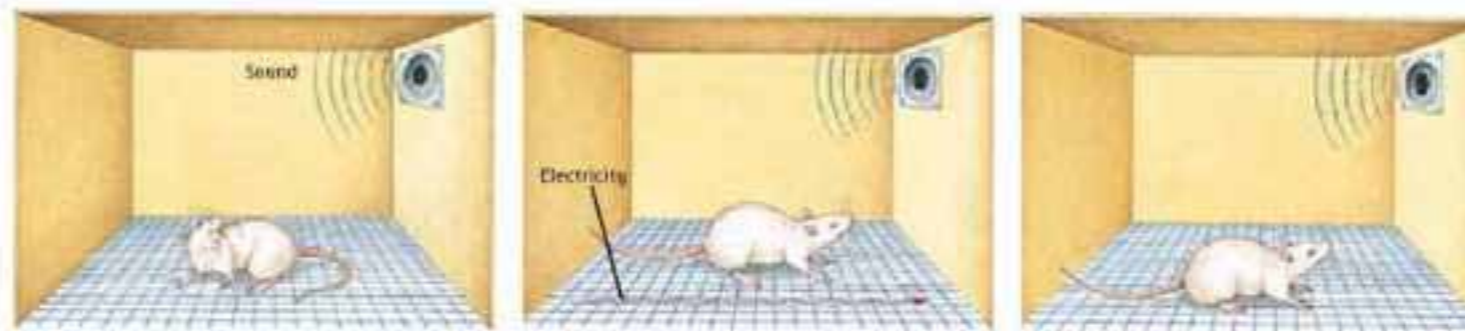
CORTICAL AND SUBCORTICAL PATHWAYS in the brain—generalized from our knowledge of the auditory system—reacting about a fearful response to a snake on a hiker's path. Visual stimuli are first processed by the thalamus, which passes rough, albeit archetypal information directly to the amygdala (red). This quick transmission allows the brain to respond to the possible danger (green). Meanwhile the visual cortex also receives information from the thalamus and, with more perceptual sophistication and more time, determines that there is a snake on the path (blue). This information is relayed to the amygdala, causing heart rate and blood pressure to increase and muscles to contract. However, the cortex has determined that the object was not a snake; the message to the amygdala would quell the fear response.



# Fear

## The basic anatomy of the fear response

## Conditioned fear responses



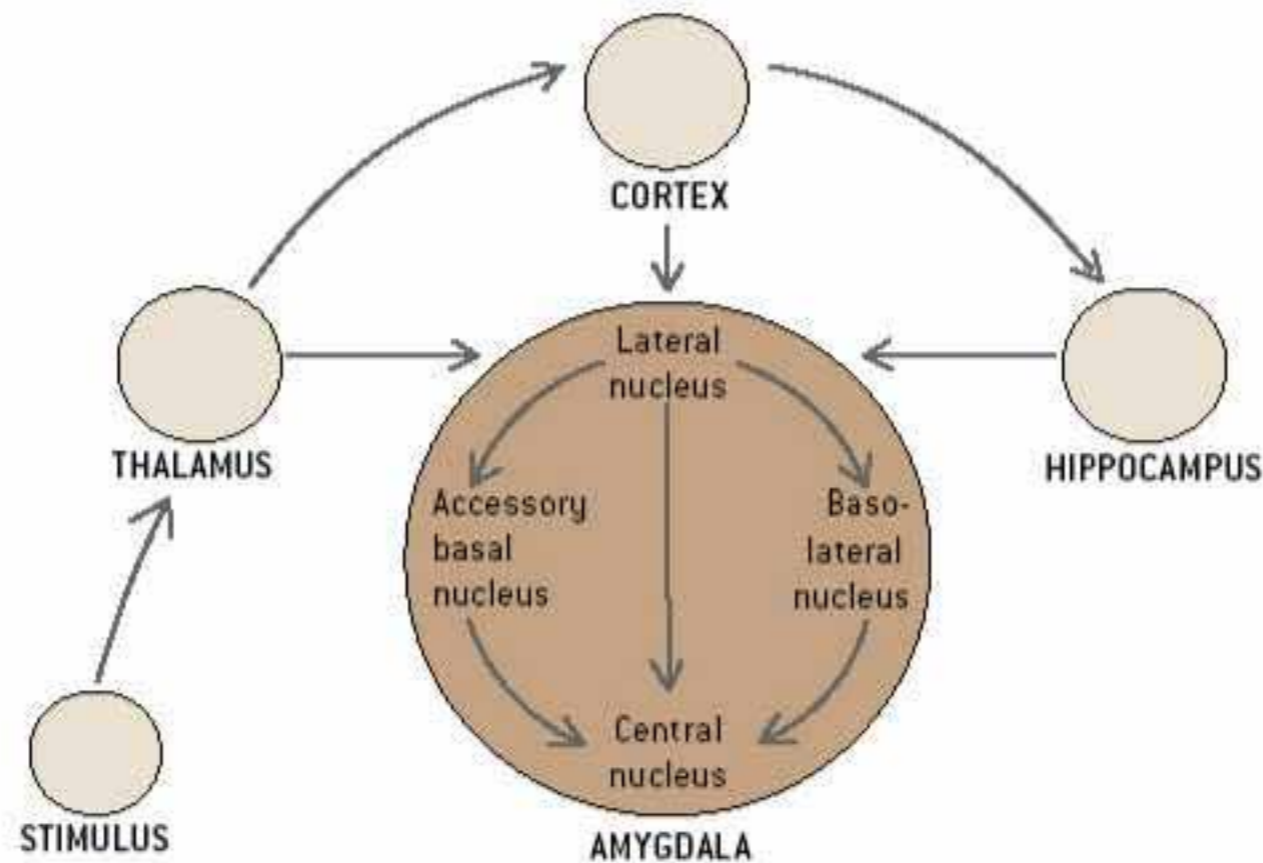
CLASSICAL FEAR CONDITIONING can be induced by pairing a sound and a mild electric shock to the foot of a rat. In one set of experiments, the rat hears a sound (left), which has little effect on the animal's blood pressure or patterns of movement. Next, the rat hears the same sound, coupled with a foot shock (center). After several such pairings, the rat's blood pressure rises at the same time that the animal freezes for an extended period when it hears the sound. The rat has been fear-conditioned (right); sound alone achieves the same physiological changes as did sound and shock together.

# Fear

The basic anatomy of the fear response

Conditioned fear responses

High and low roads of the fear response



# Fear

## Kluver-Bucy Syndrome

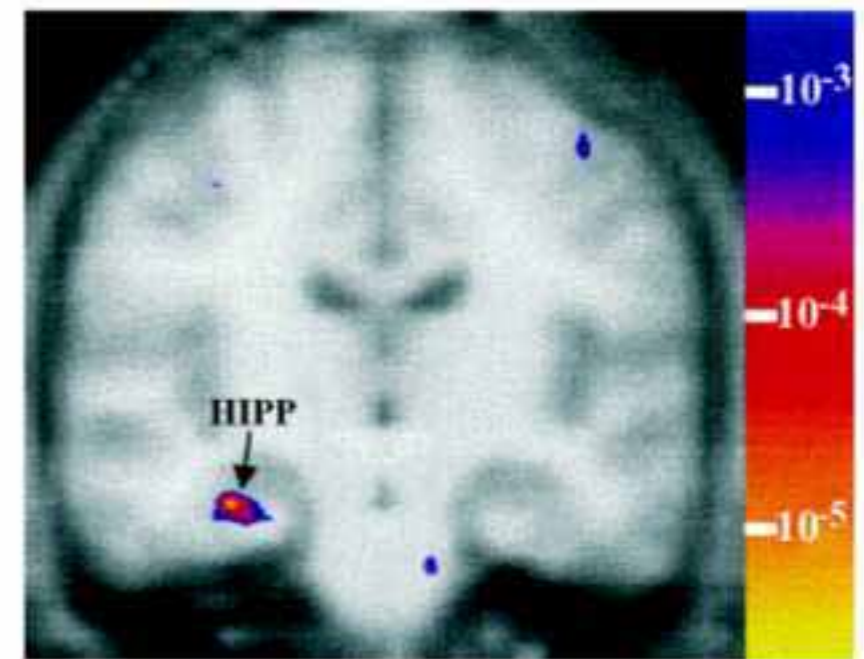
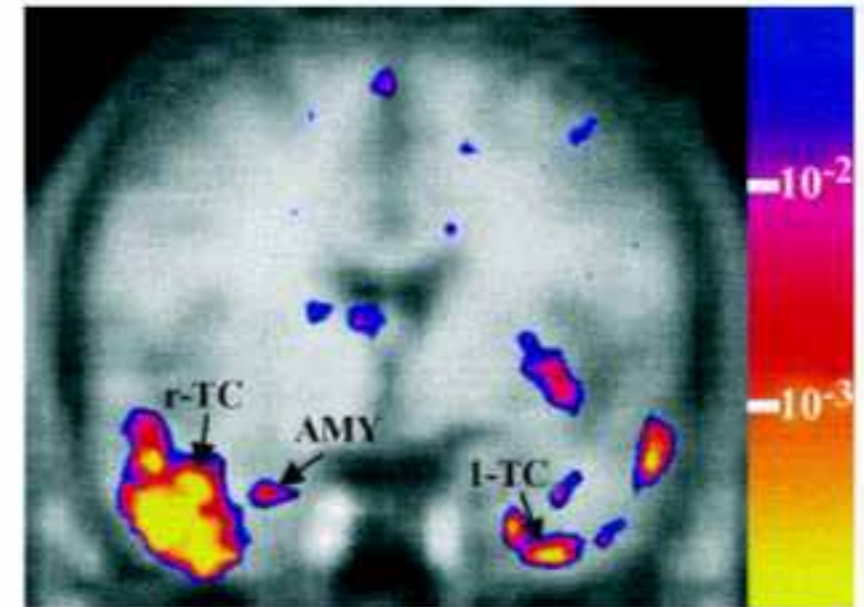




# Fear

## Klüver-Bucy Syndrome

### Faces and fear

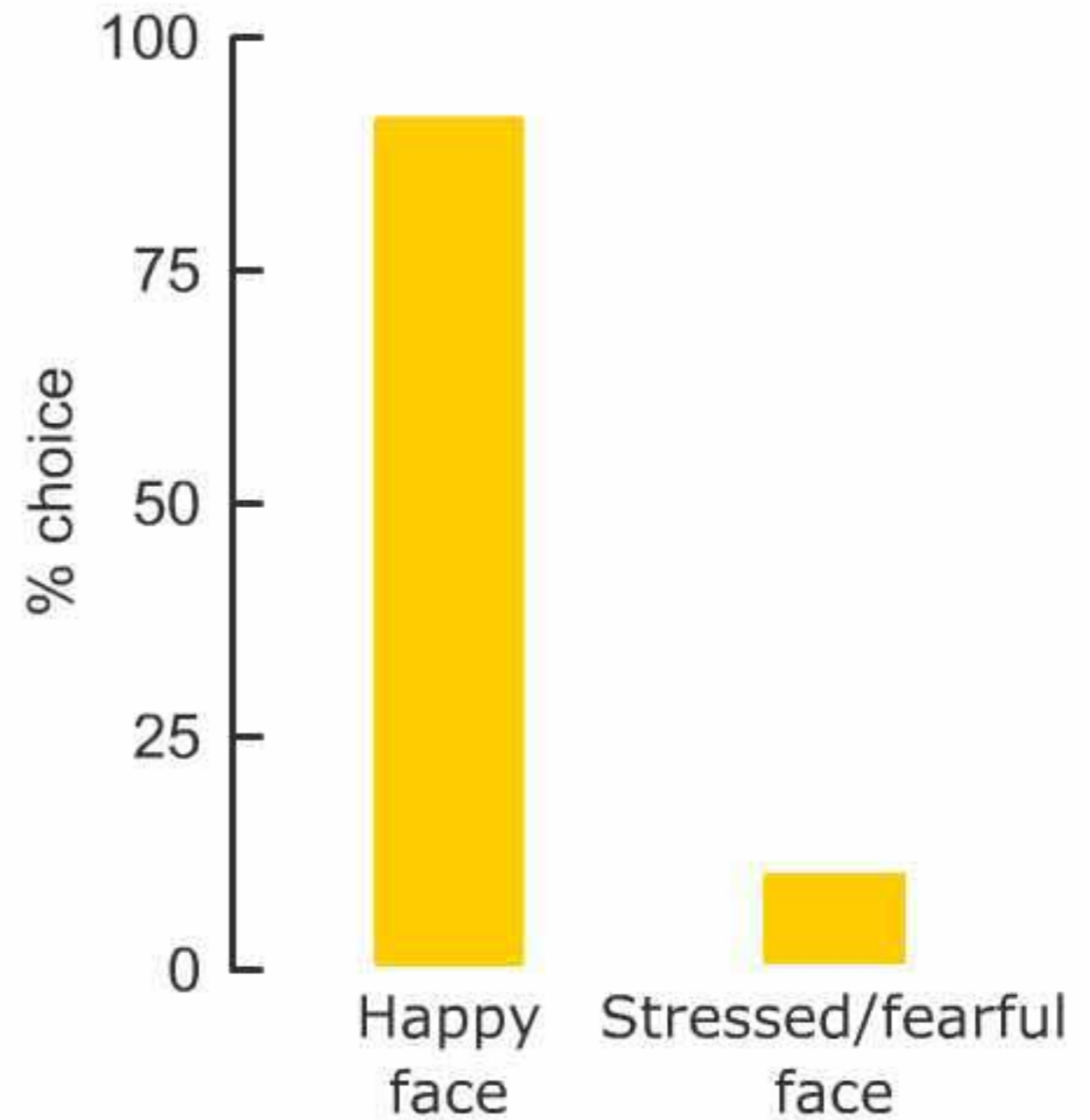
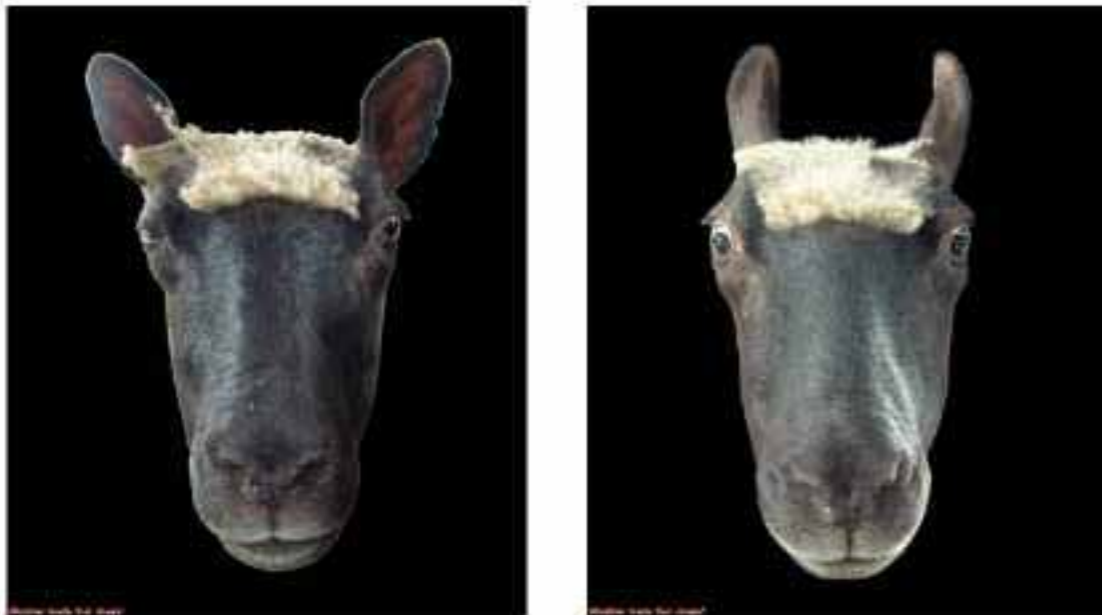


Fischer *et al*  
Brain Res. Bull. 2003

# Fear

## Kluver-Bucy Syndrome

### Faces and fear



# Fear

Kluver-Bucy Syndrome

Faces and fear

Reduced fear by  
sight of a friendly  
face



# Fear

## Kluver-Bucy Syndrome

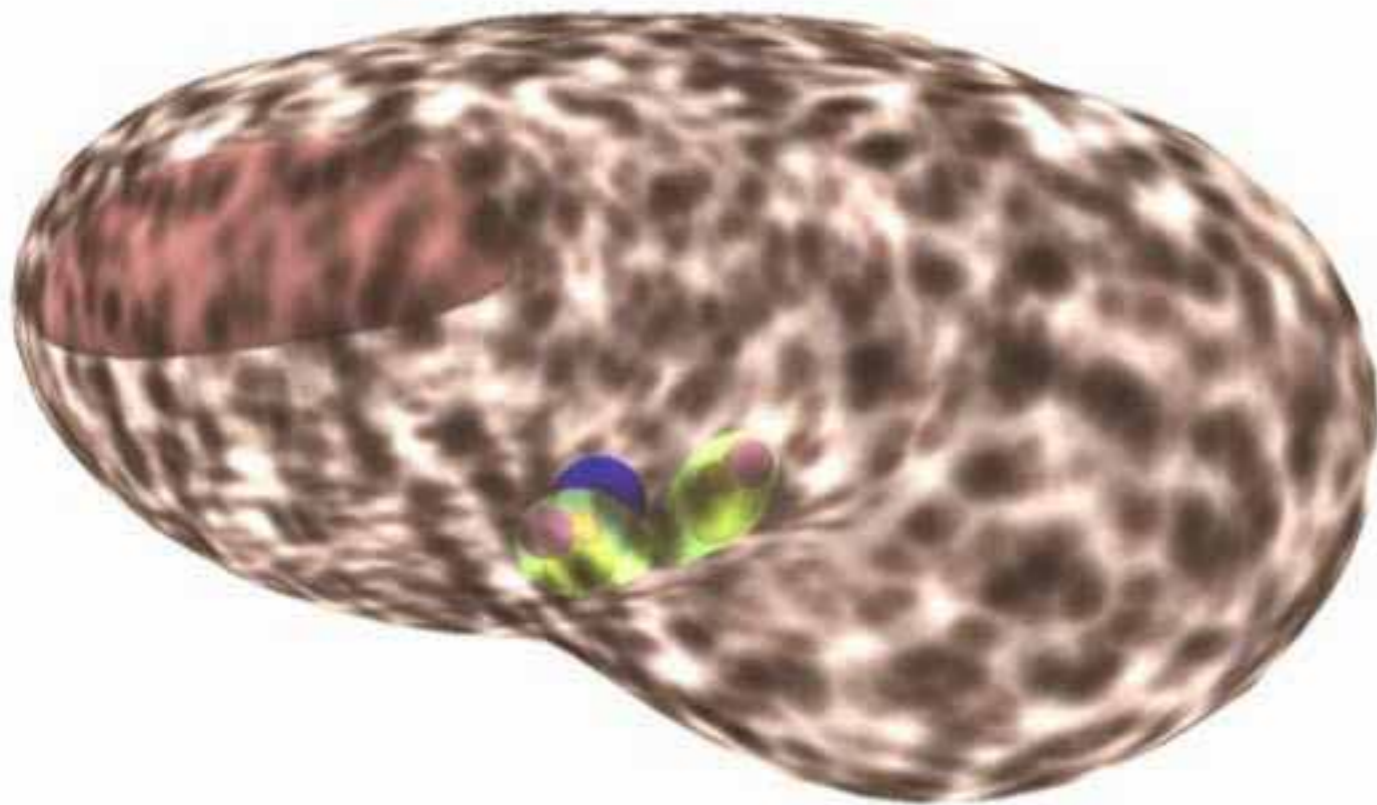
Faces and fear

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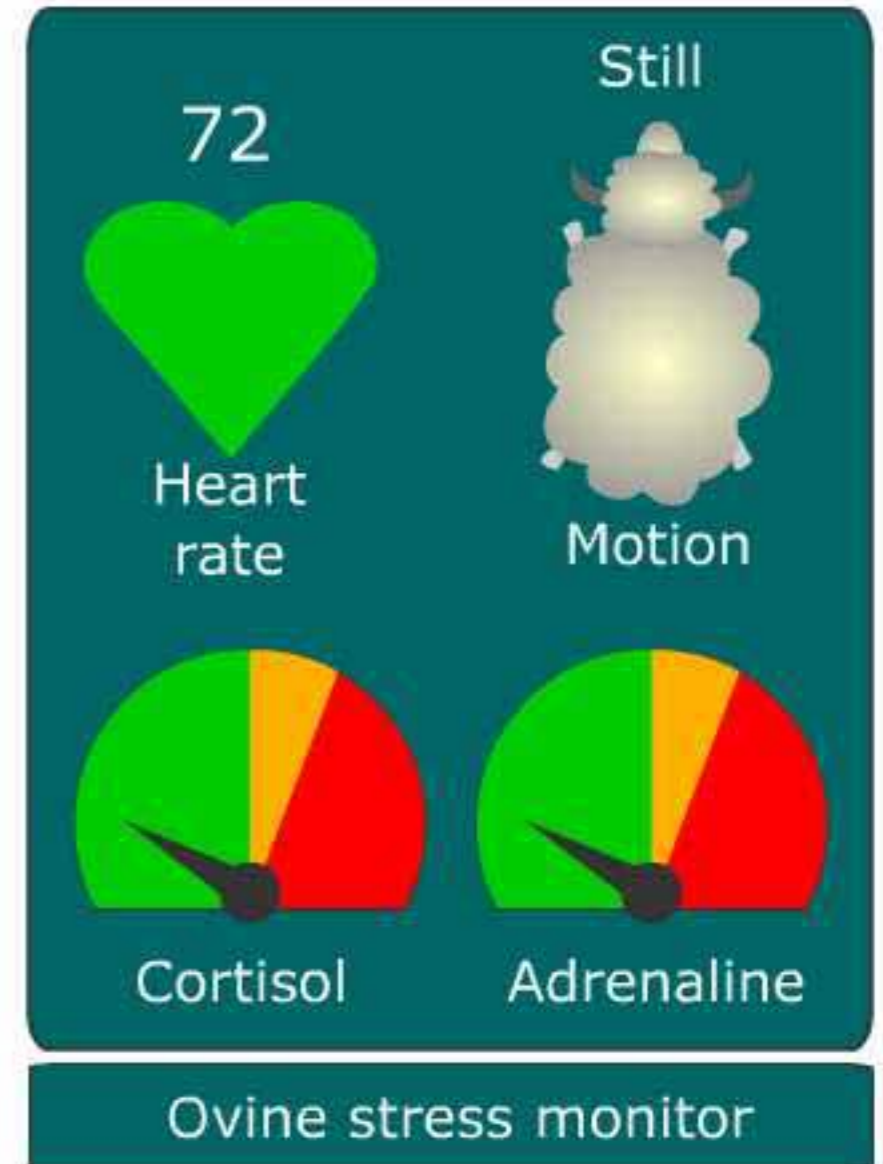
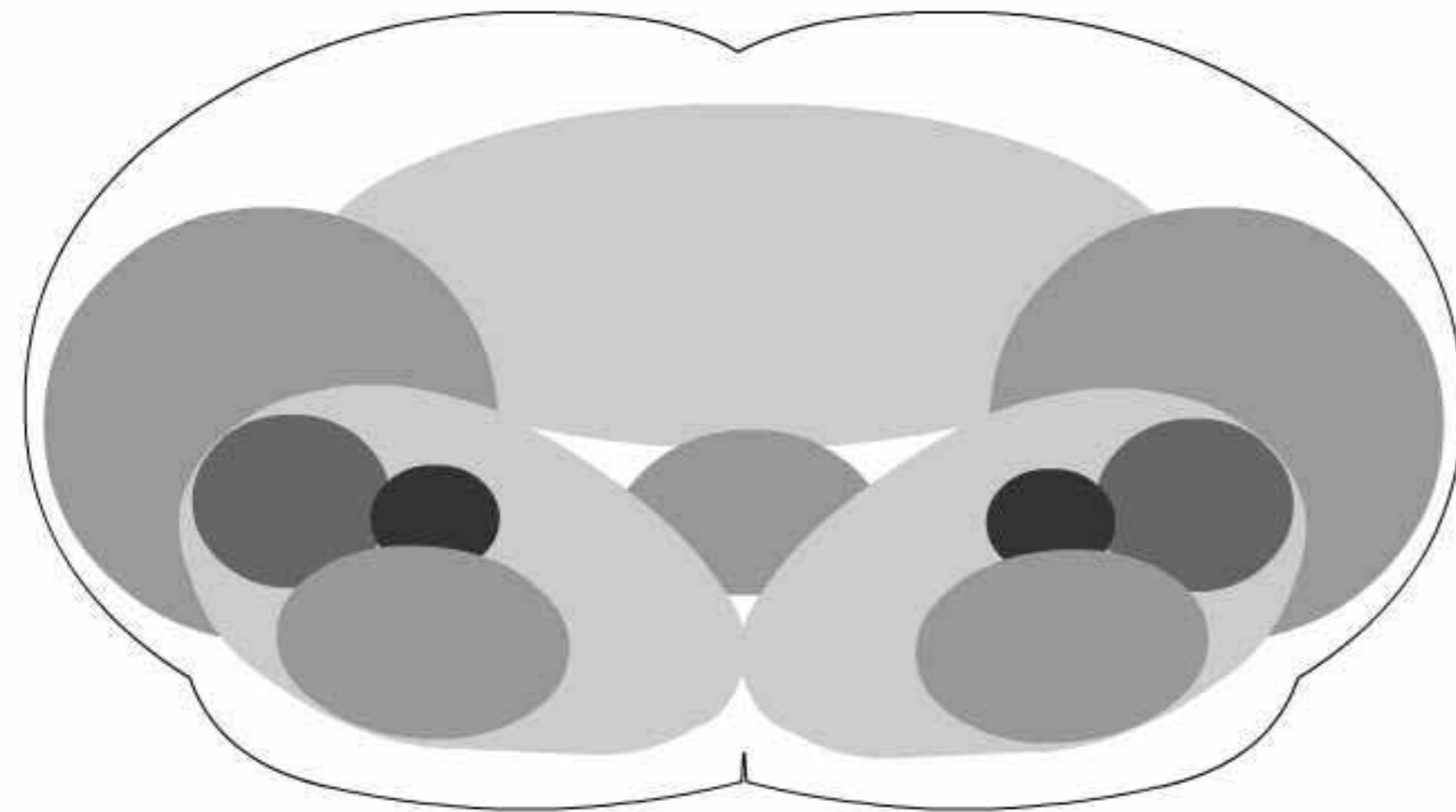
# Fear

Sheep brain



# Fear

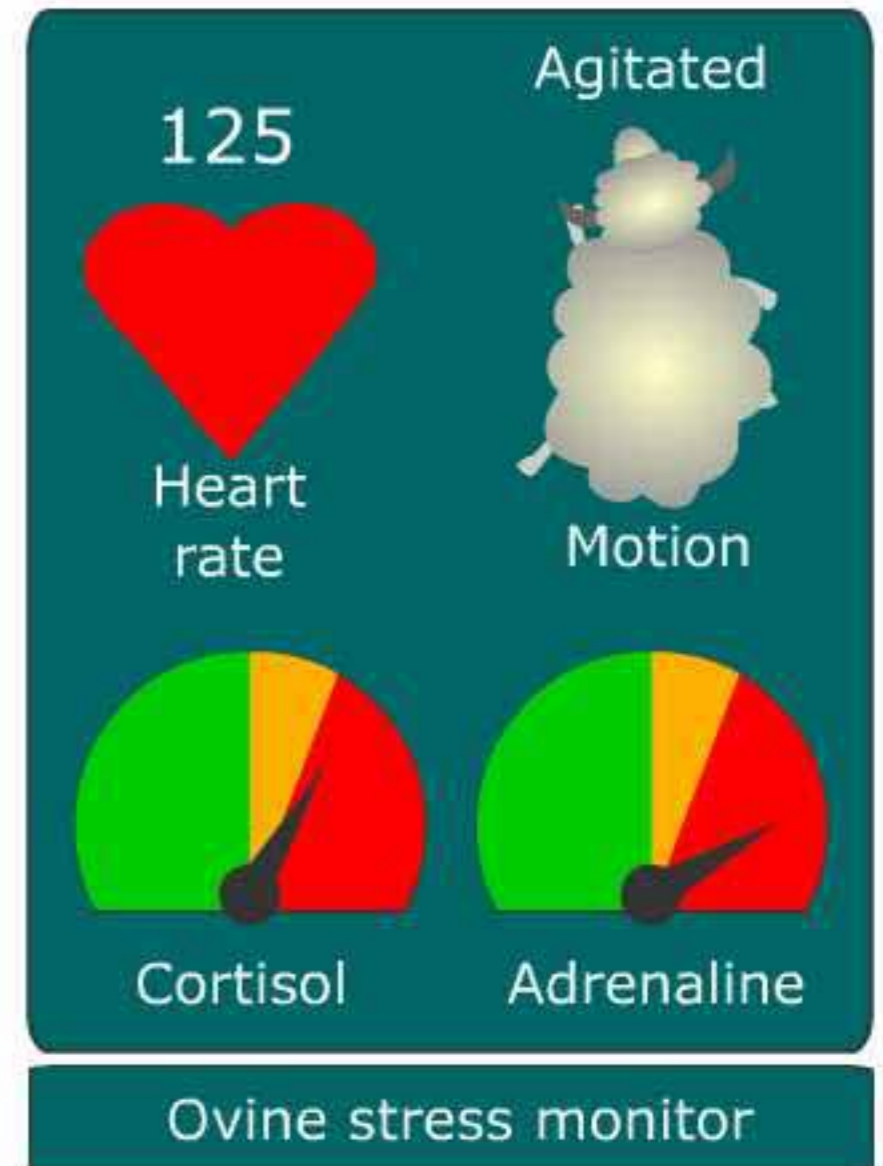
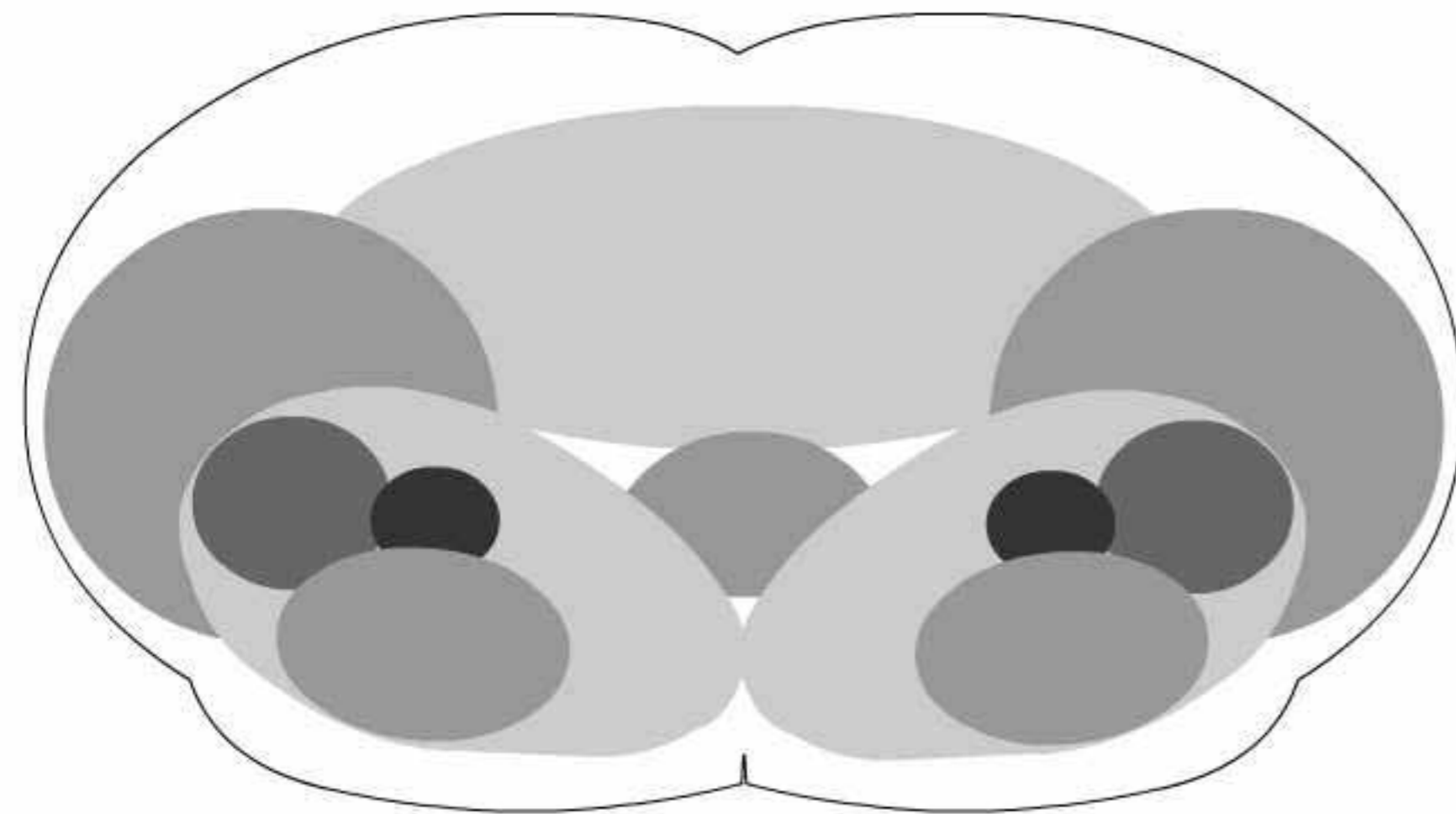
Sheep in flock



da Costa *et al*  
Proc. Roy. Soc. 2004

# Fear

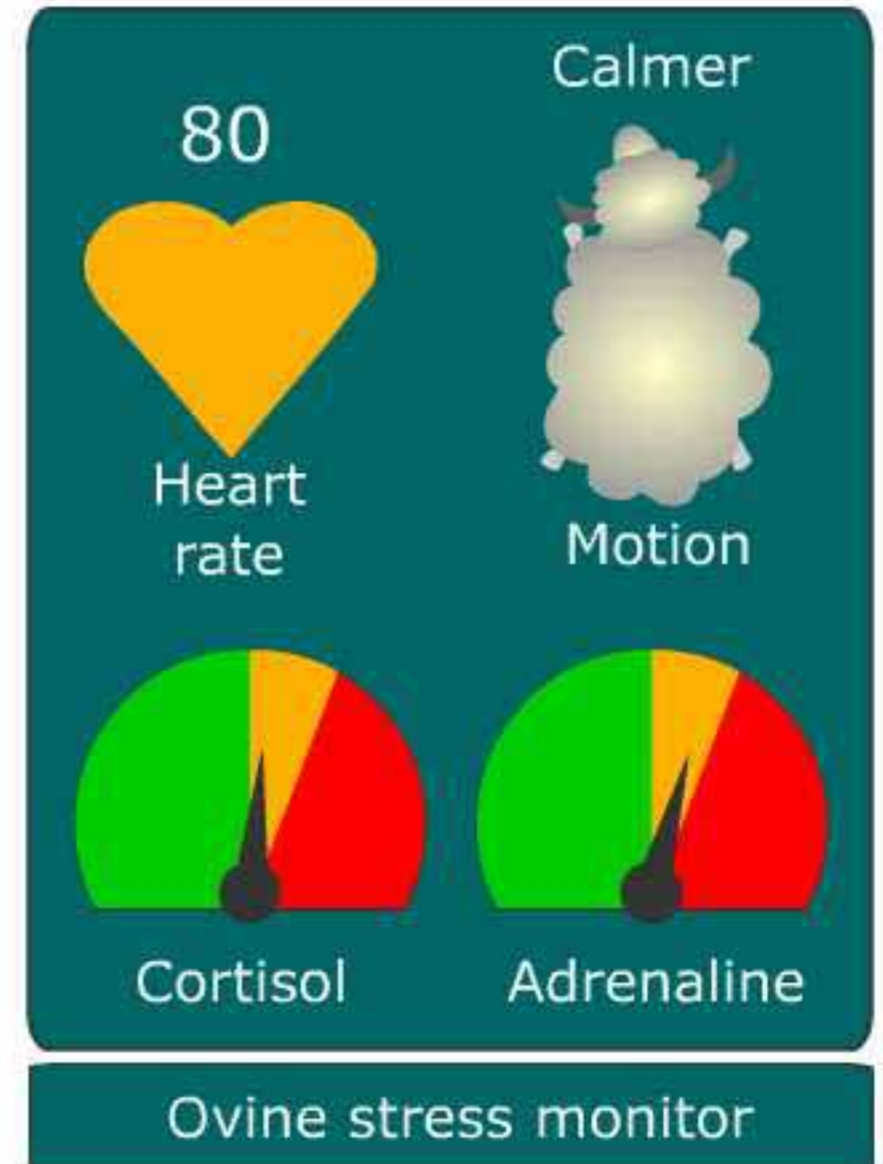
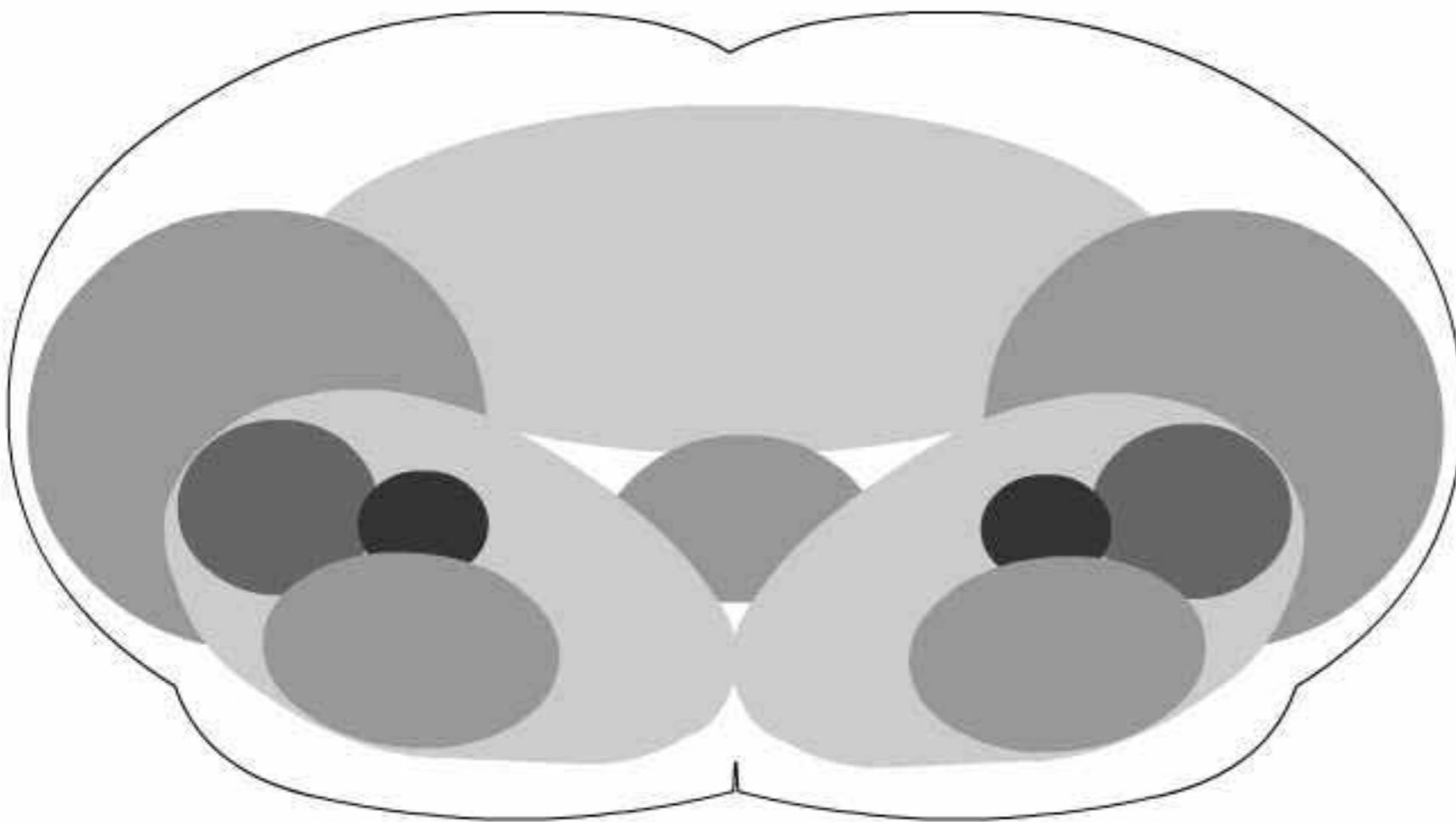
Social isolation



da Costa *et al*  
Proc. Roy. Soc. 2004

# Fear

Sheep faces visible



da Costa *et al*  
Proc. Roy. Soc. 2004



# Fear

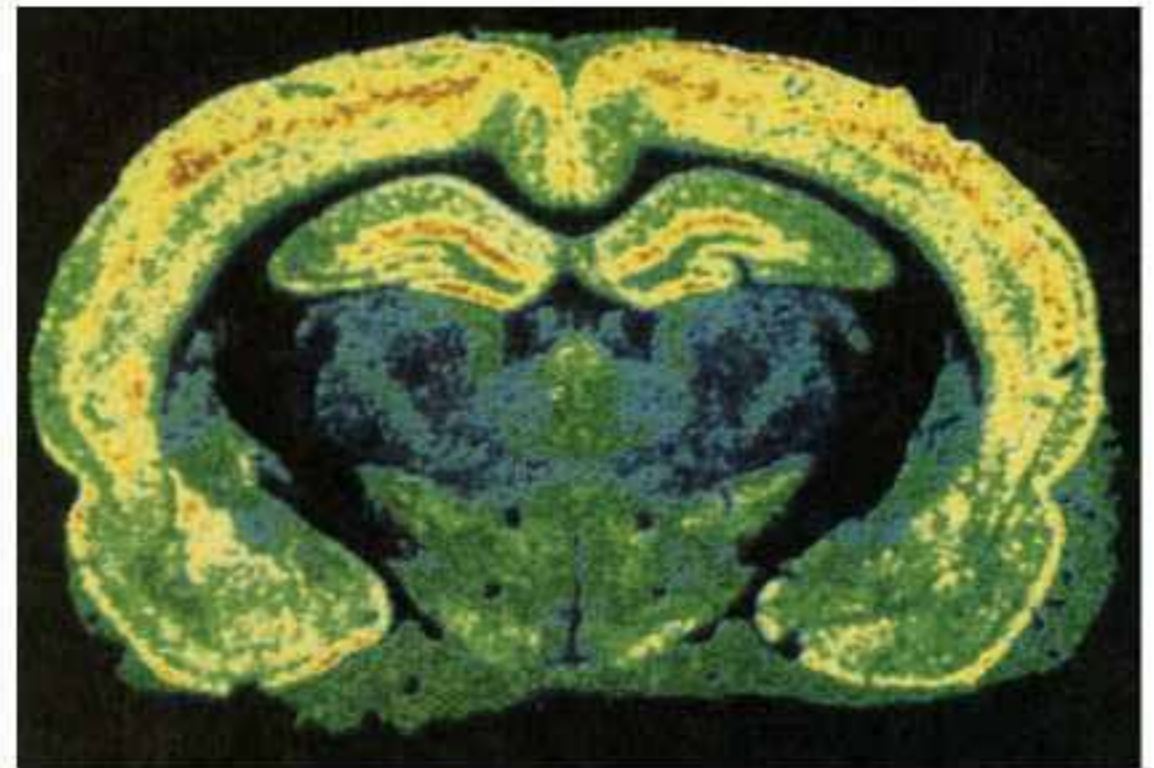
Effects of constant anxiety - social stress



# Fear

Effects of constant anxiety - social stress

Drugs and anxiety - benzodiazepines and opioids



# Anger and jealousy

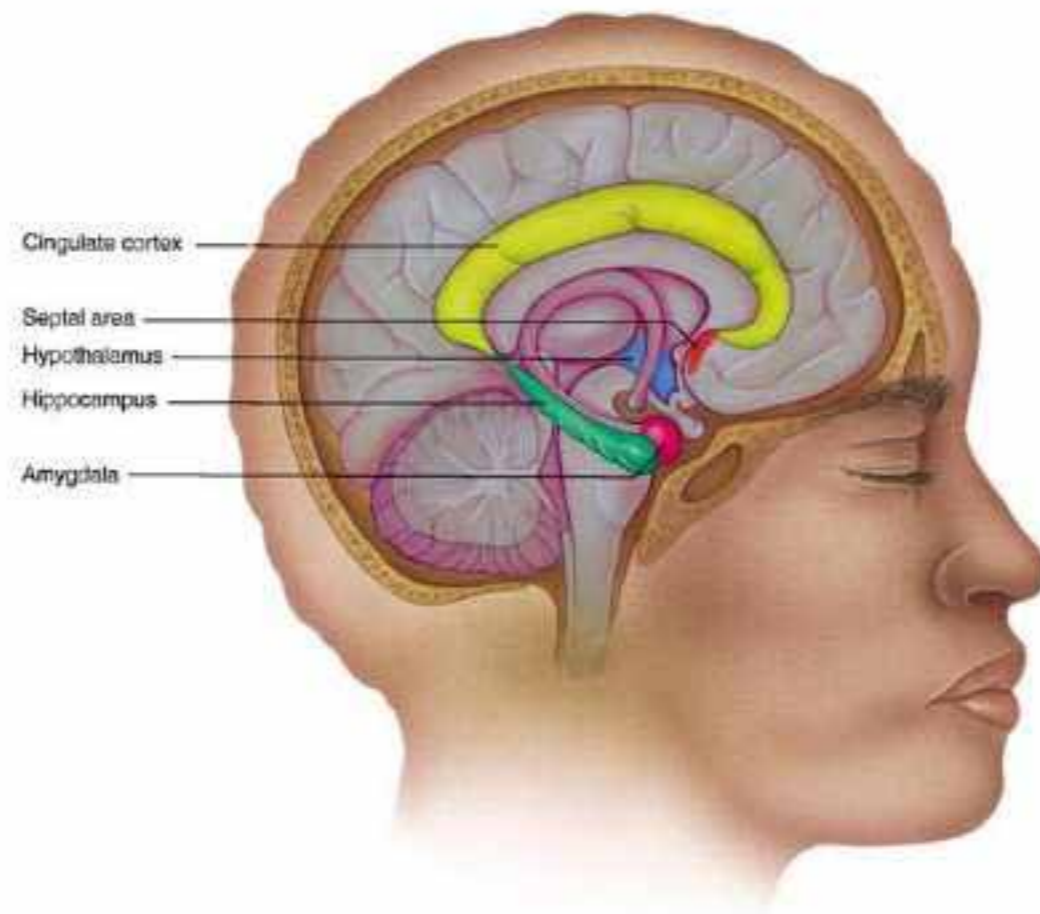
Enhance survival by both promoting and reducing aggression



# Anger and jealousy

Enhance survival by both promoting and reducing aggression

Animal studies - limbic system (septum and amygdala), hypothalamus and brainstem involved



# Anger and jealousy

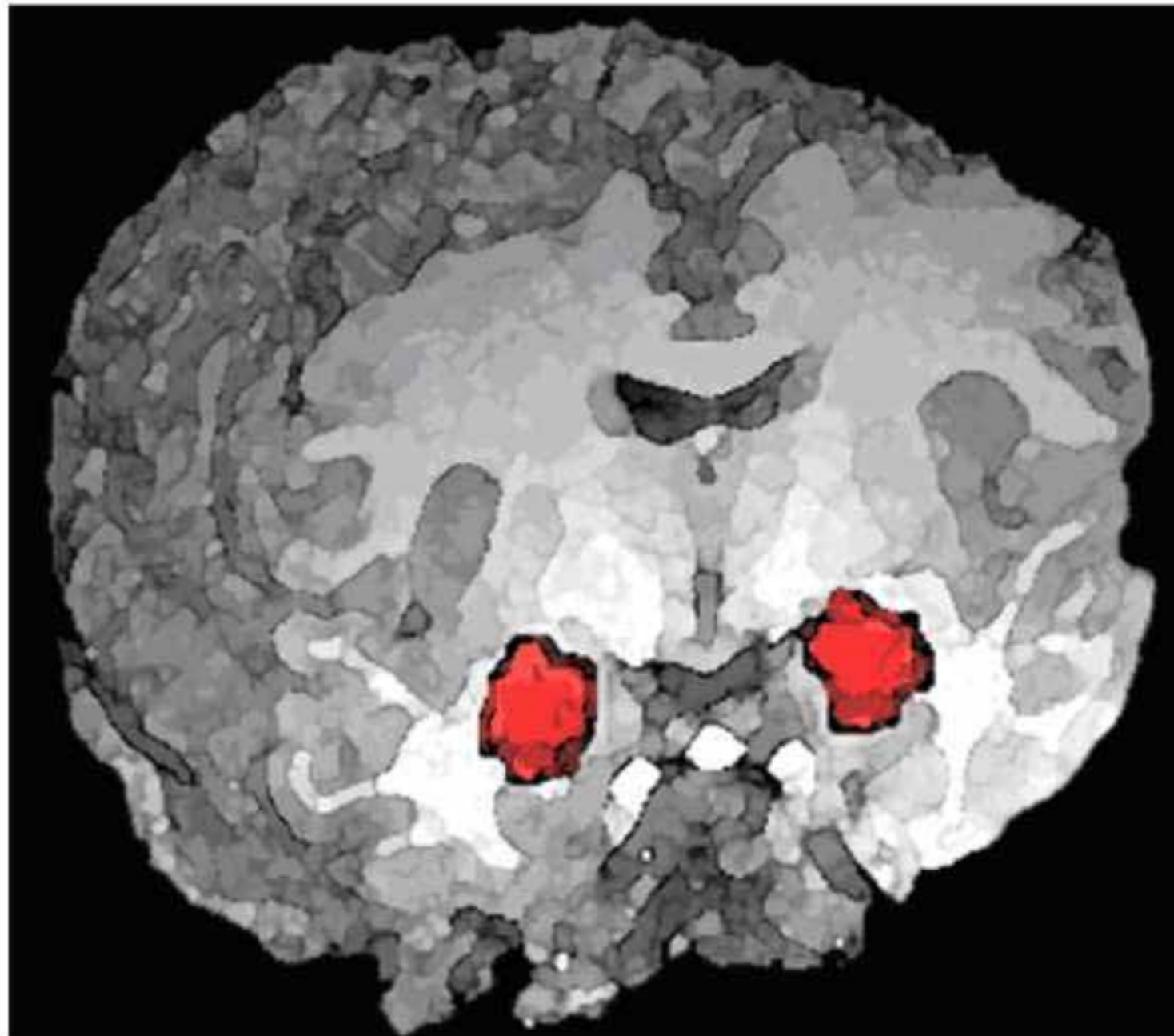
Enhance survival by both promoting and reducing aggression

Animal studies - limbic system (septum and amygdala), hypothalamus and brainstem involved

As with fear the frontal cortex is important for anger control

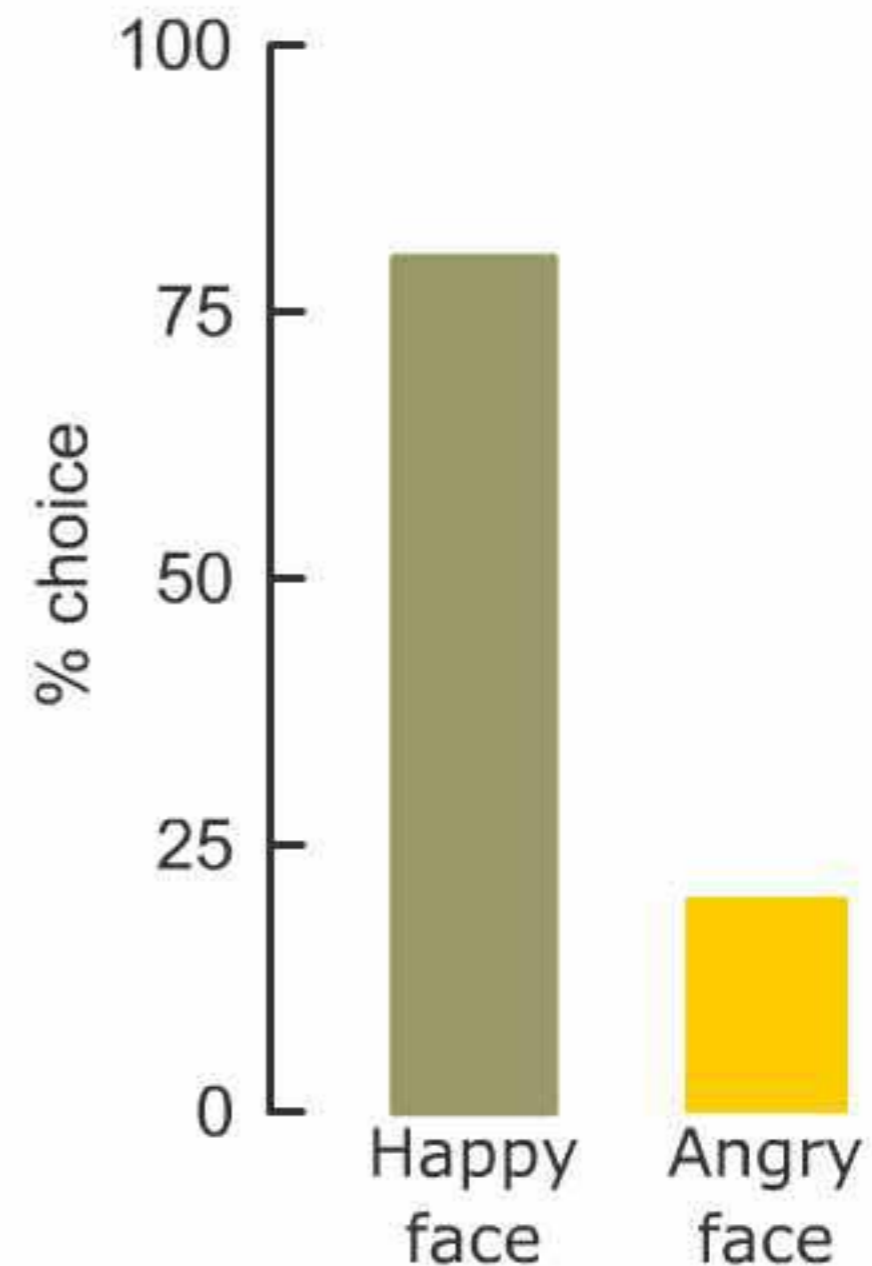
# Anger and jealousy

Faces and anger - the right amygdala



# Anger and jealousy

Universal recognition of angry facial expressions ?



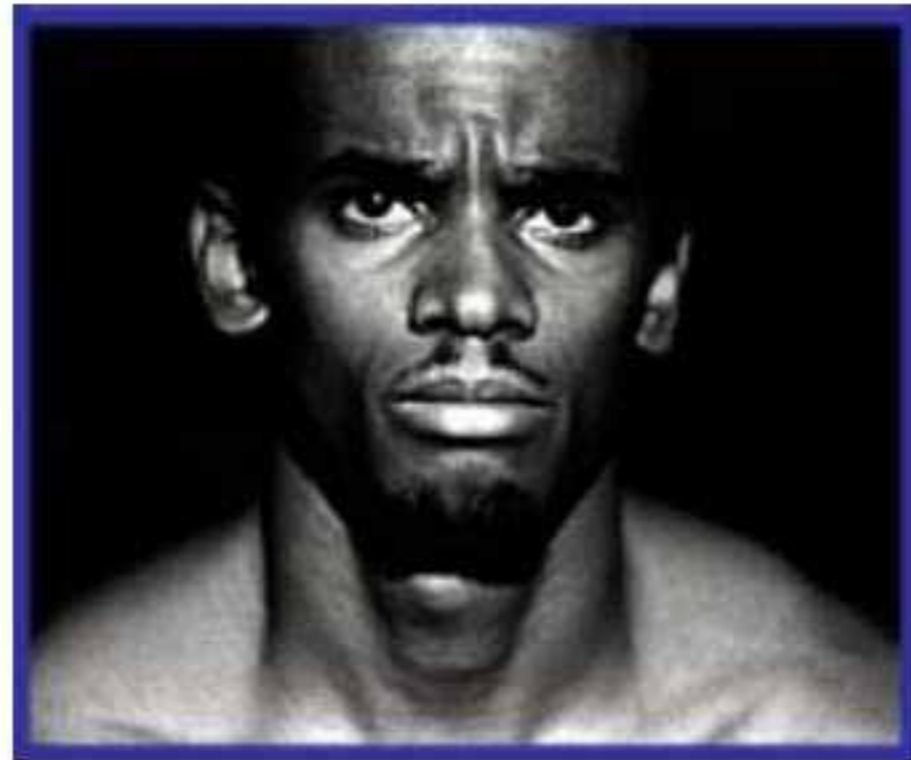
# Anger and jealousy

Sexual jealousy - the case of the jealous timber wolf



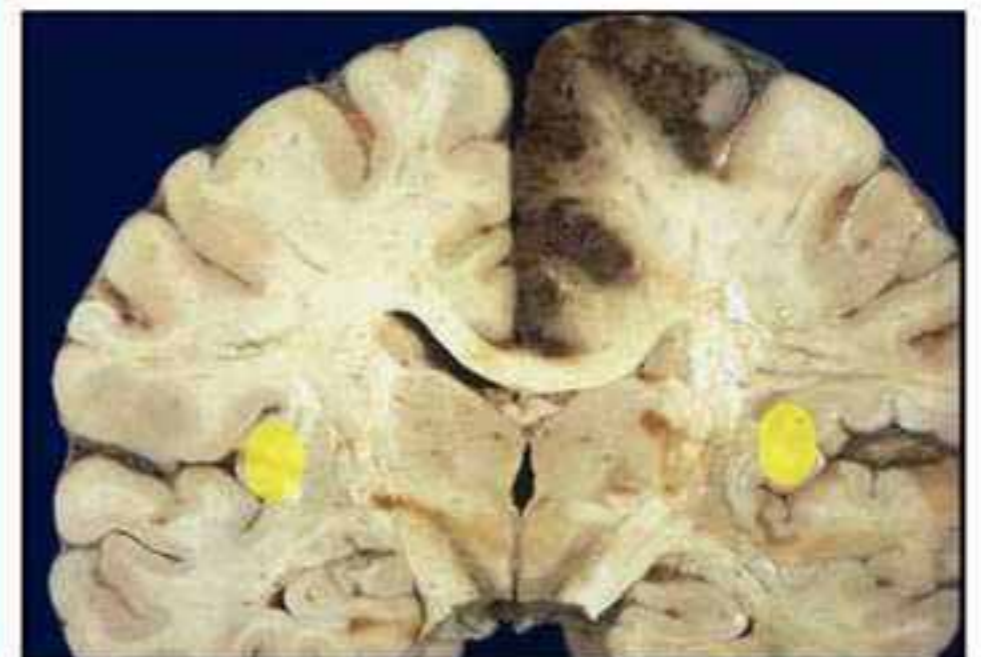
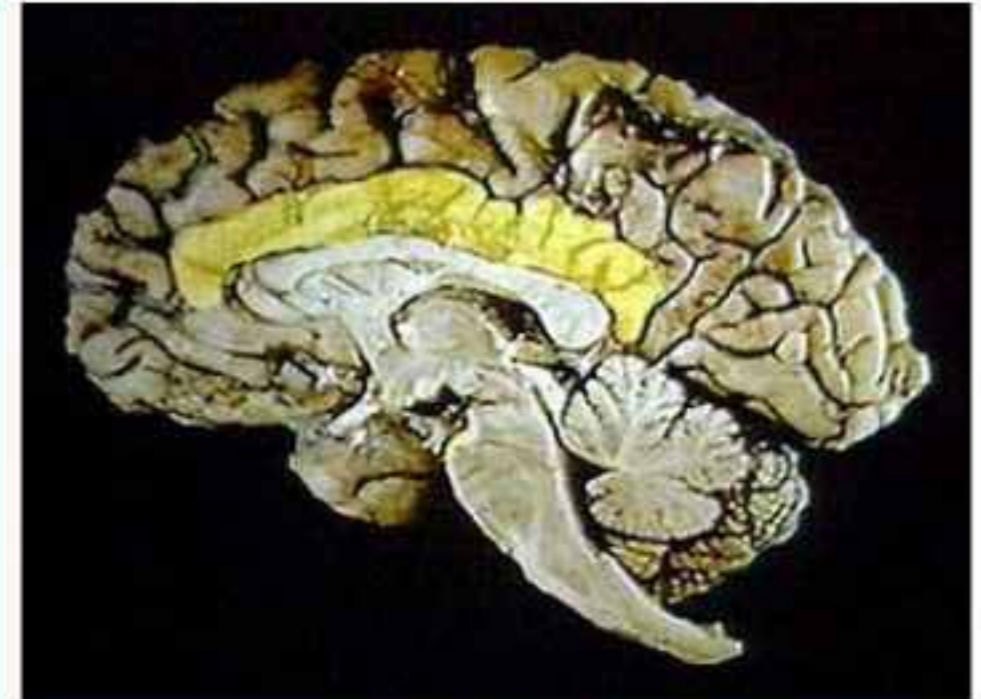
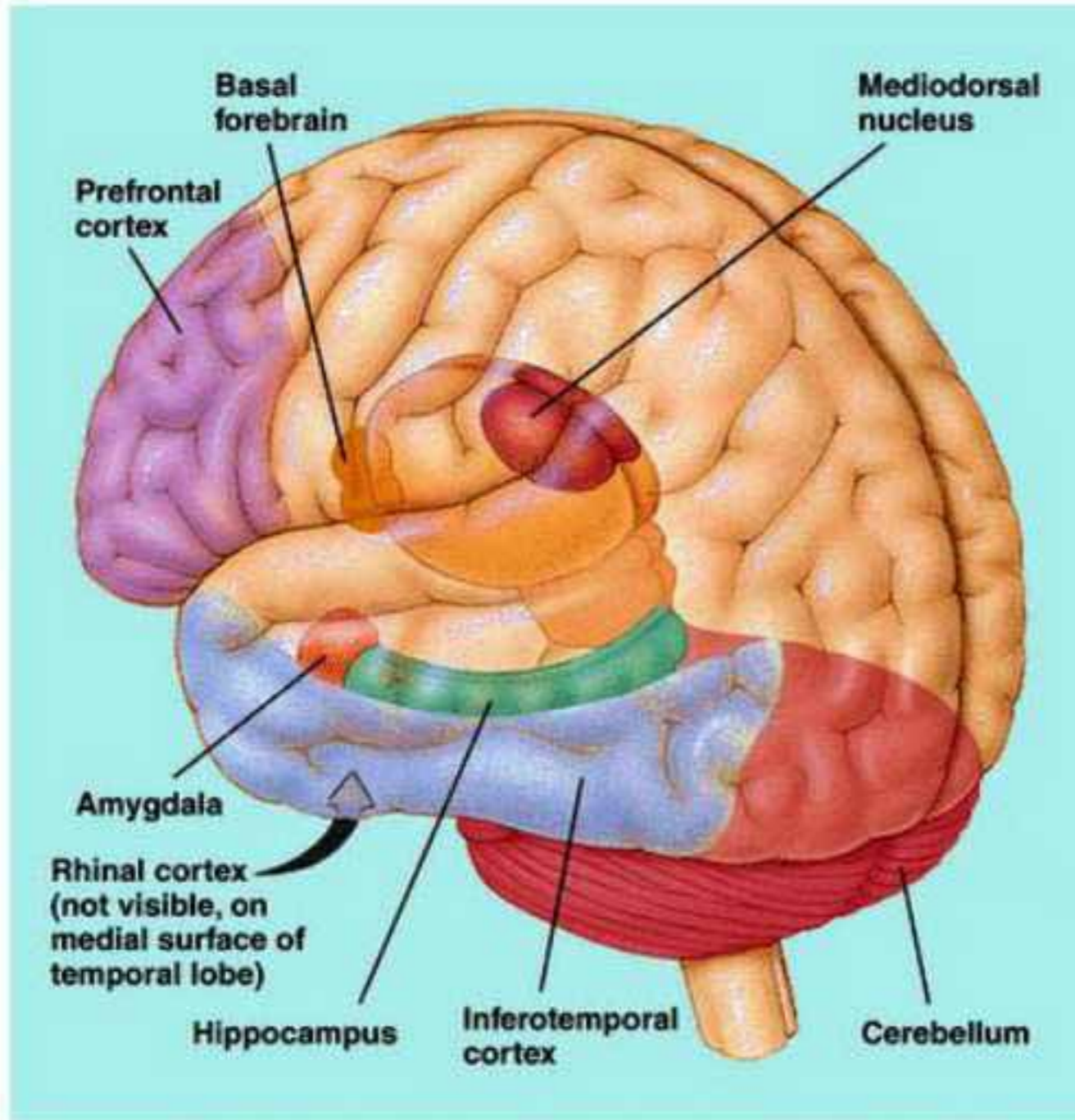


# Sadness, grief and depression



# Sadness, grief and depression

Frontal, cingulate and insular cortices

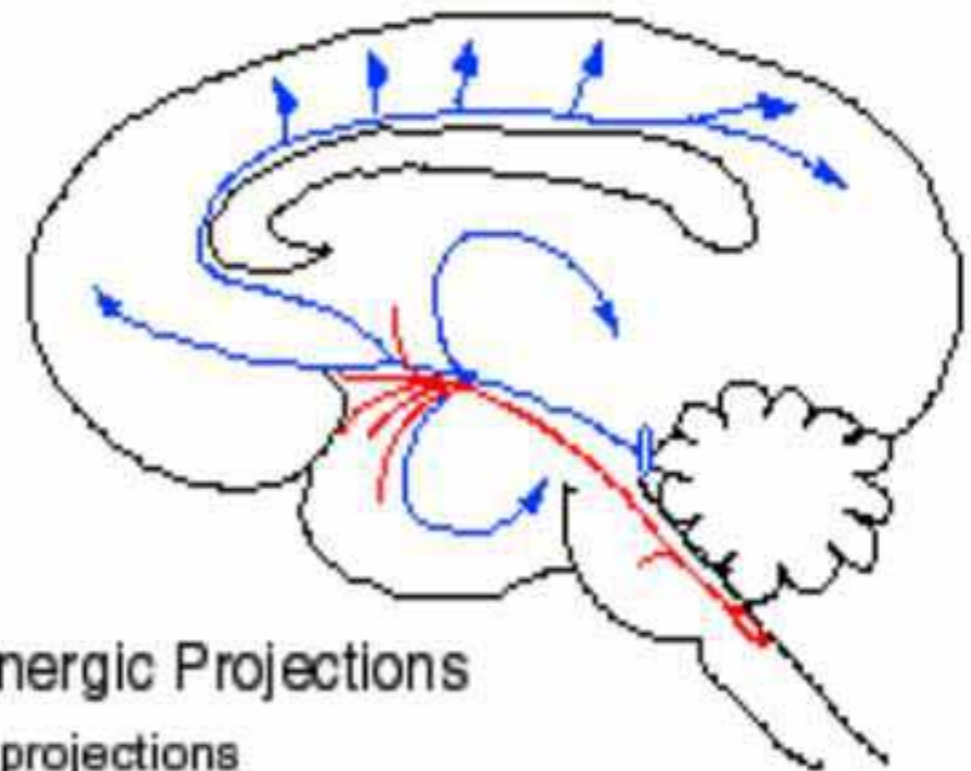
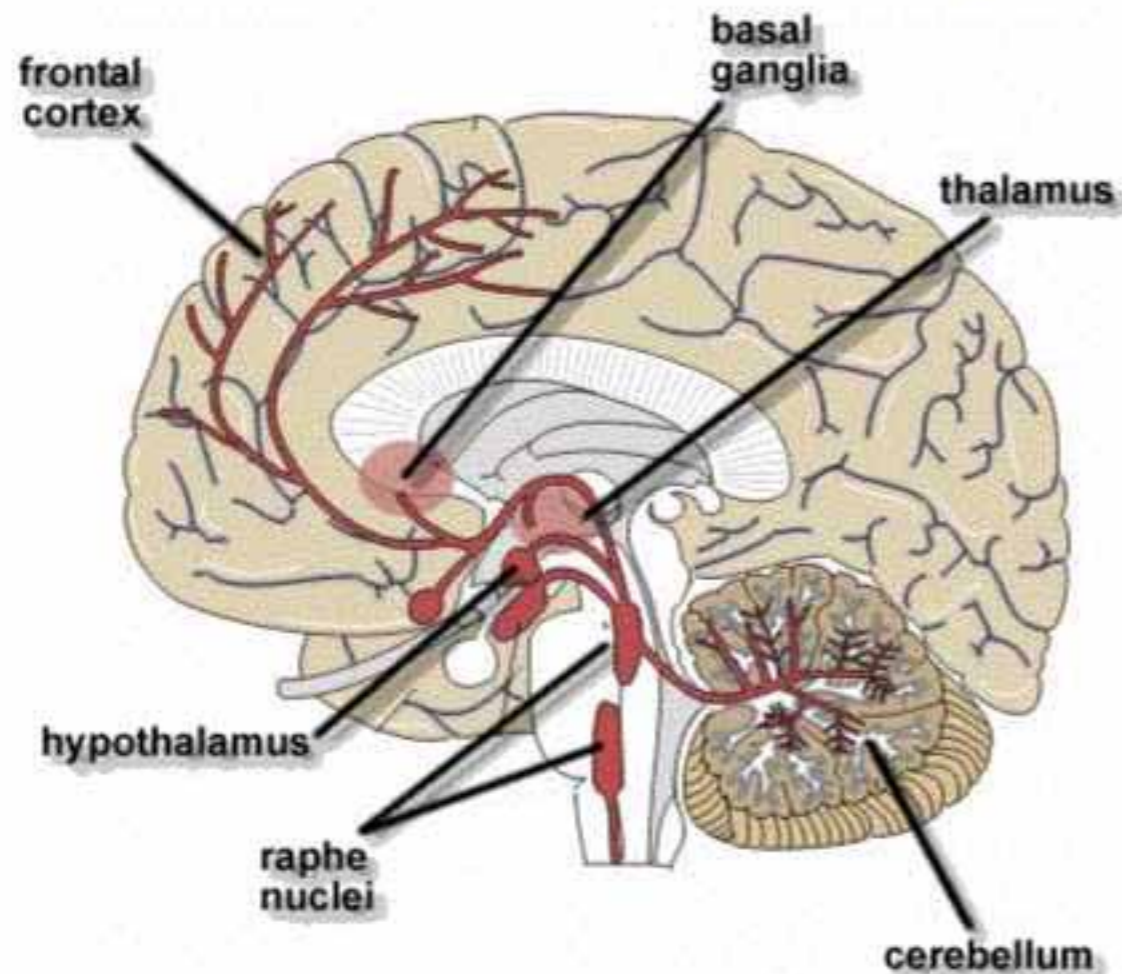


# Sadness, grief and depression

Frontal, cingulate and insular cortices

Serotonin and noradrenaline deficiencies

The serotonergic system consists of ascending axons from cell bodies in the raphe nuclei



# Sadness, grief and depression

Frontal, cingulate and insular cortices

Serotonin and noradrenaline deficiencies

SSRIs (Sertraline ,PROZAC)

SNRIs (Venlafaxine)

NaSSAs (Mirtazapine)



# Sadness, grief and depression

Frontal, cingulate and insular cortices

Serotonin and noradrenaline deficiencies

SSRIs (Sertraline ,PROZAC)

SNRIs (Venlafaxine)

NaSSAs (Mirtazapine)

Drugs are often effective in animals with depressive symptoms

# Can animals experience grief ?

Great apes, dolphins, whales, elephants, dogs



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Great apes, dolphins, whales, elephants, dogs

When Elephants Weep (Masson and McCarthy)



# Can animals experience grief ?

Great apes, dolphins, whales, elephants, dogs

When Elephants Weep (Masson and McCarthy)

Chimpanzees





# Can animals experience grief ?

## Mothers and infants



# Can animals experience grief ?

Mothers and infants

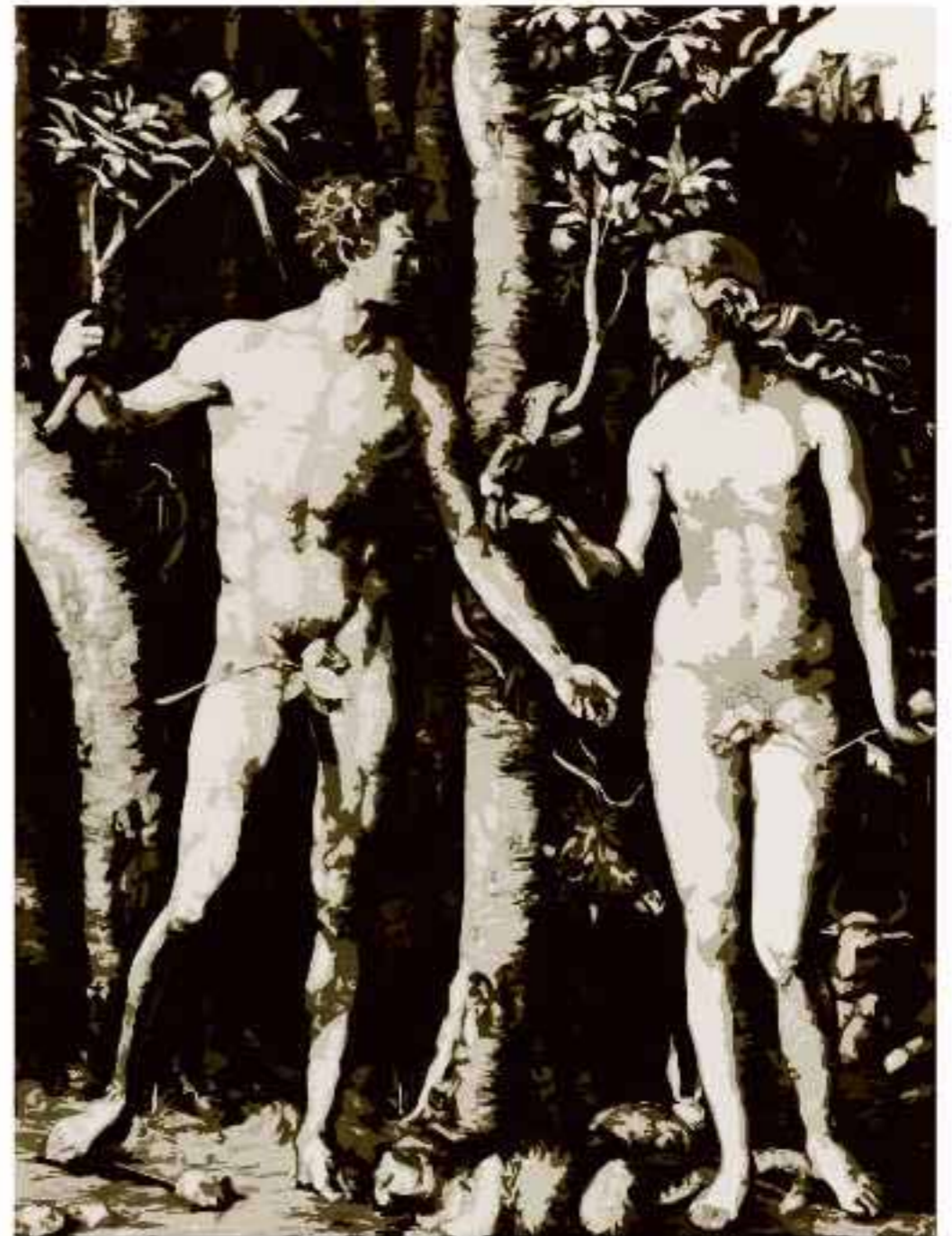
Dogs and their human owners



# Shame and guilt

Moral emotions - unique to humans ?

May be just learned  
anticipation of punishment



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Not living up to the  
expectations of others



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# Joy and Love

Not so easy to be considered as promoting survival

Smile and the world smiles with you



# Joy and Love

Not so easy to be considered as promoting survival

Smile and the world smiles with you

Play



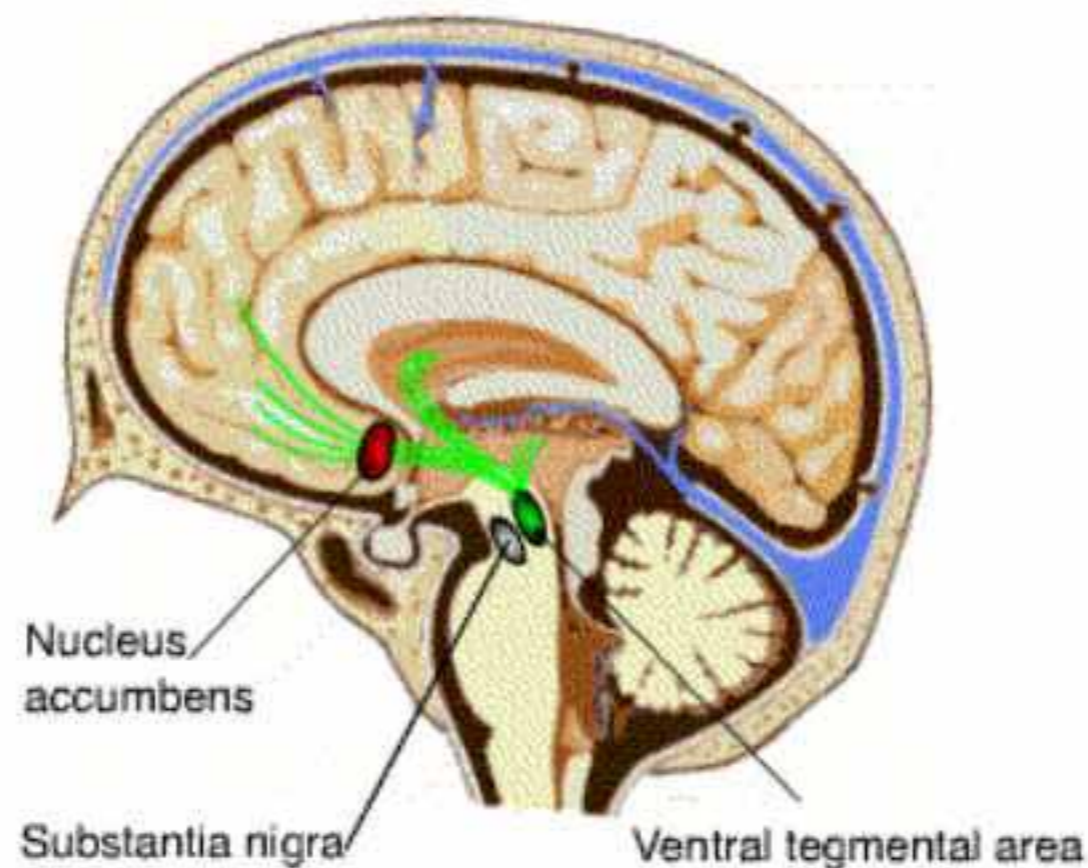
# Joy and Love

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Smile and the world smiles with you

Play

## Pleasure Reward Pathway





# Joy and Love

Not so easy to be considered as promoting survival

Smile and the world smiles with you

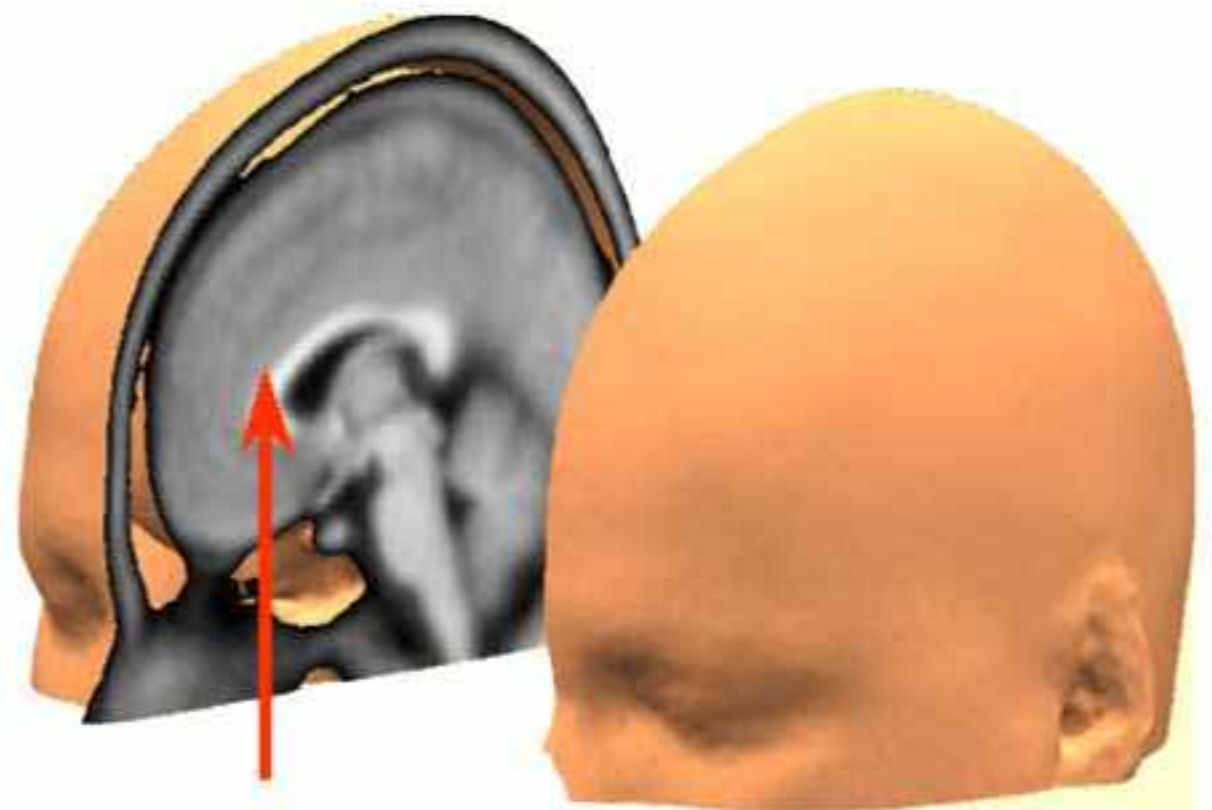
Play

Grooming and  
brain opioids



# Joy and Love

The happy left brain vs the unhappy right one !



# Joy and Love

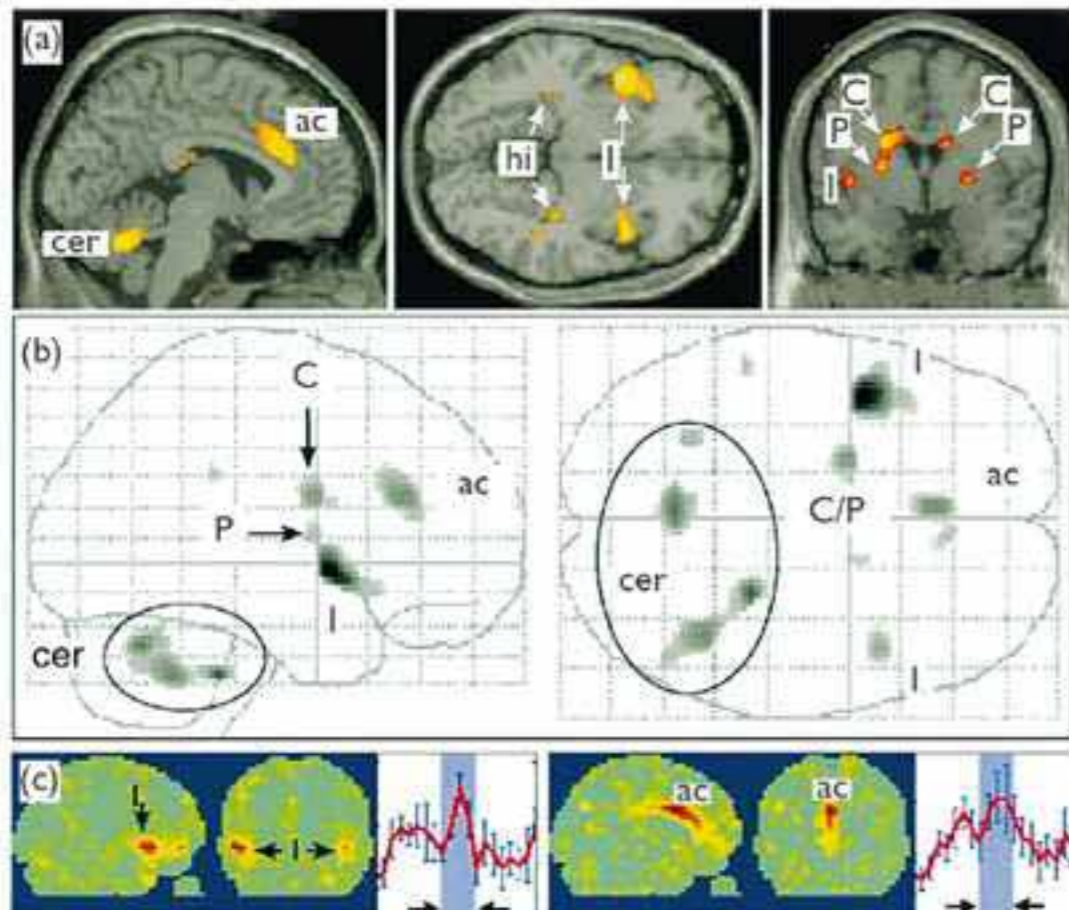
The happy left brain vs the unhappy right one !

Is love really an emotion?

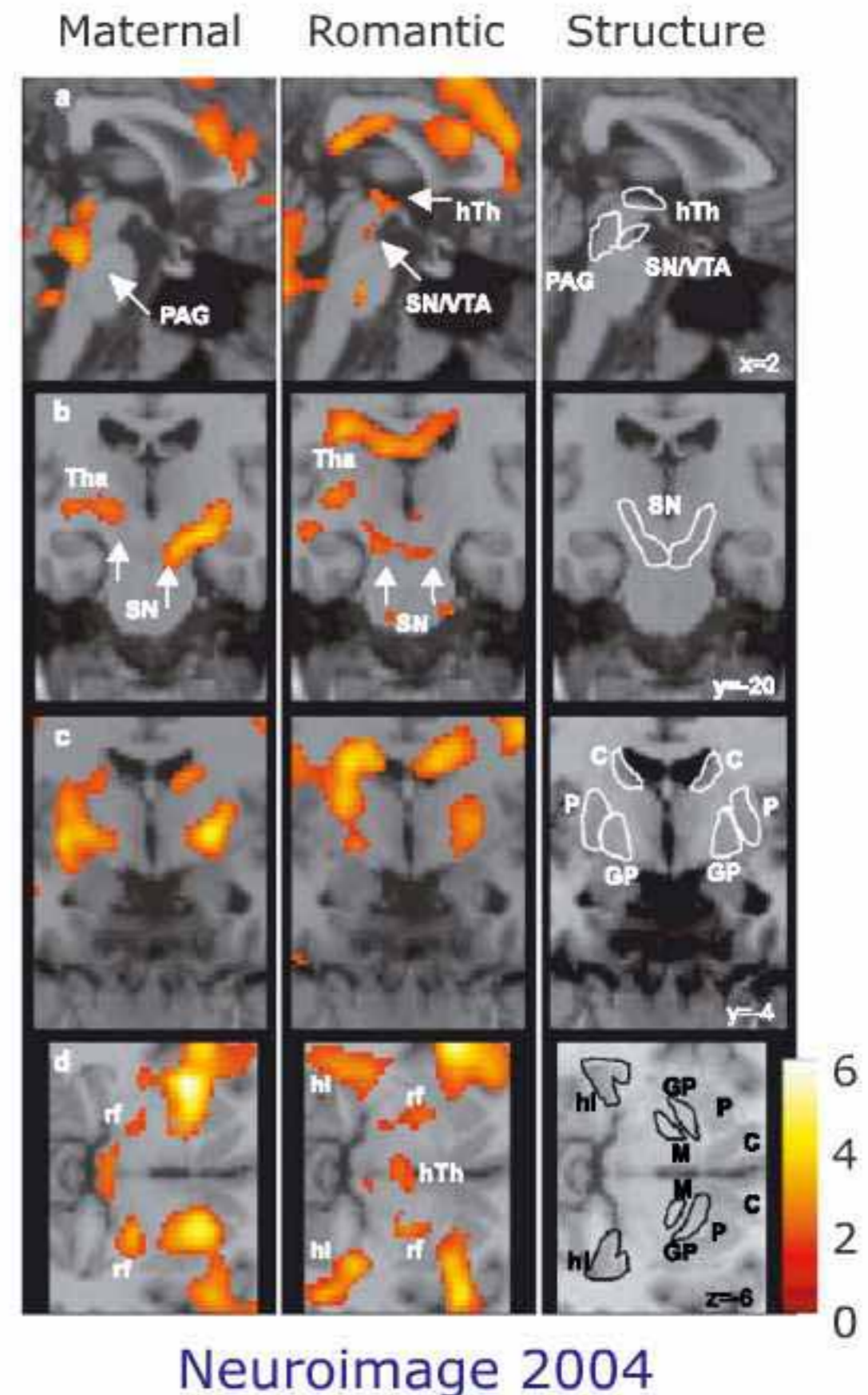


# Joy and Love

## Romantic and maternal love



Bartels & Zeki  
Neuroreport 2000



Neuroimage 2004

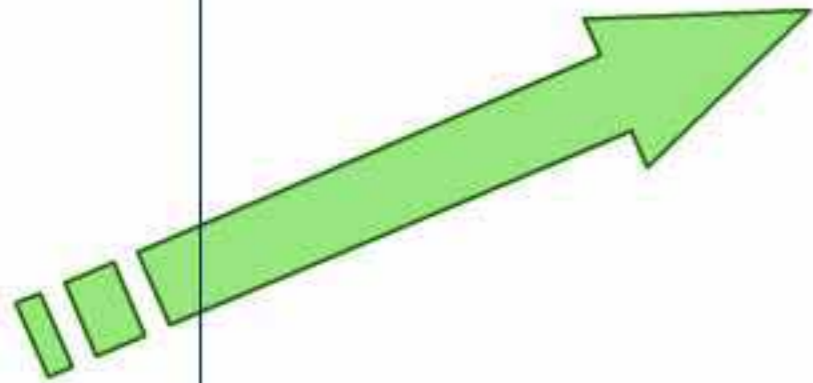
# Joy and Love

Can other animals experience love?

Mother love - oxytocin, opioids and dopamine



# Joy and Love



# Joy and Love

The impact of an attractive face



# Joy and Love

The impact of an attractive face





# Joy and Love



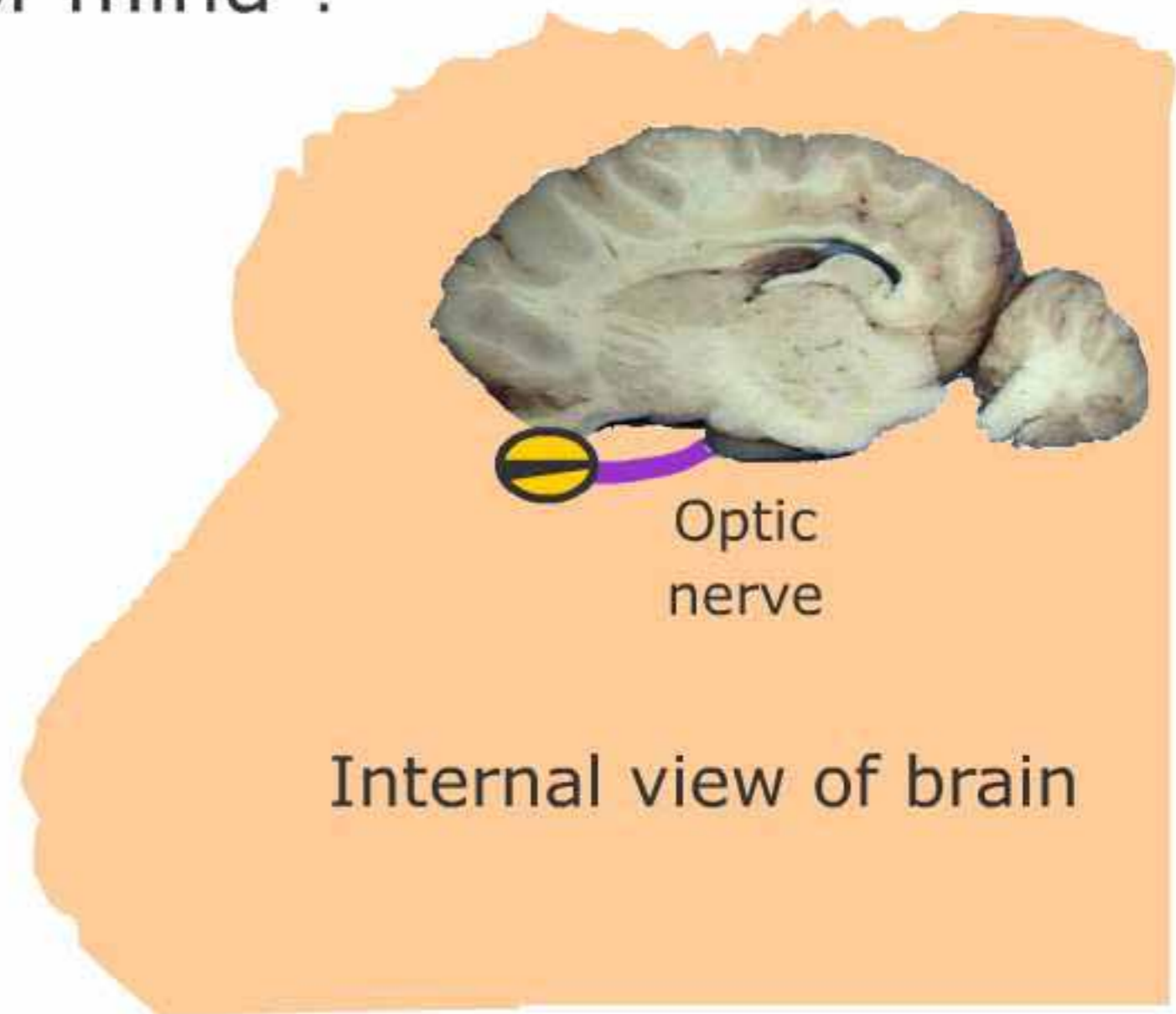
During oestrus - male attractive



External view of brain

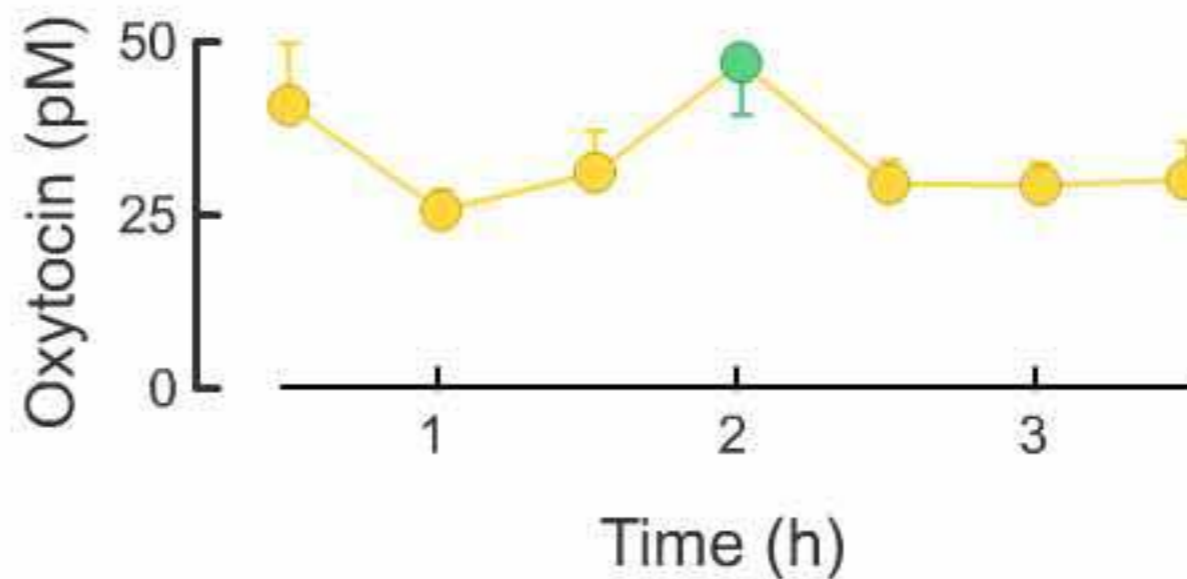
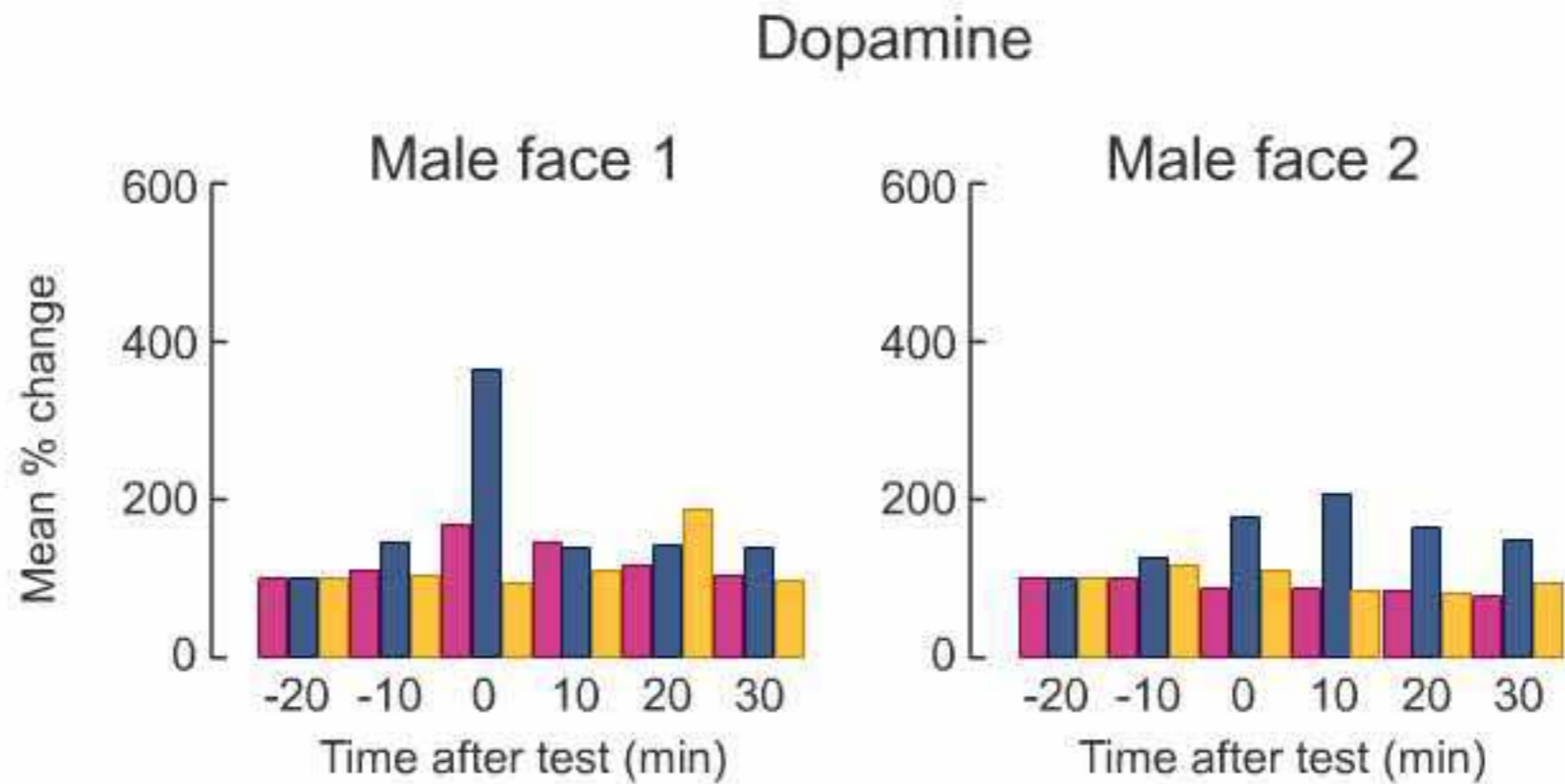
# Joy and Love

'In sight, but out of mind ?'



Not during oestrus - male not attractive

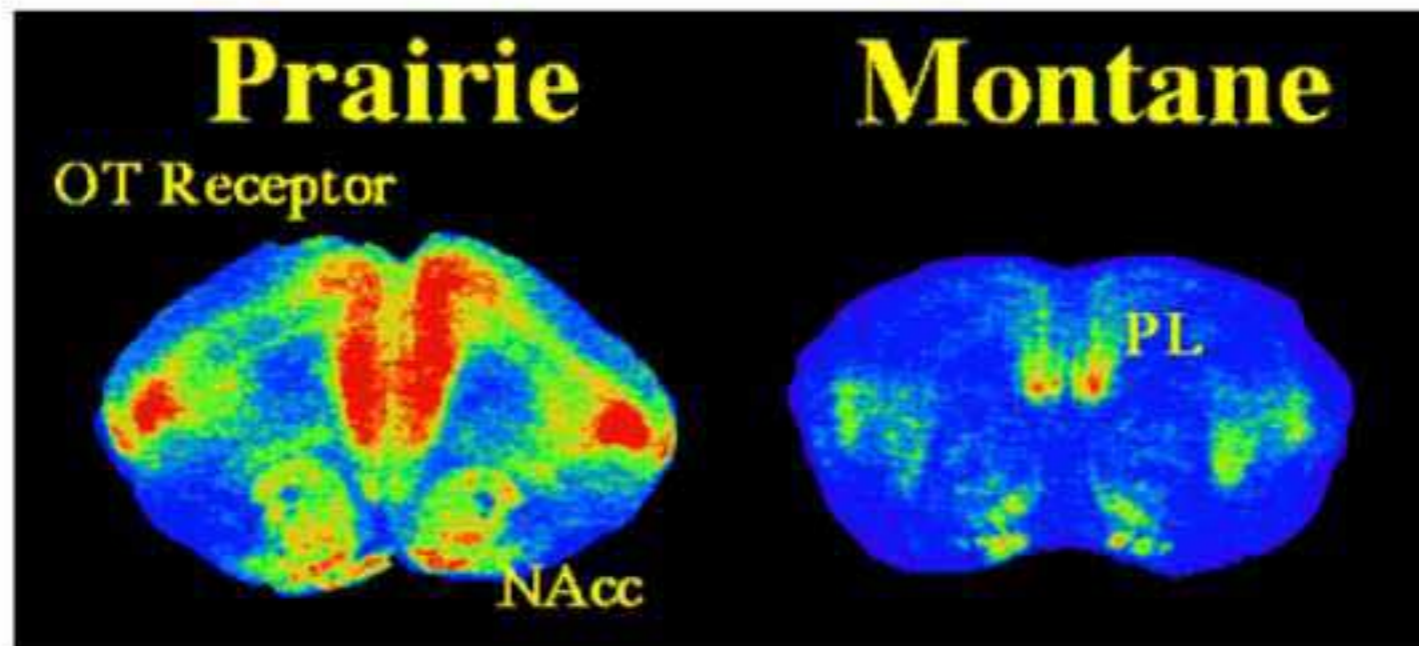
# Joy and Love



Fabre-Nys *et al*  
Eur. J. Neurosci.1997

# Joy and Love

## Monogamous voles



# Joy and Love

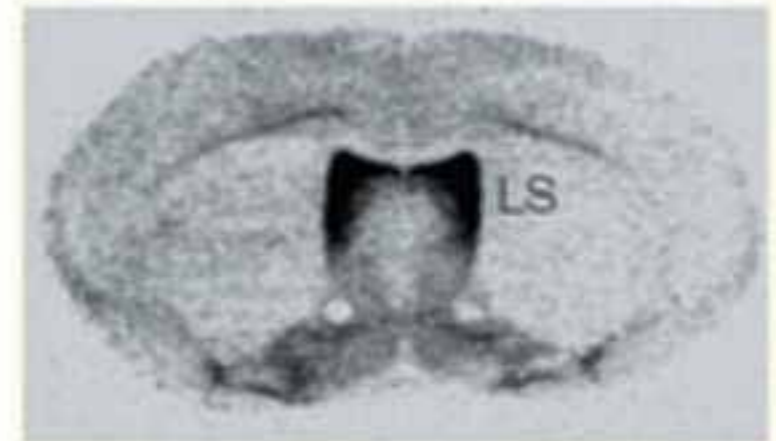
Monogamous voles

Love chemistry may be slightly different in male voles

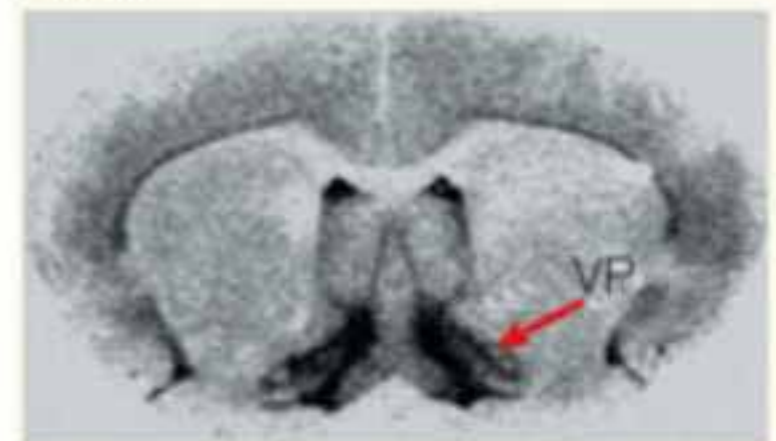
Cys-Tyr-Phe-Gln-Asn-Cys-Pro-Arg-Gly-NH<sub>2</sub>

Vasopressin

Montane



Pairie



Young *et al* 2001

## Monogamous voles

Love chemistry may be slightly different in male voles

Page 26

Daily Mail, Thursday, August 19, 1999

# Science finds a way to cure the love rats



All over: Jagger and Jerry

SCIENTISTS could be on the verge of finding a cure for love rats, it emerged yesterday.

Research on rodents has shown that a genetic tendency to infidelity can be reversed - and the effects on philanderers of the human kind may be just as drastic.

Sadly, it is a discovery that has come too late for the long-suffering Jerry Hall.

The latest success of genetic

By JENNY HOPE  
Medical Correspondent

engineering might have allowed the former model, who never quite managed to get Mick Jagger to a legally-recognised altar, to have kept him by the fireside instead.

Scientists have managed for the first time to turn promiscuous, anti-social animals into friendly and faithful mates.

An American team achieved the transformation by inserting a

gene from a monogamous species into a polygamous one.

The finding may also be important in treating such human disorders as autism, schizophrenia and Tourette's syndrome - a condition where sufferers spout obscenities in public.

The study, carried out at Emory University, in Atlanta, built on previous research involving voles.

Larry Young and his colleague Thomas Insel had already studied the monogamous prairie voles and their cousins the mon-

tane (hill-dwelling) voles, which have many mates.

'After mating, the male prairie vole forms a strong social bond. He prefers to be with that mate to the exclusion of all others,' said Dr Young.

'That pair nests together. When she has her babies he spends as much time with those babies as she does. He defends the nest. They stay together for the next litter and the next and the next.'

But montane voles behave in a

### 'He takes off to search for another'

vastly different manner - even though their genetic make-up is 99 per cent identical to that of their prairie vole cousins.

'When the montane vole mates, he immediately takes off and searches for another female,' said Dr Young.

The researchers managed to identify the DNA sequence that separated the two types of vole.

It is responsible for regulating how much of the peptide hormone vasopressin is released into the brains of

animals, including humans. Vasopressin plays a role in male behaviour, including aggression, communication, sexual activity and social memory.

In the new study, scientists took a vasopressin receptor gene from a monogamous vole and inserted it into a polygamous mouse.

To their surprise, the resulting 'new mice' formed close relationships with females, says a report in the science journal *Nature*. Mice were used first because it is easier to change their genetic make-up.

The next step is to see whether genetic implants will also make the montane moles more faithful.

Dr Young said much more research would be needed to determine whether genetic manipulation could help treat antisocial disorders in humans.

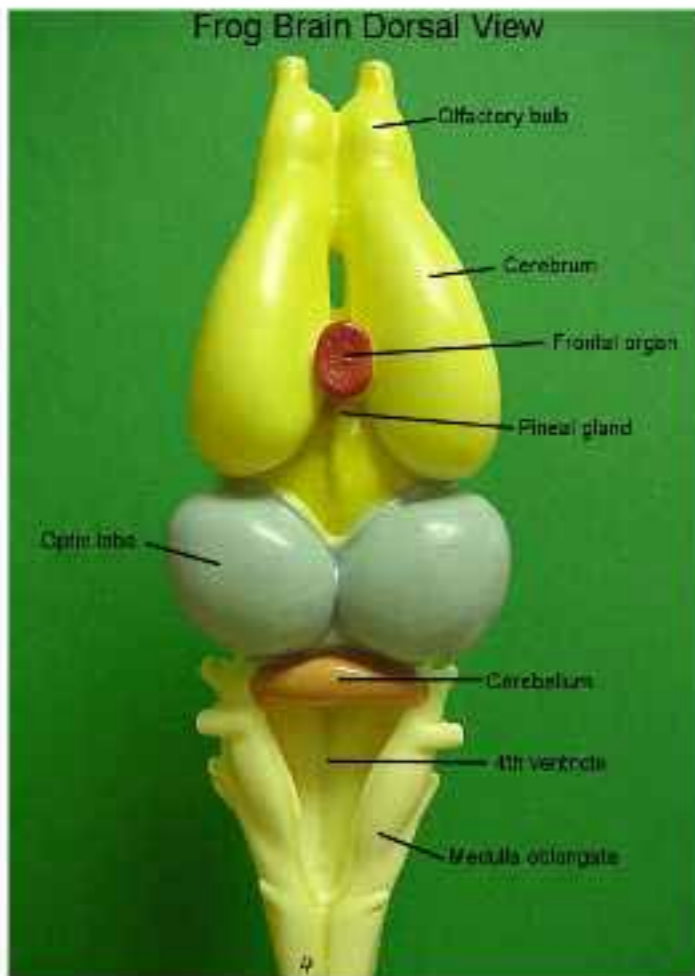
He warned: 'In human cultures we may find that experience and values have a lot more to do with behaviour.'

In other words, the human love rats may not want to be cured.

# Humans and animal emotions: the differences

Capacity for consciousness, self-awareness and language

Frog



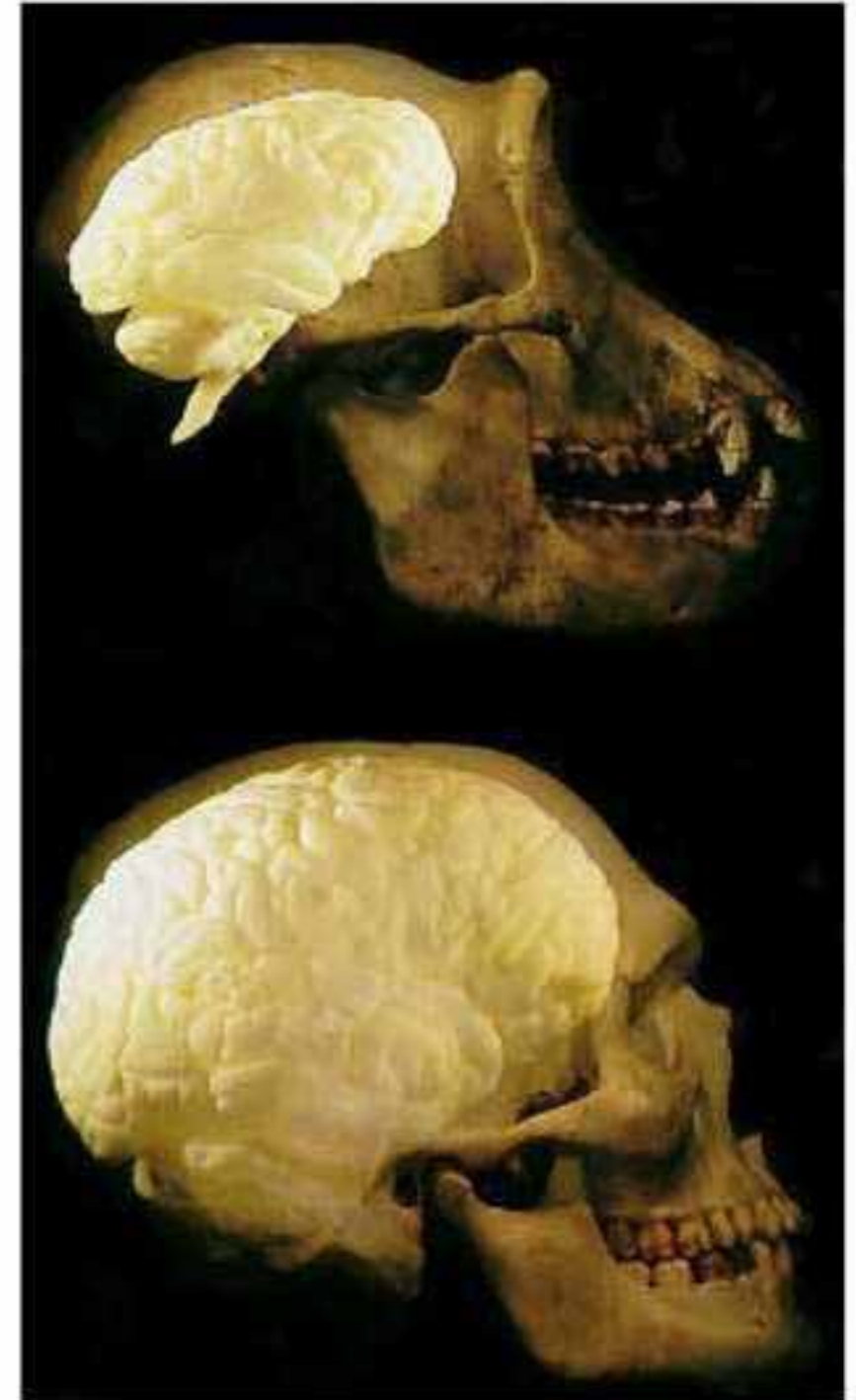
Chimpanzee

Rat



Sheep

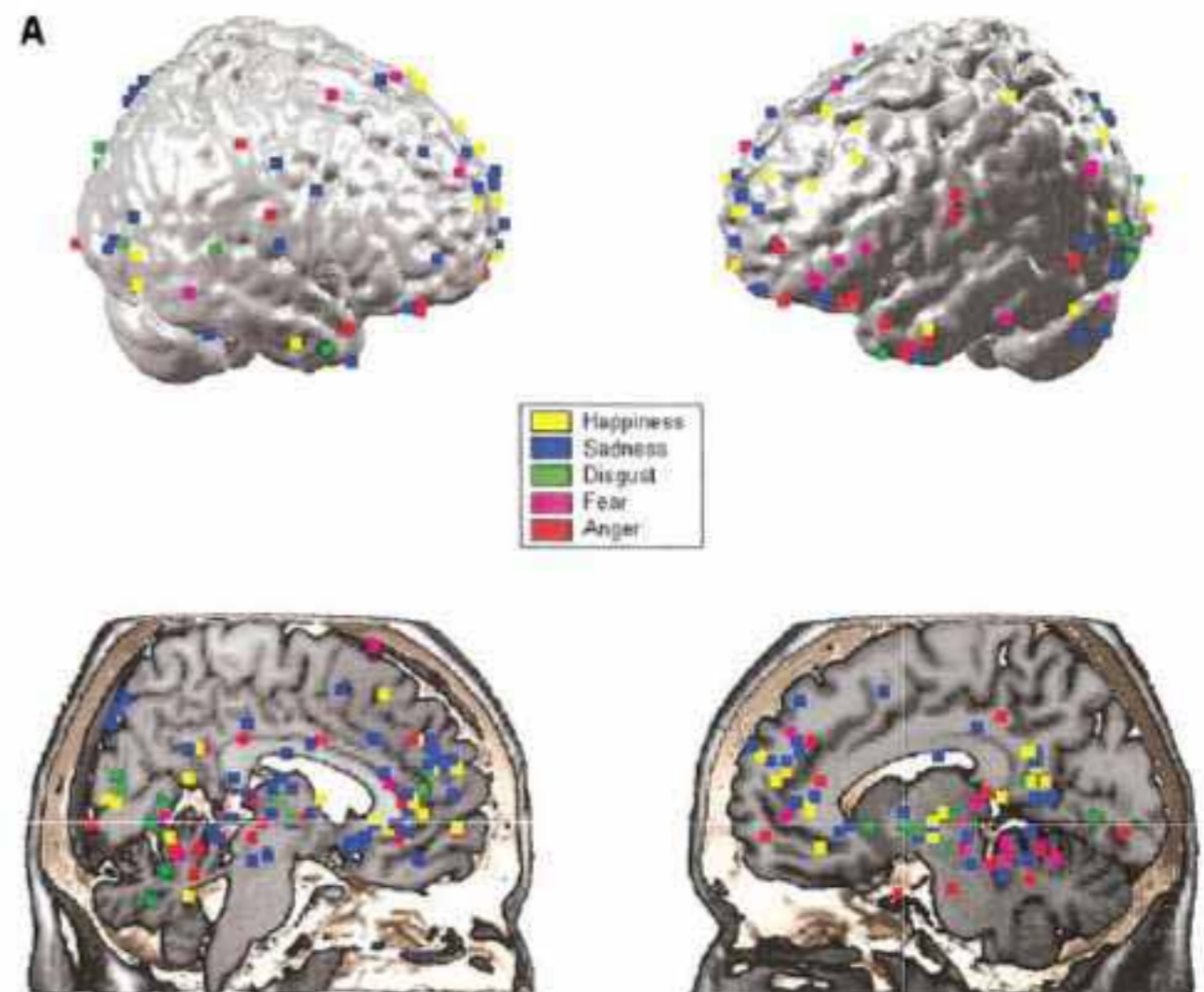
Human



# Humans and animal emotions: the differences

Capacity for consciousness, self-awareness and language

Expansion of our time-domains, self-reflection and moral sense



Luan Phan *et al*  
Neuroimage 2001



# Humans and animal emotions: the differences

Capacity for consciousness, self-awareness and language

Expansion of our time-domains, self-reflection and moral sense



# Human and animal emotions: the same thing?

So do other animals have feelings as well as emotional responses?

Yes - but probably less intense and varied and sporadic rather than continuous

Limited capacity for self-generated emotions and feelings

Mainly in response to directly perceived external events

# A tale of two brains - one boy and his dog

One boy and his dog



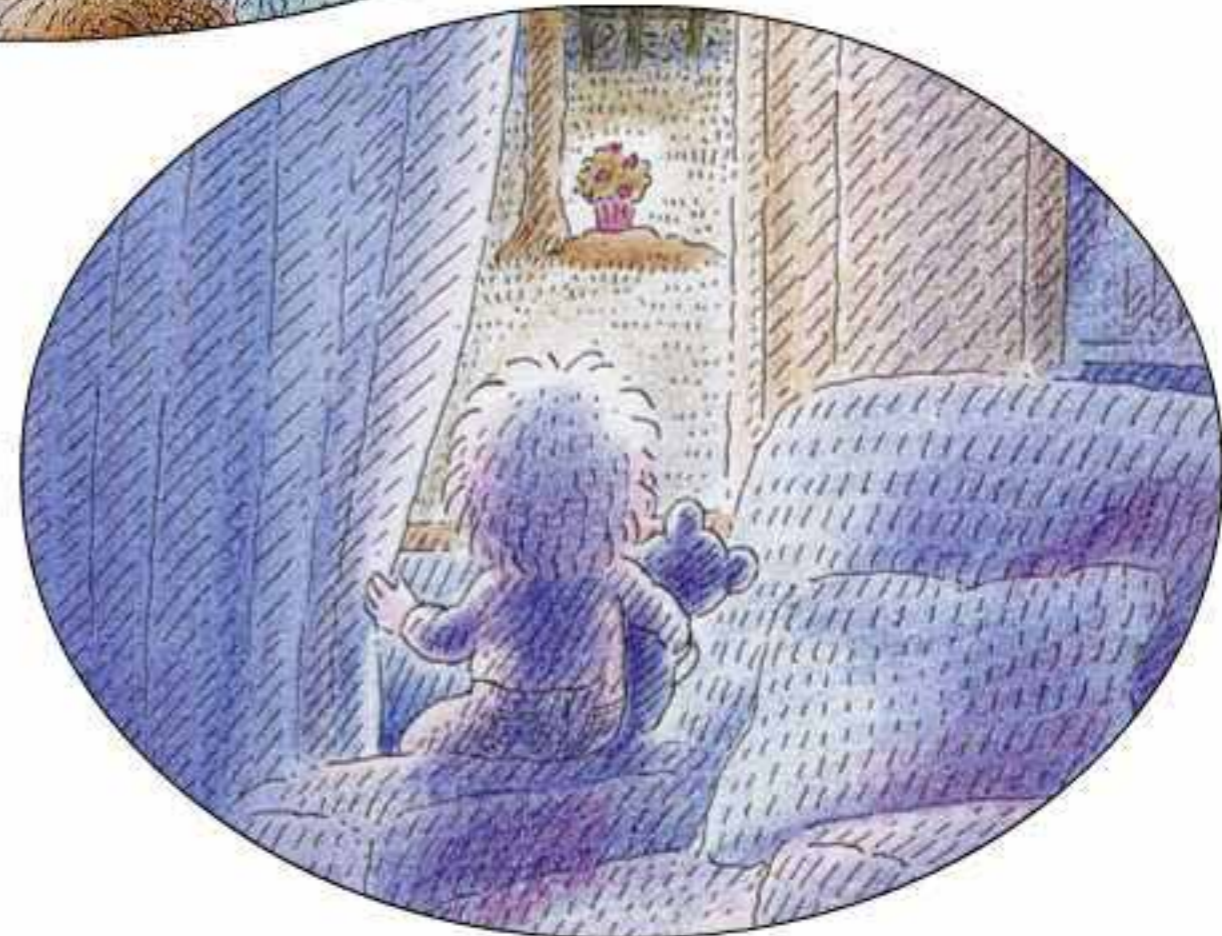
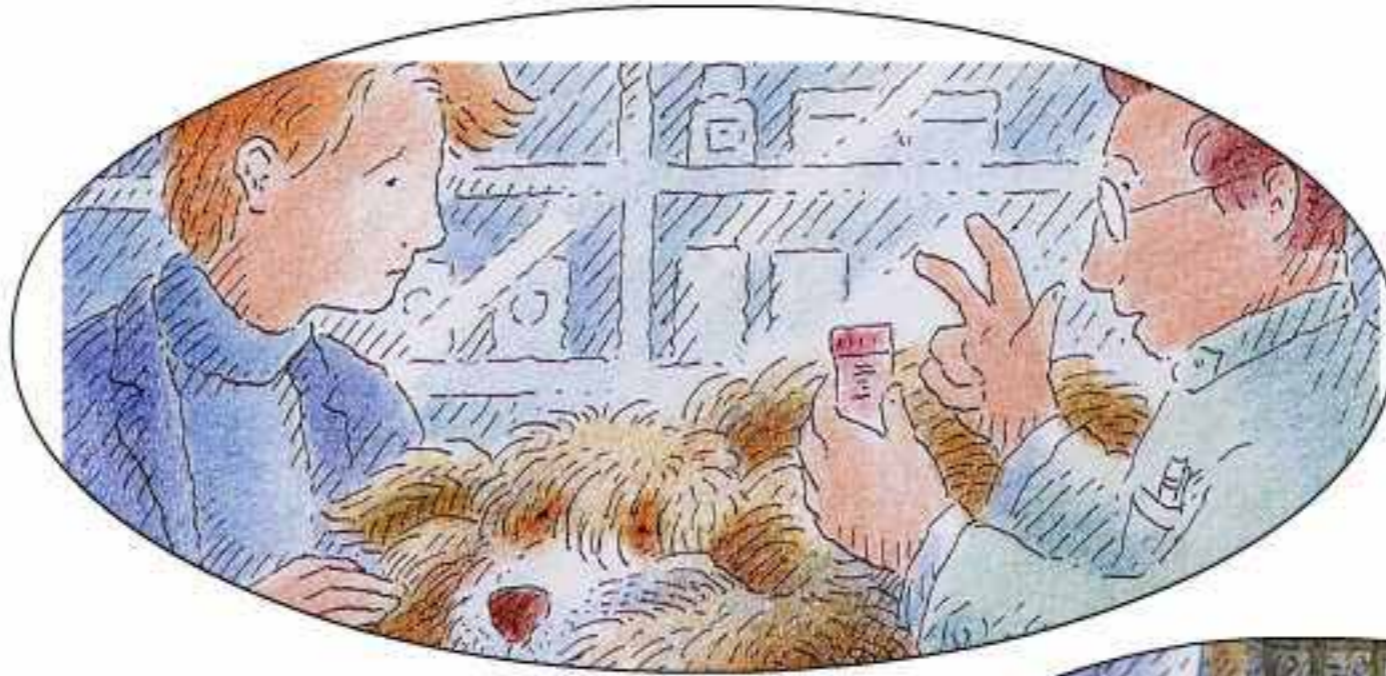
# A tale of two brains - one boy and his dog

Boy is separated from dog



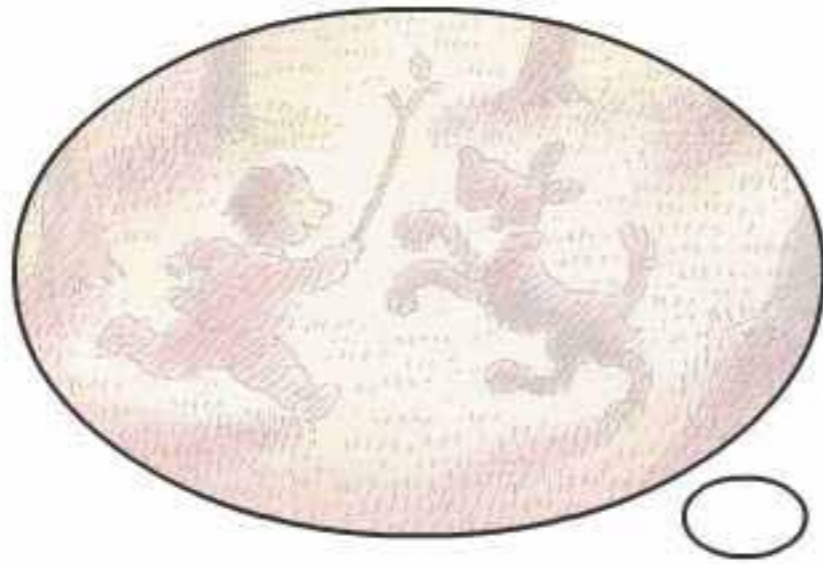
# A tale of two brains - one boy and his dog

Boy thinks about dog all the time



# A tale of two brains - one boy and his dog

Dog thinks about boy only some of the time



# A tale of two brains - one boy and his dog

## Boy returns



# A tale of two brains - one boy and his dog

Boy and dog are reunited





## ...and finally

'Is it not monstrous that this player here,  
But in a fiction, in a dream of passion,  
Could force his soul so to his own conceit,  
That, from her working, all his visage wann'd;  
Tears in his eyes, distraction in's aspect,  
A broken voice, and his whole function suiting  
With forms to his conceit? And all for nothing!'  
(Shakespeare - Hamlet, Act 2 Scene 2)

At least other animals may not try to deceive us!